

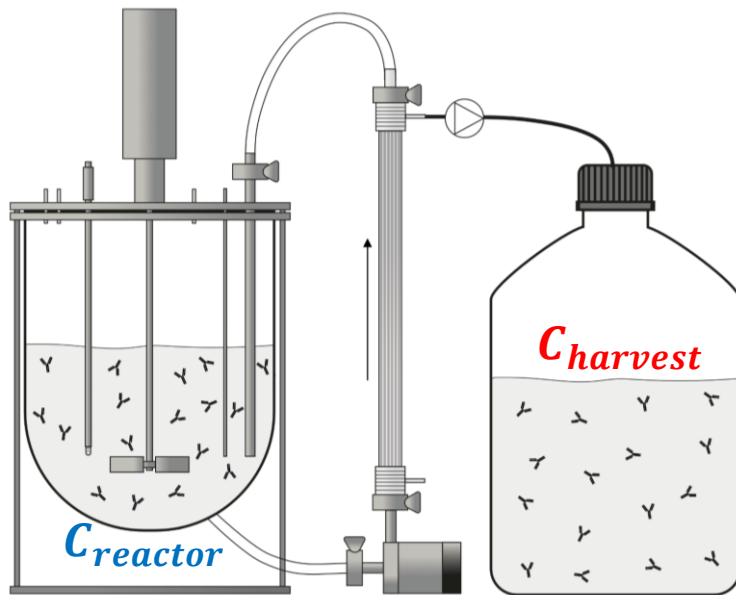
# **Co-current Filtrate Flow in TFF Perfusion Processes: Decoupling Transmembrane Pressure from Crossflow to Improve Product Sieving**

**Levitronix Bioprocessing Conference 2024**

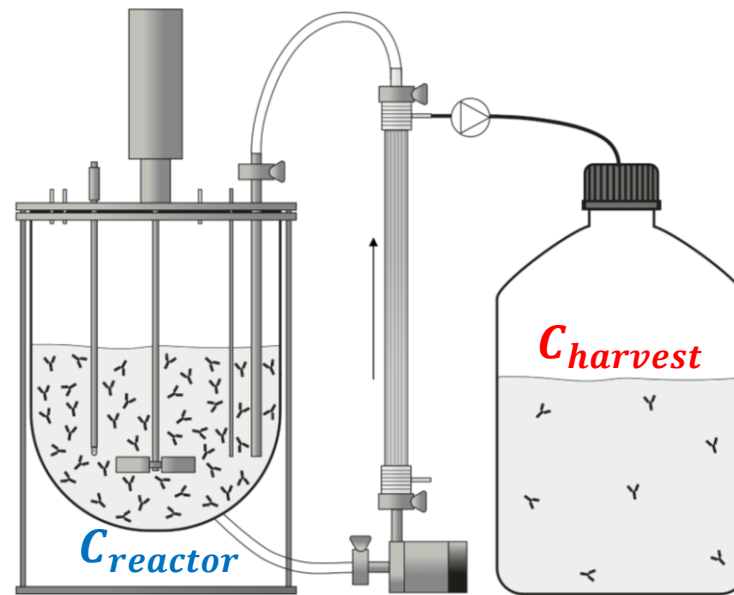
Dr. Patrick Romann

# The Sieving Challenge in Perfusion

High Product Sieving



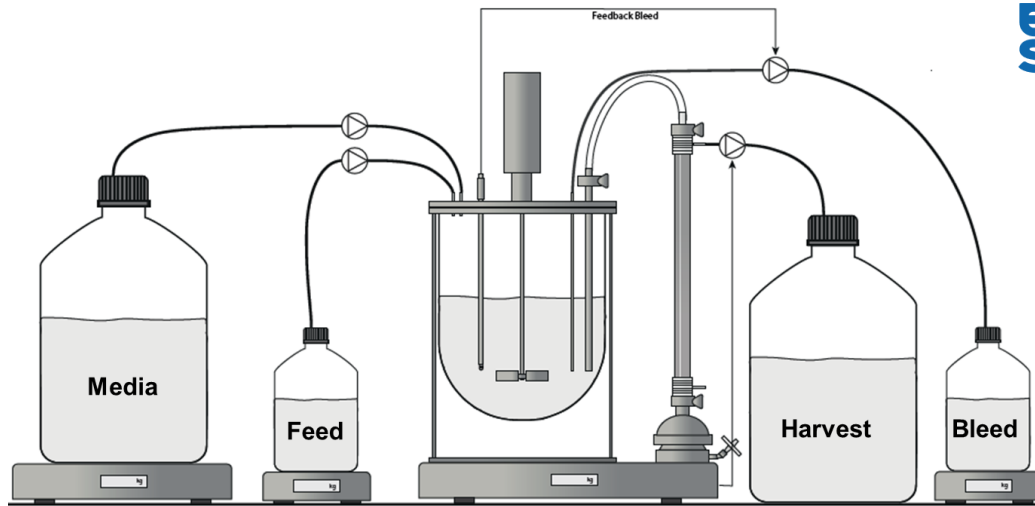
Low Product Sieving



$$Sieving = \frac{C_{harvest}}{C_{reactor}} \times 100$$

- TFF systems with hollow fibers are most common cell retention devices
- Product retention is one of the major bottle necks in USP

# Context: Exploring Novel Technologies to Improve High Density Perfusion Processes

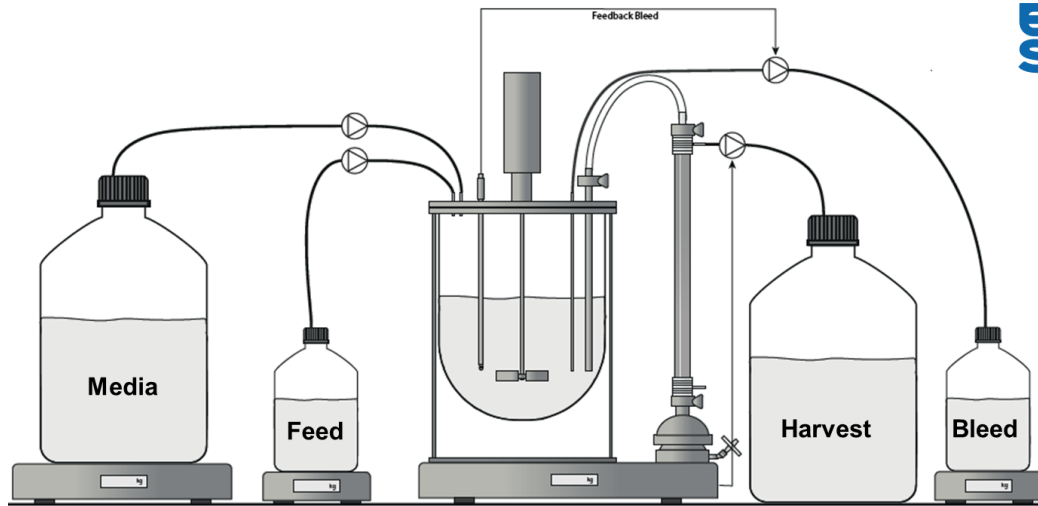


- EMD Serono Platform process
- Steady-state perfusion (ATF / TFF)
- 10-30% biomass (20-100 mio. cells/mL)
- Run duration: 42 days or longer

**Goal:** Exploring alternative Cell Retention Technologies

**Reference:** Romann, P., Giller, P., Sibilia, A., Herwig, C., Zydney, A. L., Perilleux, A., Souquet, J., Bielser, J.-M., & Villiger, T. K. (2023). **Co-current filtrate flow in TFF perfusion processes: Decoupling transmembrane pressure from crossflow to improve product sieving.** *Biotechnology and Bioengineering*, 1–15. <https://doi.org/10.1002/bit.28589>

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# Targets to Optimize Tangential Flow Filtration

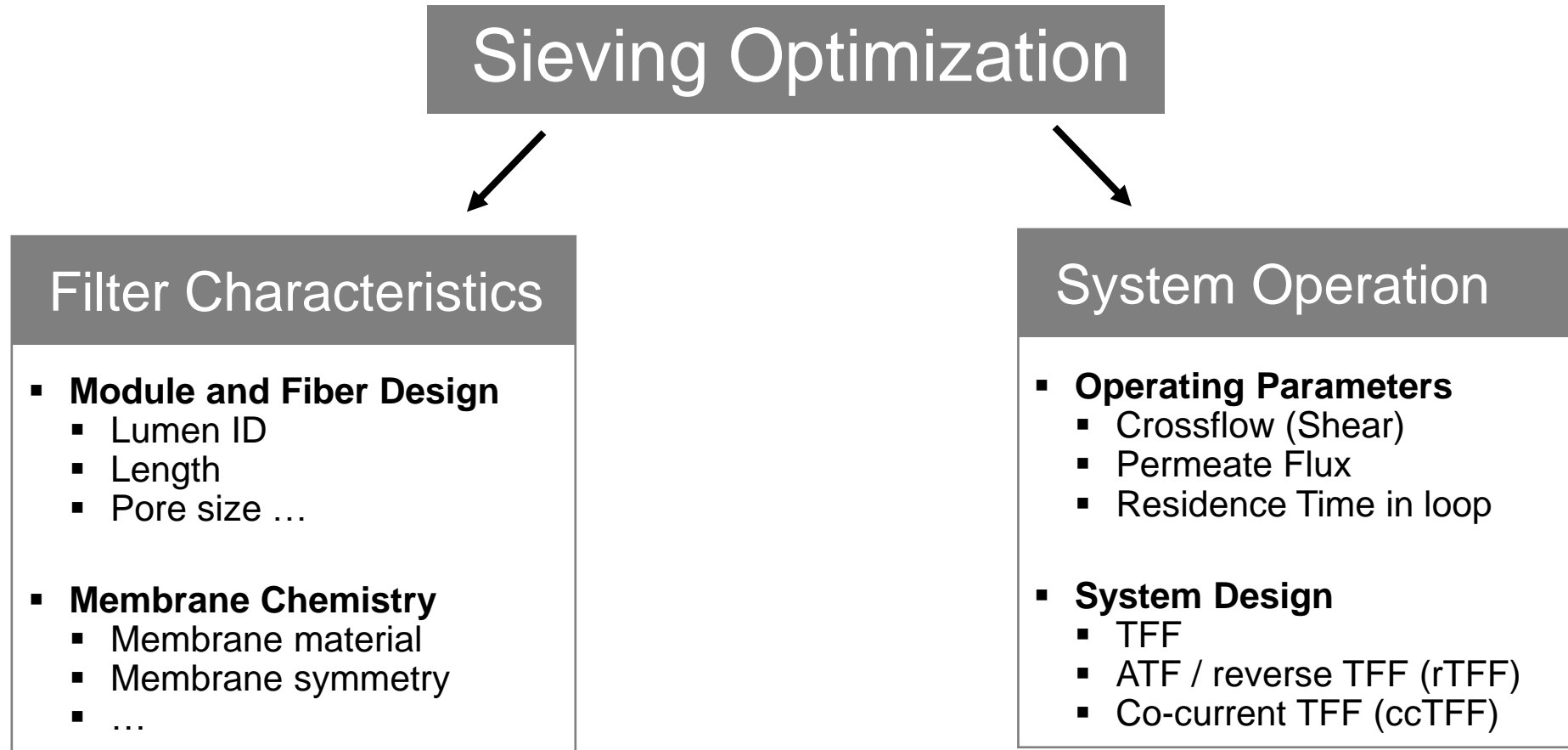
## Sieving Optimization



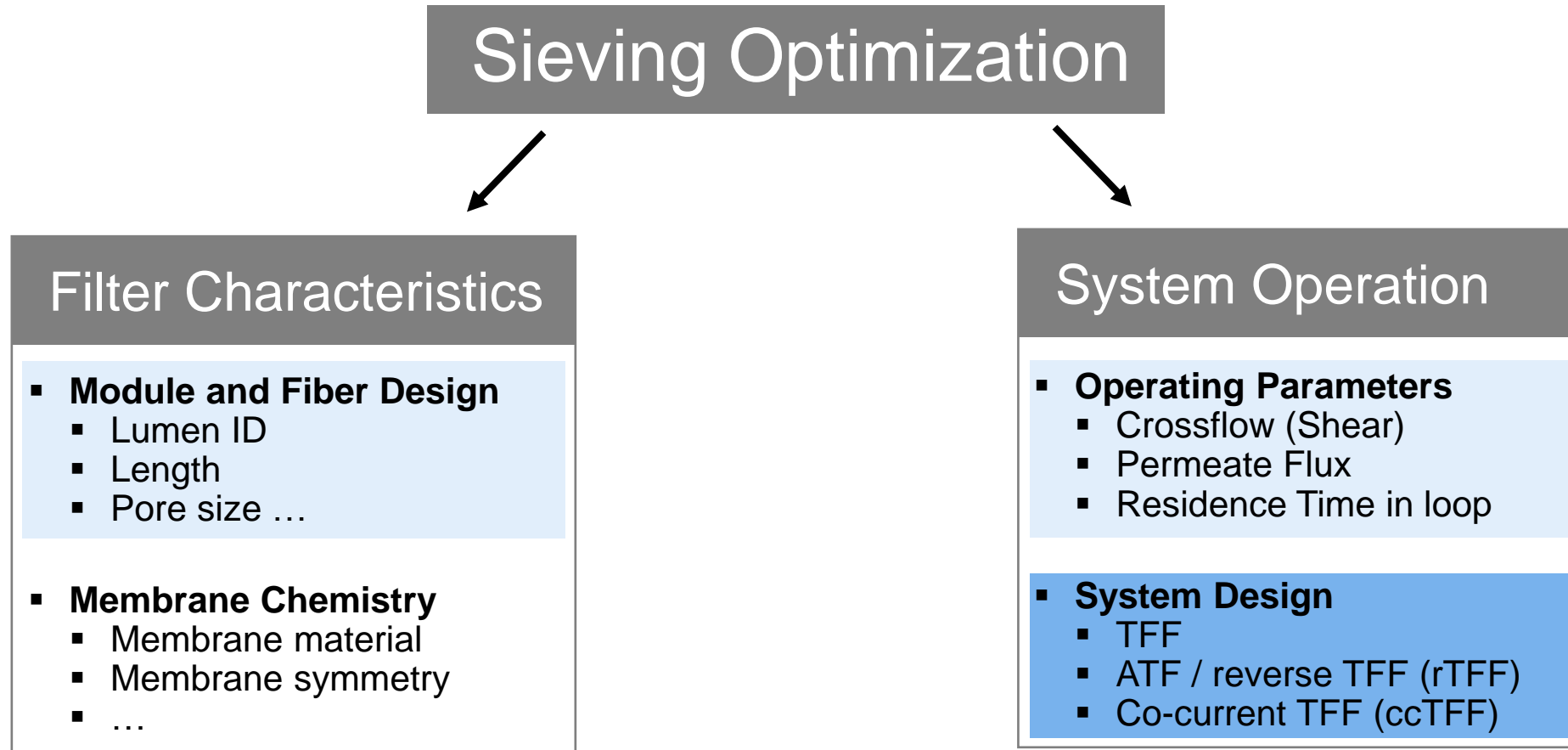
### Filter Characteristics

- **Module and Fiber Design**
  - Lumen ID
  - Length
  - Pore size ...
- **Membrane Chemistry**
  - Membrane material
  - Membrane symmetry
  - ...

# Targets to Optimize Tangential Flow Filtration



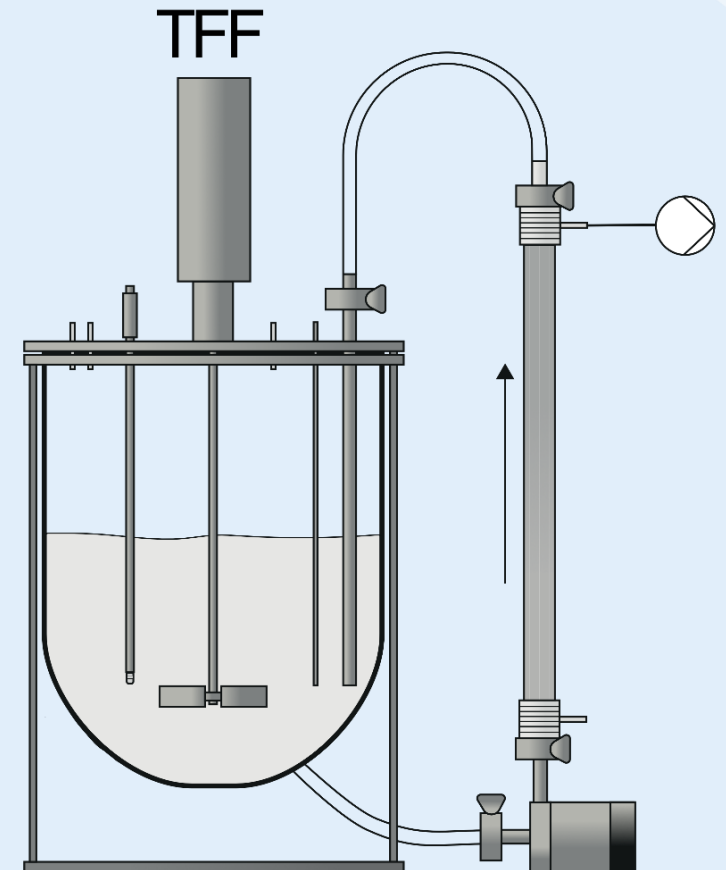
# Targets to Optimize Tangential Flow Filtration



# Standard TFF

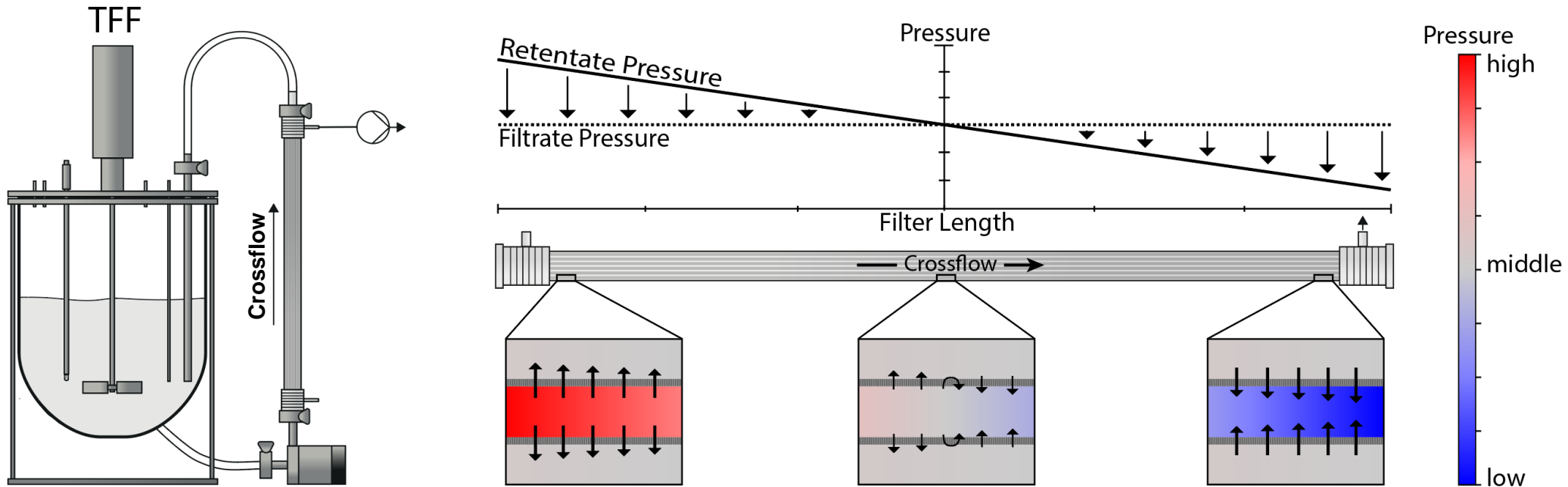
## TFF Setup:

- Most simple system
- Unidirectional crossflow



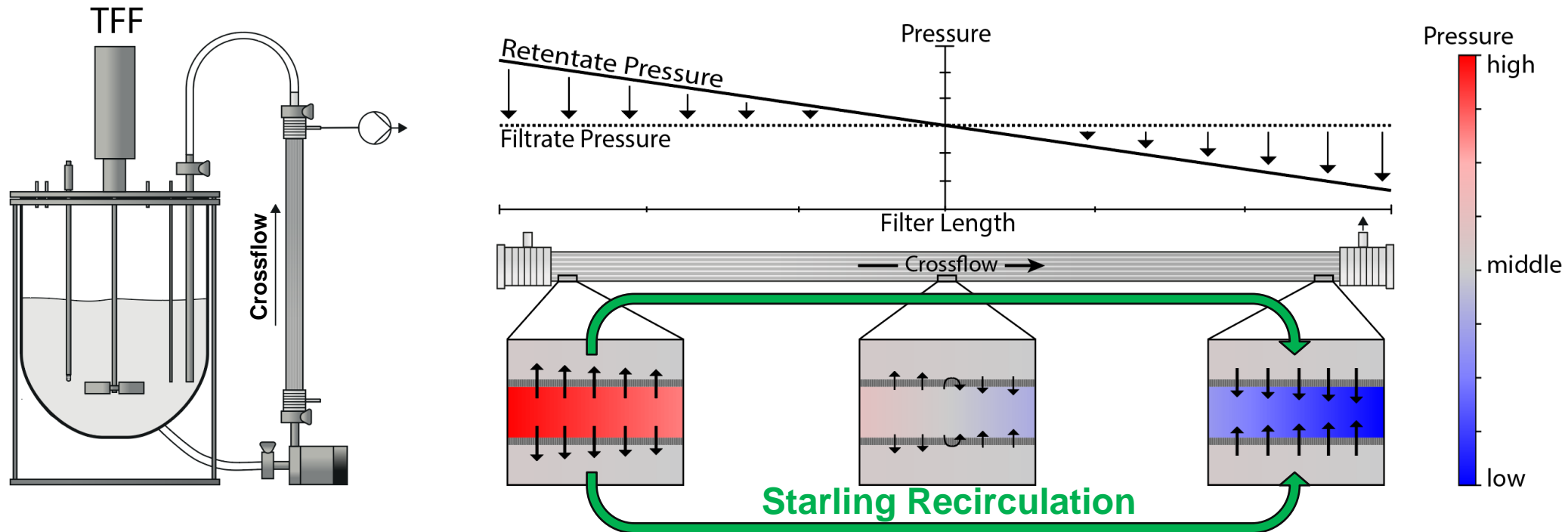


# A closer Look at Pressure Profiles in TFF



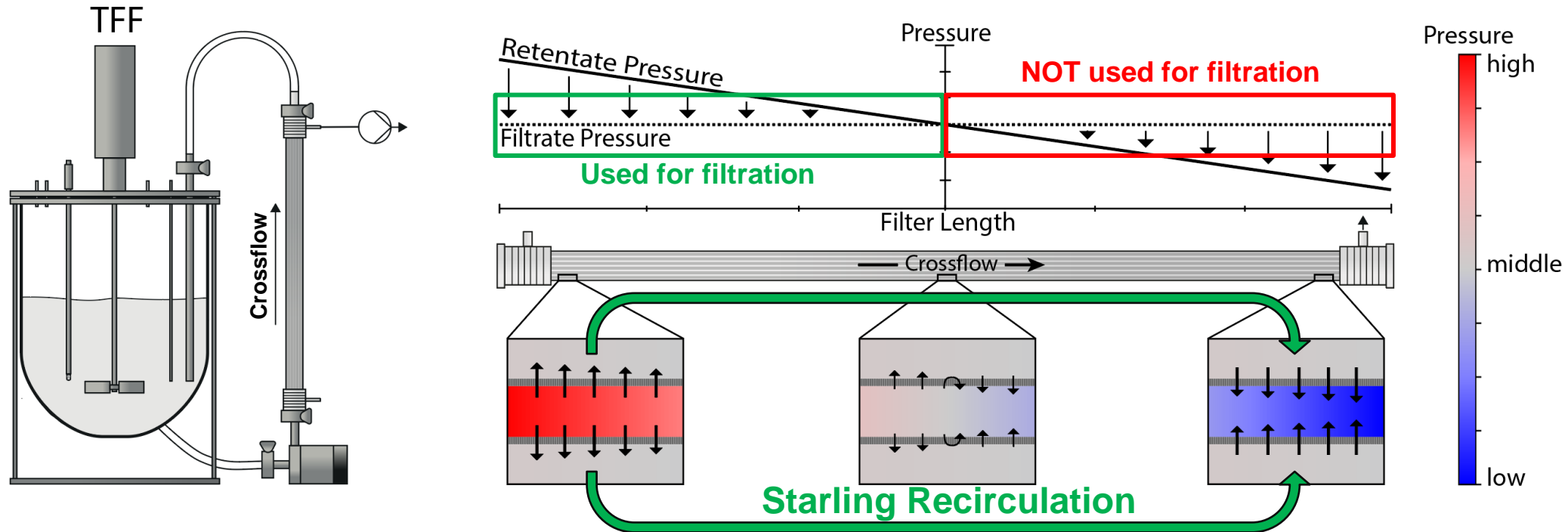
- Pressure drop along filter module → TMP changes

# A closer Look at Pressure Profiles in TFF



- Pressure drop along filter module → TMP changes
- Starling Recirculation (**backflush**, but comes with **much higher filtrate flux**)

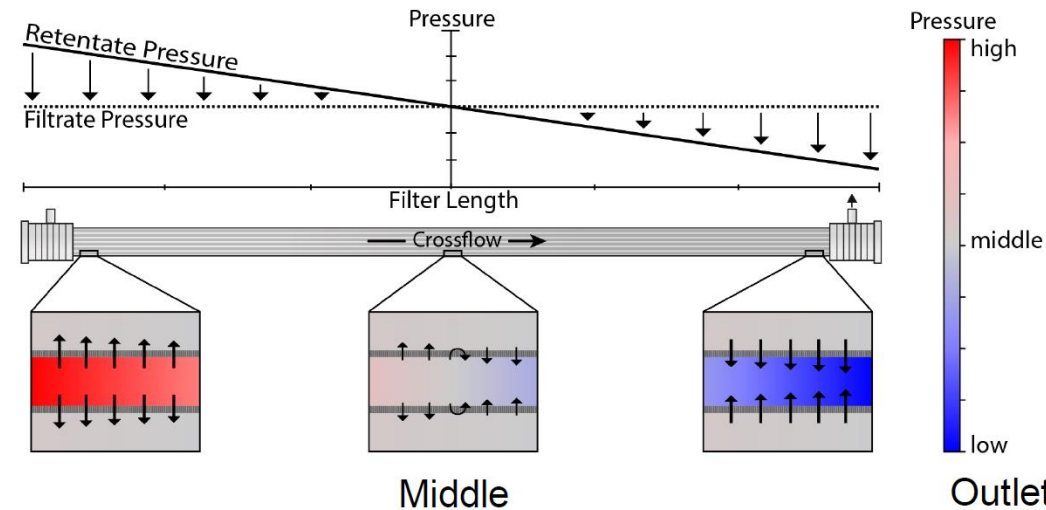
# A closer Look at Pressure Profiles in TFF



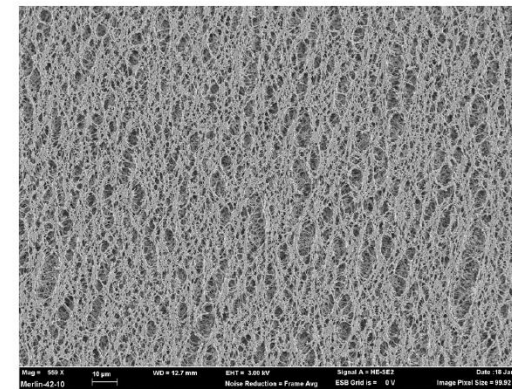
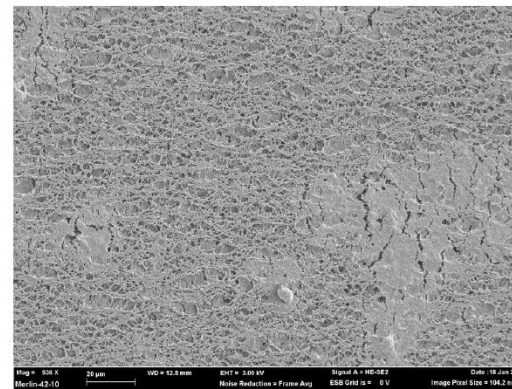
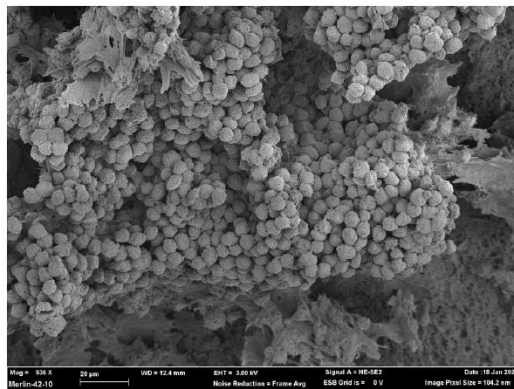
- Pressure drop along filter module → TMP changes
- Starling Recirculation (**backflush**, but comes with **much higher filtrate flux**)
- Unidirectional crossflow: only first half of filter used

# SEM pictures of TFF after several weeks

Also shown with computational fluid dynamics ([1] Radoniqi et al, 2018)



Runtime of several weeks with dynamic perfusion



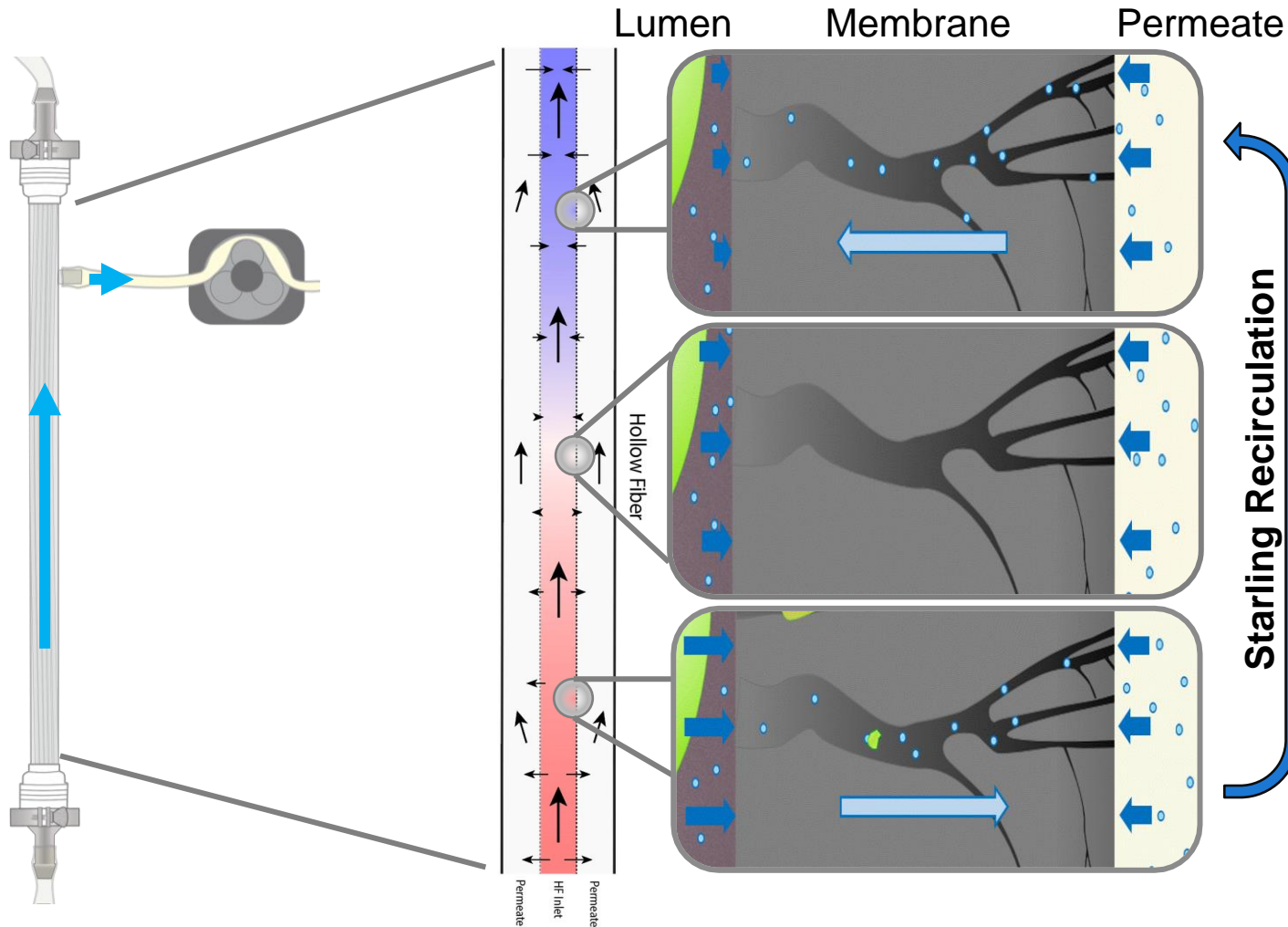
Images acquired by Alexandra Graff (FMI)

New cell retention technologies

5

SEM picture reference: Dominik Schieman, "Product sieving understanding in different TFF operation modes in dynamic perfusion cultures" in "Recovery & Purification", BPI Vienna, (2024).

# Starling Recirculation in TFF



## Filter Outlet:

- Strong backflush

## Middle Section:

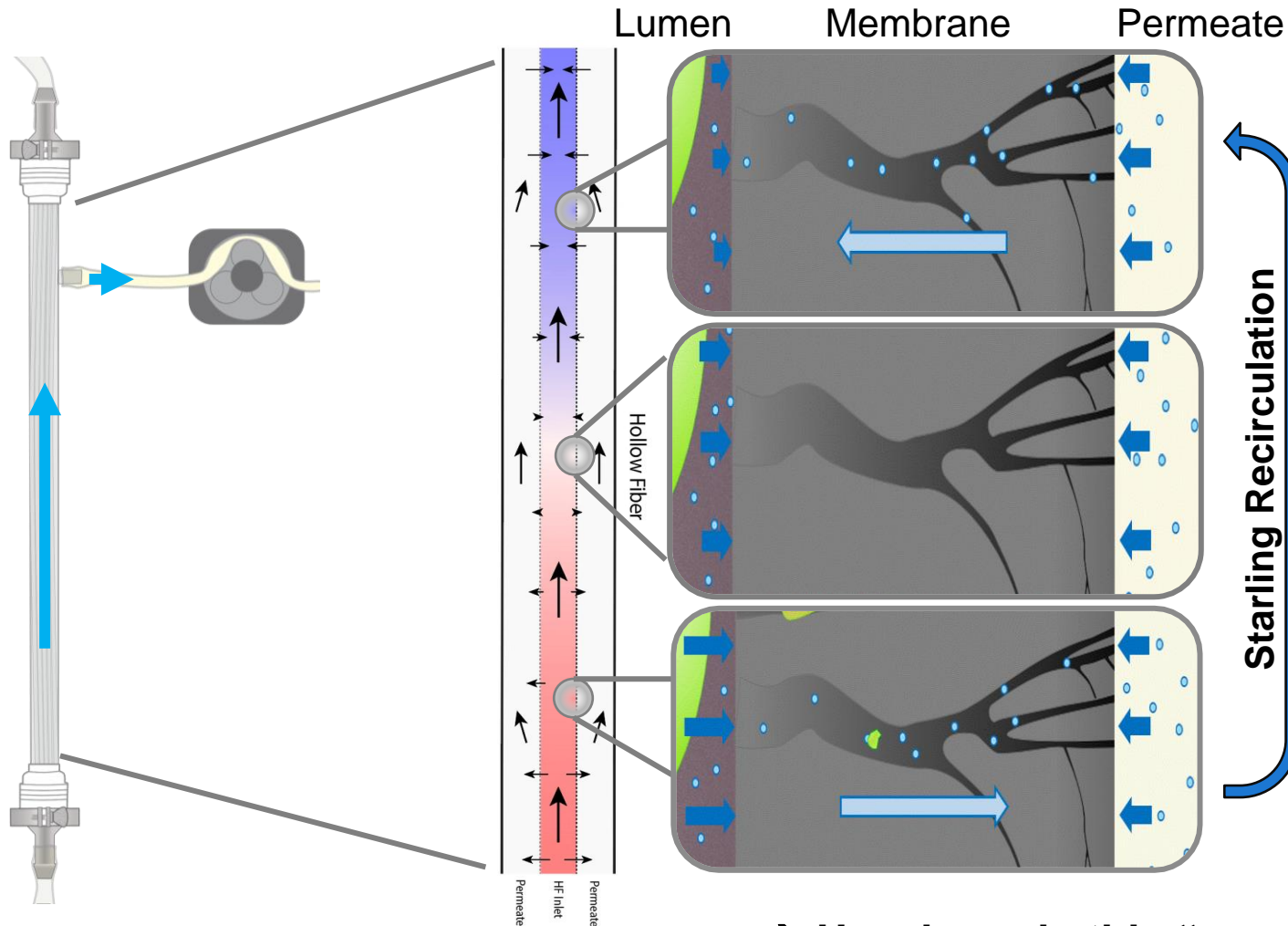
- Neglectable filtration

## Filter Inlet:

- Strong filtration



# Starling Recirculation in TFF



**Filter Outlet:**

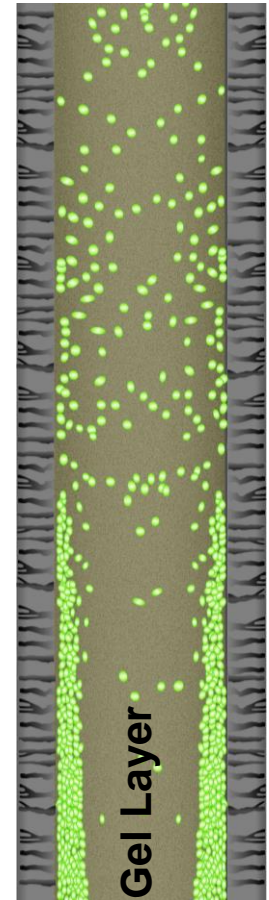
- Strong backflush

**Middle Section:**

- Neglectable filtration

**Filter Inlet:**

- Strong filtration



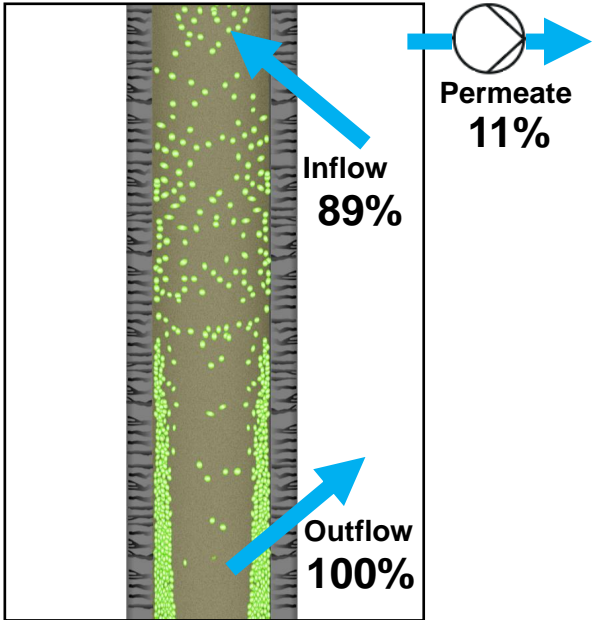
→ How large is this “unnecessary” flux across membrane?

# Starling Flow Quantification

Feed Solution	Cross flow velocity (m·s <sup>-1</sup> )	Pressurized phase		
		Outflow (ml·min <sup>-1</sup> )	Inflow (ml·min <sup>-1</sup> )	Avg. Permeate flow rate (ml·min <sup>-1</sup> )
Water	0.11	0.077	0.056	0.021
	0.22	0.151	0.130	0.021
	0.70	0.190	0.170	0.021

Note. Outflow: permeate flow out of the lumen; inflow: permeate flow back into the lumen.

Radoniqi F, Zhang H, Bardliving CL, Shamlou P, Coffman J. Computational fluid dynamic modeling of alternating tangential flow filtration for perfusion cell culture. *Biotechnology and Bioengineering*. 2018;115: 2751–2759. <https://doi.org/10.1002/bit.26813>



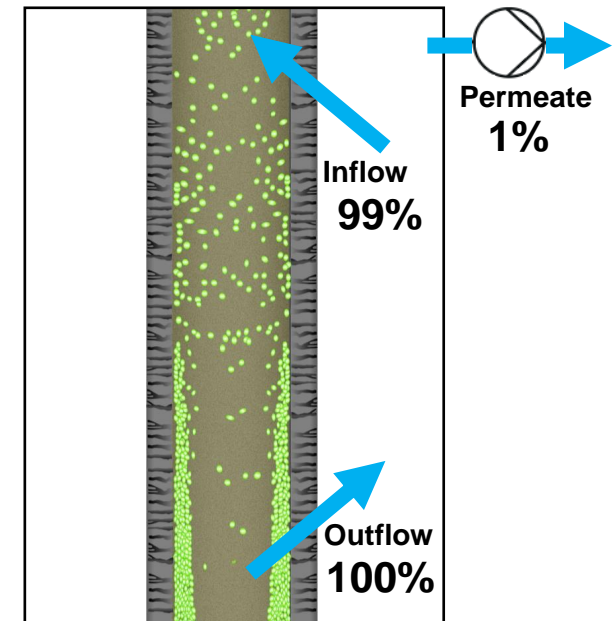
**Study summary:**

- 20 cm hollow fiber filter used
- 0.7 m/s corresponds to 1000 s<sup>-1</sup>
- 2 L/m<sup>2</sup>/h permeate flux
- 89% Inflow, only 11% Permeate

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- 89% Inflow, only 11% Permeate

## More common scenario:

- 60+ cm hollow fiber filter
- 1000 - 2000 s<sup>-1</sup>
- Permeate < 1-5%
- **Starling Recirculation: 95 - 99%**



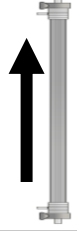
# How to reduce Starling Recirculation?



## Culture Viscosity:

- Increases pressure drop
- **Reduce** viscosity

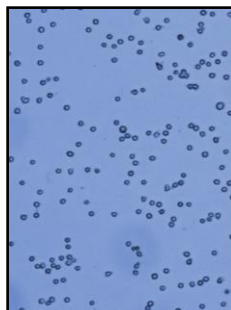
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**Crossflow Velocity:**

- Increases pressure drop
- Limitation: restriction to low crossflows

→ **Reduce Crossflow**



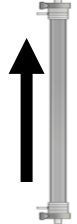
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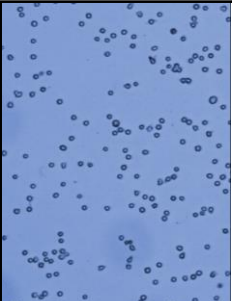
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**Filter Length:**

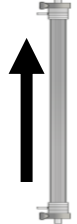


- Increases pressure drop
- Limitation: restriction to shorter filters / parallel setups

→ **Reduce filter length**

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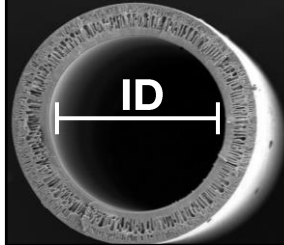
**Crossflow Velocity:**



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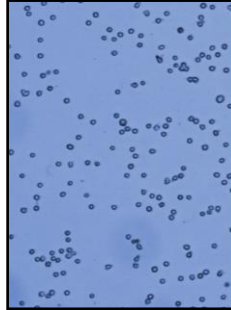
→ **Reduce Crossflow**

**Fiber Lumen Diameter:**



- Small diameters increase pressure drop
- Large diameters reduce membrane surface

→ **Increase lumen diameter**





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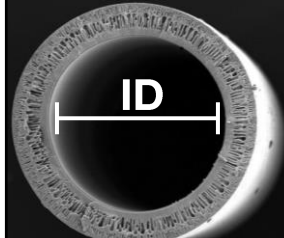
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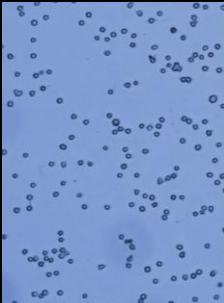
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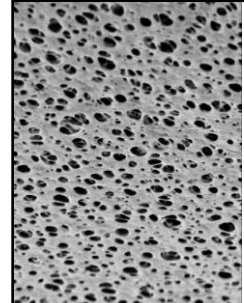
→ **Reduce viscosity**

**Filter Length:**



- Increases pressure drop
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→ **Reduce filter length**



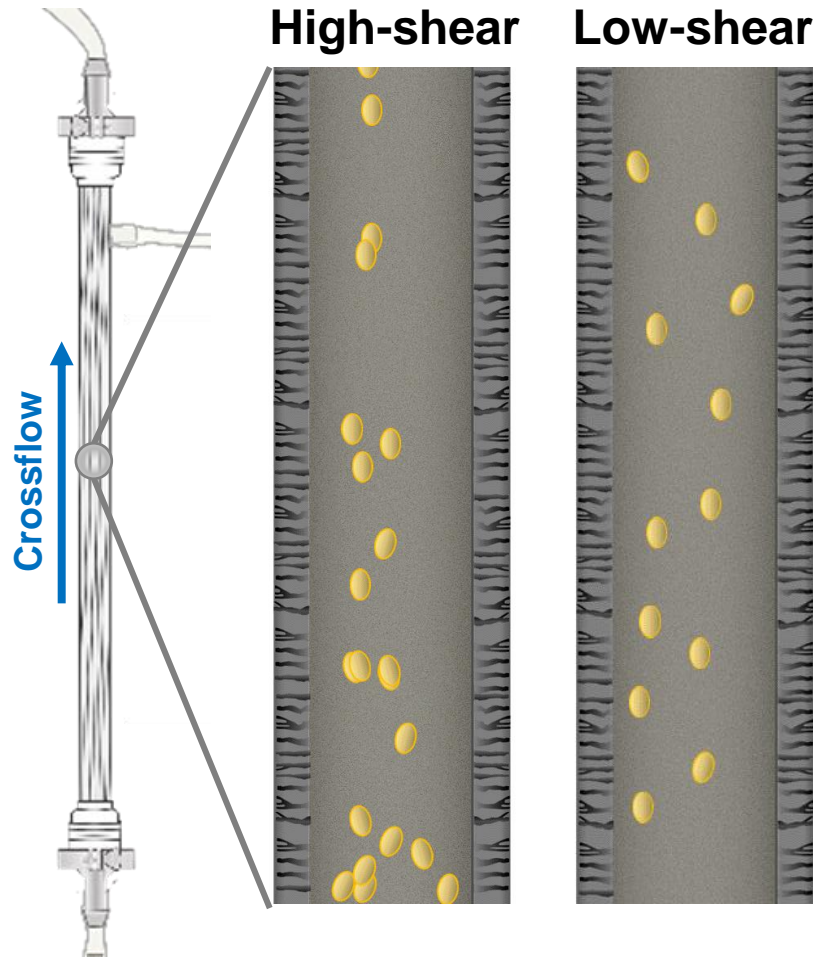
**Membrane Pore Size:**

- Larger pores reduce membrane resistance
- Increase in Starling flow

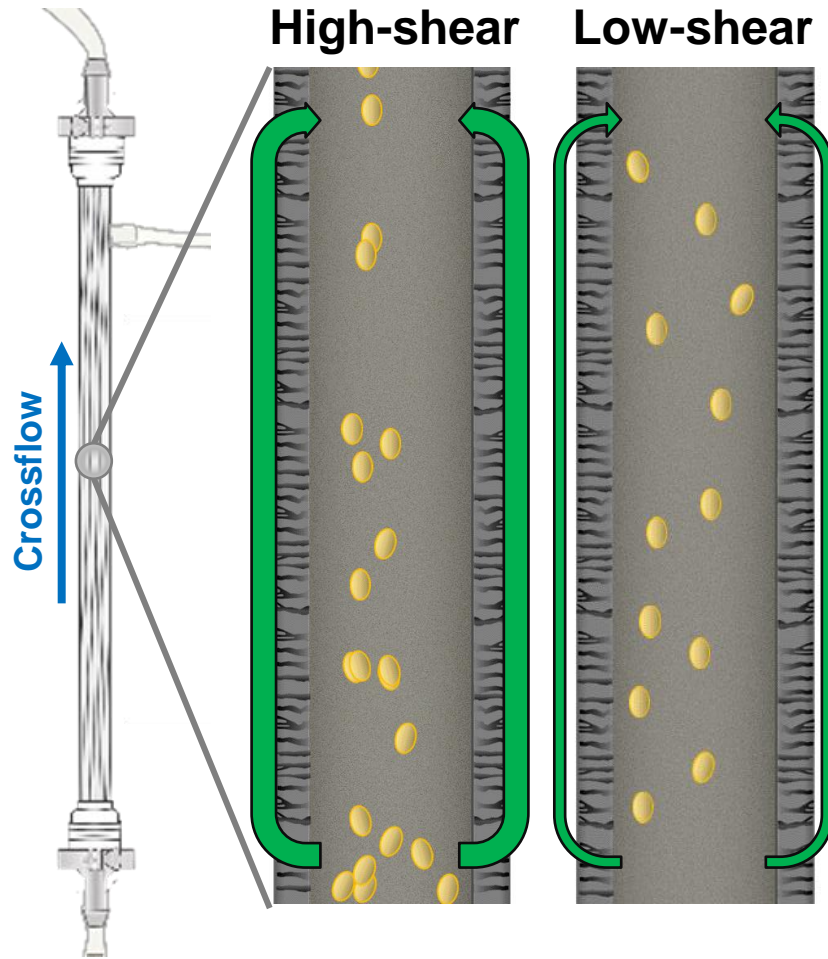
→ **Reduce pore size**

→ **We are very restricted with changing the above factors**

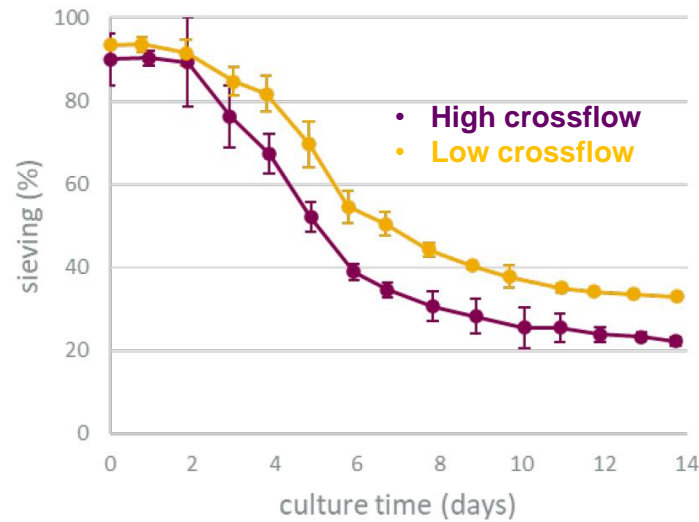
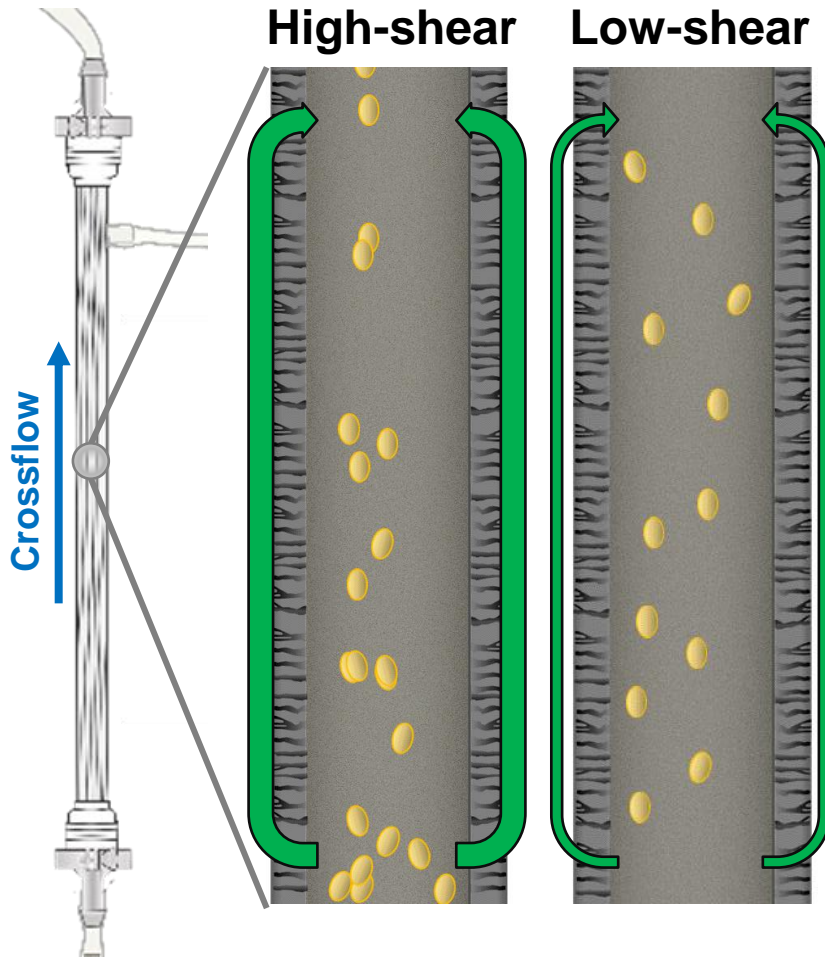
# Crossflow: High vs. Low-shear TFF



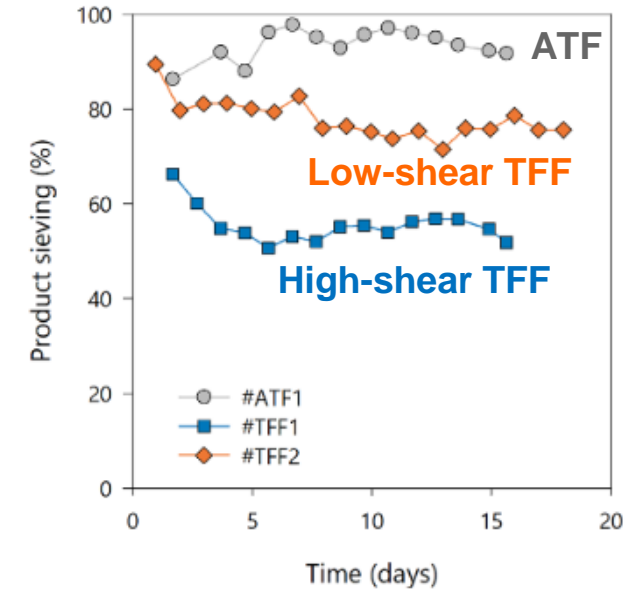
# Crossflow: High vs. Low-shear TFF



# Crossflow: High vs. Low-shear TFF



Reference: Kenneth Lee, "Design considerations when scaling from 3-L to 3000-L or larger" in "Integrated Continuous Biomanufacturing V", ECI Symposium Series, (2022). [https://dc.engconfintl.org/biomanufact\\_v/74](https://dc.engconfintl.org/biomanufact_v/74)



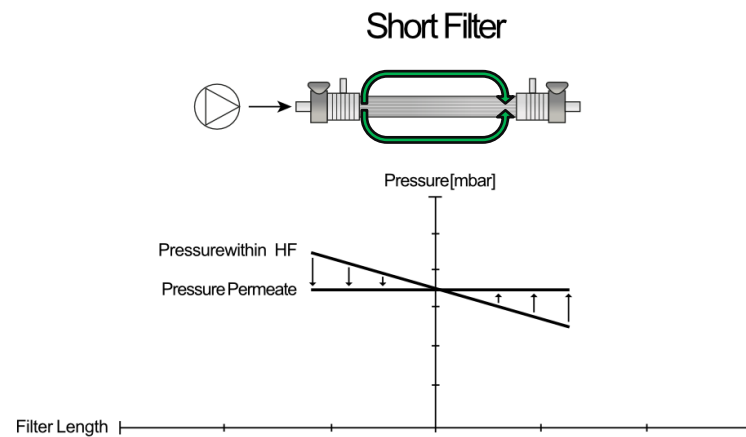
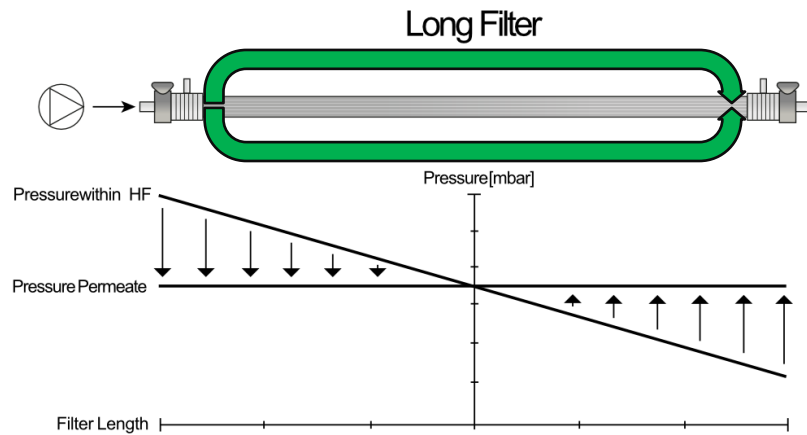
Reference: Pappenreiter, M., Schwarz, H., Sissolak, B., Jungbauer, A., & Chotteau, V. (2023). Product sieving of mAb and its high molecularweight species in different modes of ATF and TFF perfusion cell cultures. Journal of Chemical Technology and Biotechnology, 1-15, <https://doi.org/10.1002/jctb.7386>

## Summary:

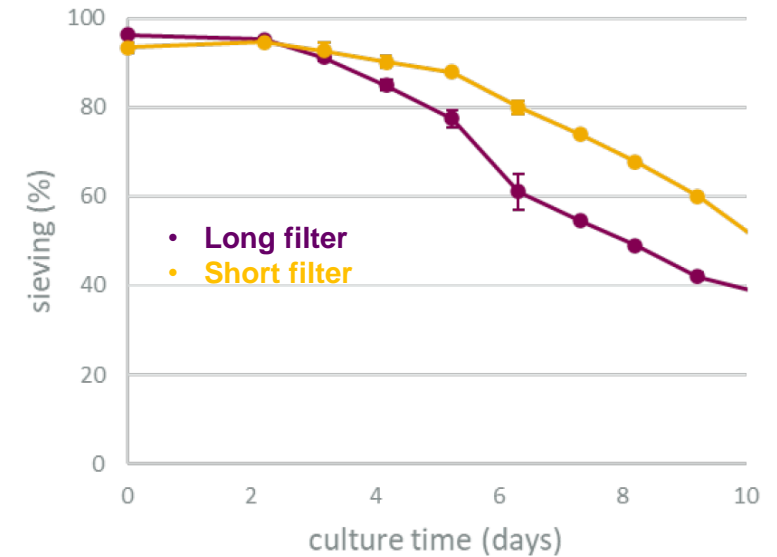
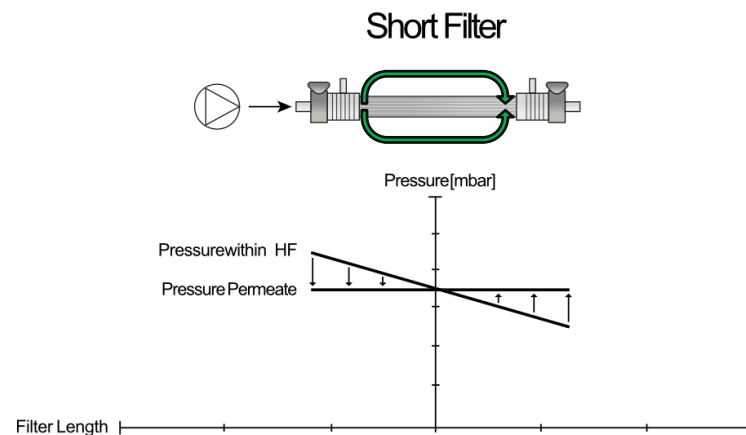
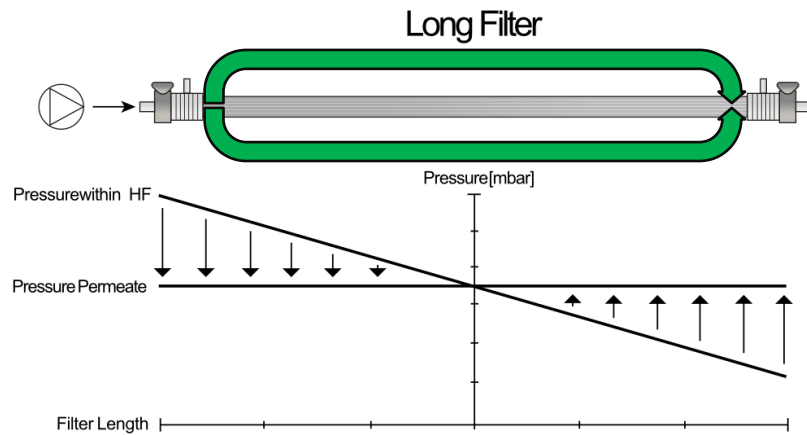
- Low-shear outperforms high-shear in perfusion application
- Magic number 2000 s-1 gets challenged
- Shear rates < 1000 s-1 are getting of interest



# Filter Length: Impact on TFF Performance



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**Reference:** Kenneth Lee, "Design considerations when scaling from 3-L to 3000-L or larger" in "Integrated Continuous Biomanufacturing V", ECI Symposium Series, (2022). [https://dc.engconfintl.org/biomanufact\\_v/74](https://dc.engconfintl.org/biomanufact_v/74)

## Summary:

- Longer filter increases pressure drop (and Starling recirculation)
- Long filter leads to reduced sieving

# We should minimize Starling Recirculation in TFF

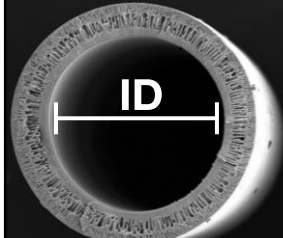
**Crossflow Velocity:**



- Increases pressure drop
- Limitation: restriction to low crossflows

→ **Reduce Crossflow**

**Fiber Lumen Diameter:**

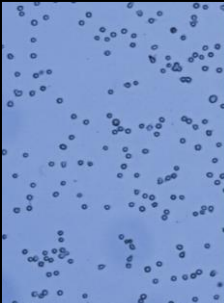


- Small diameters increase pressure drop
- Large diameters reduce membrane surface

→ **Increase lumen diameter**




**Culture Viscosity:**



- Increases pressure drop

→ **Reduce viscosity**

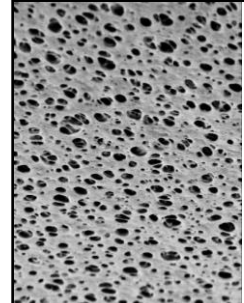
**Filter Length:**



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→ **Reduce filter length**

**Membrane Pore Size:**



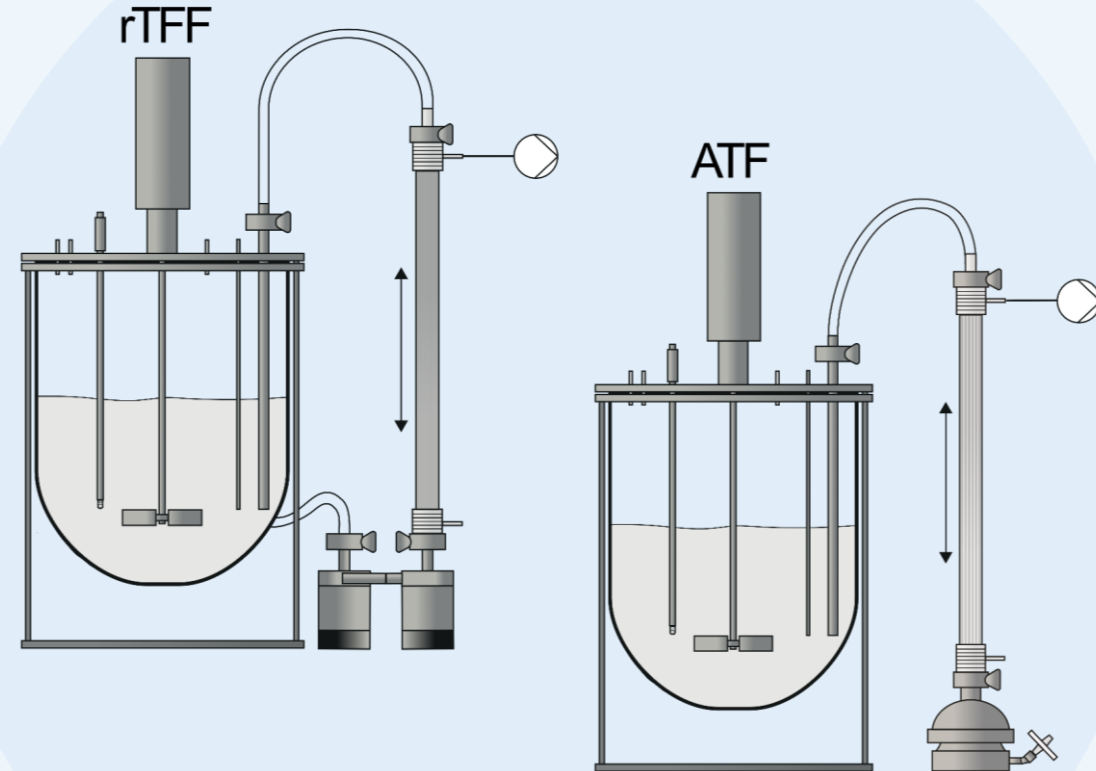
- Larger pores reduce membrane resistance
- Increase in Starling flow

→ **Reduce pore size**

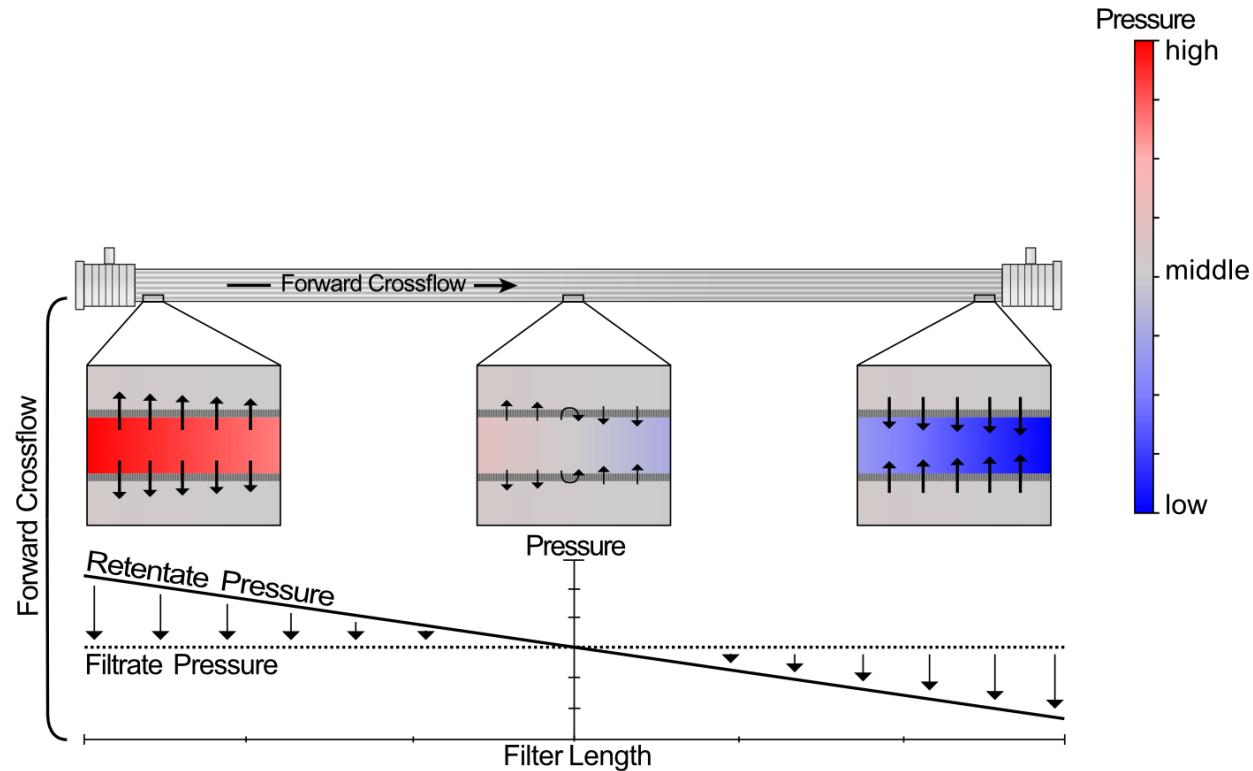
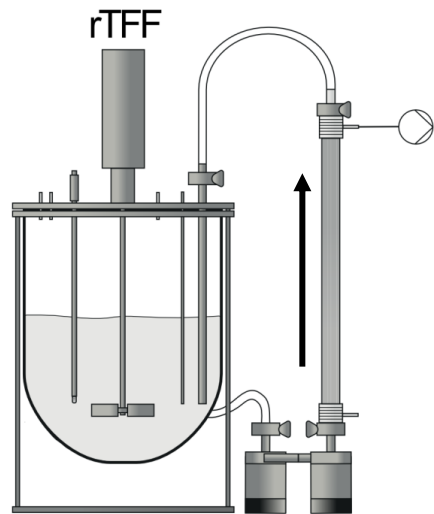
→ **We are very restricted with changing the above factors**

# Alternating Tangential Flow Filtration

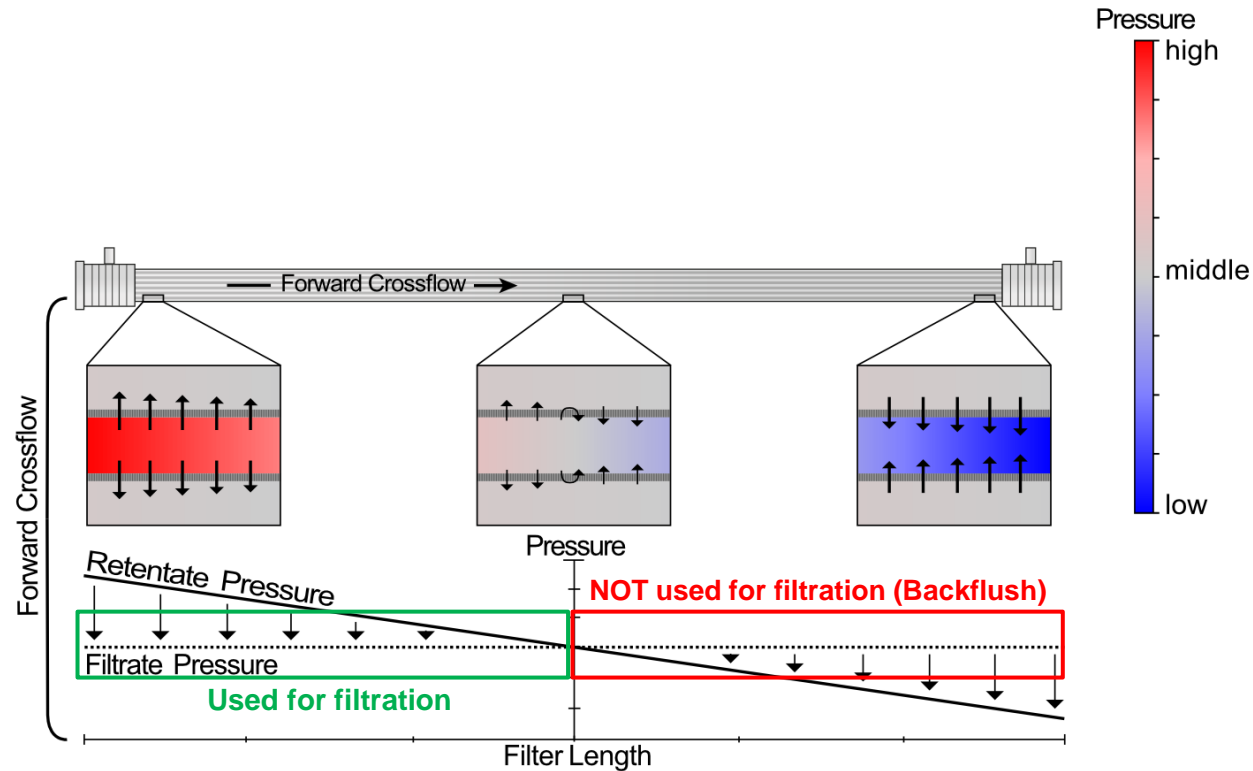
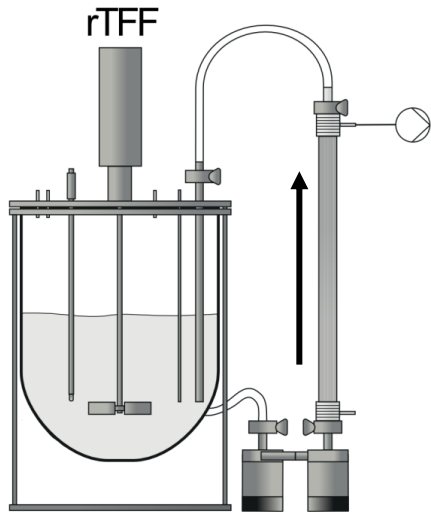
→ Alternating crossflow (2 cycles)



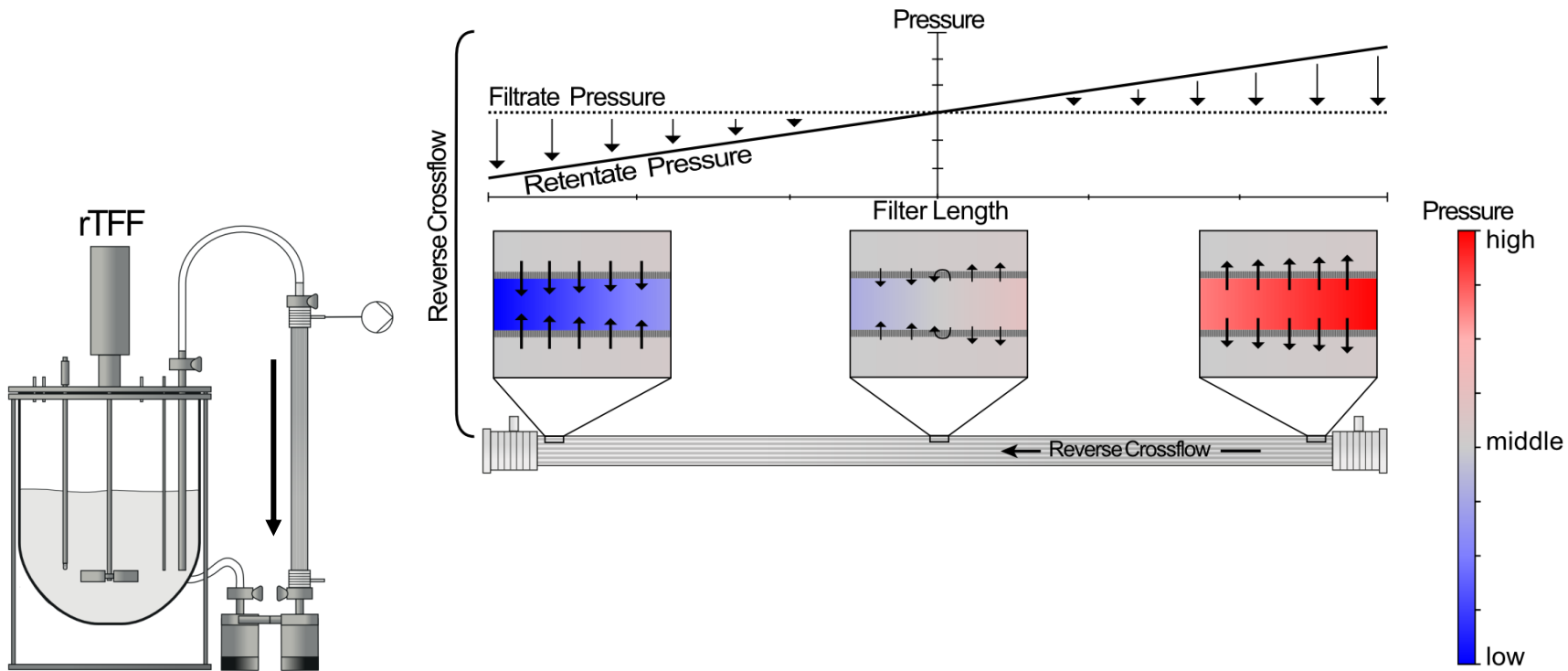
# A closer Look at Pressure Profiles in ATF/rTFF



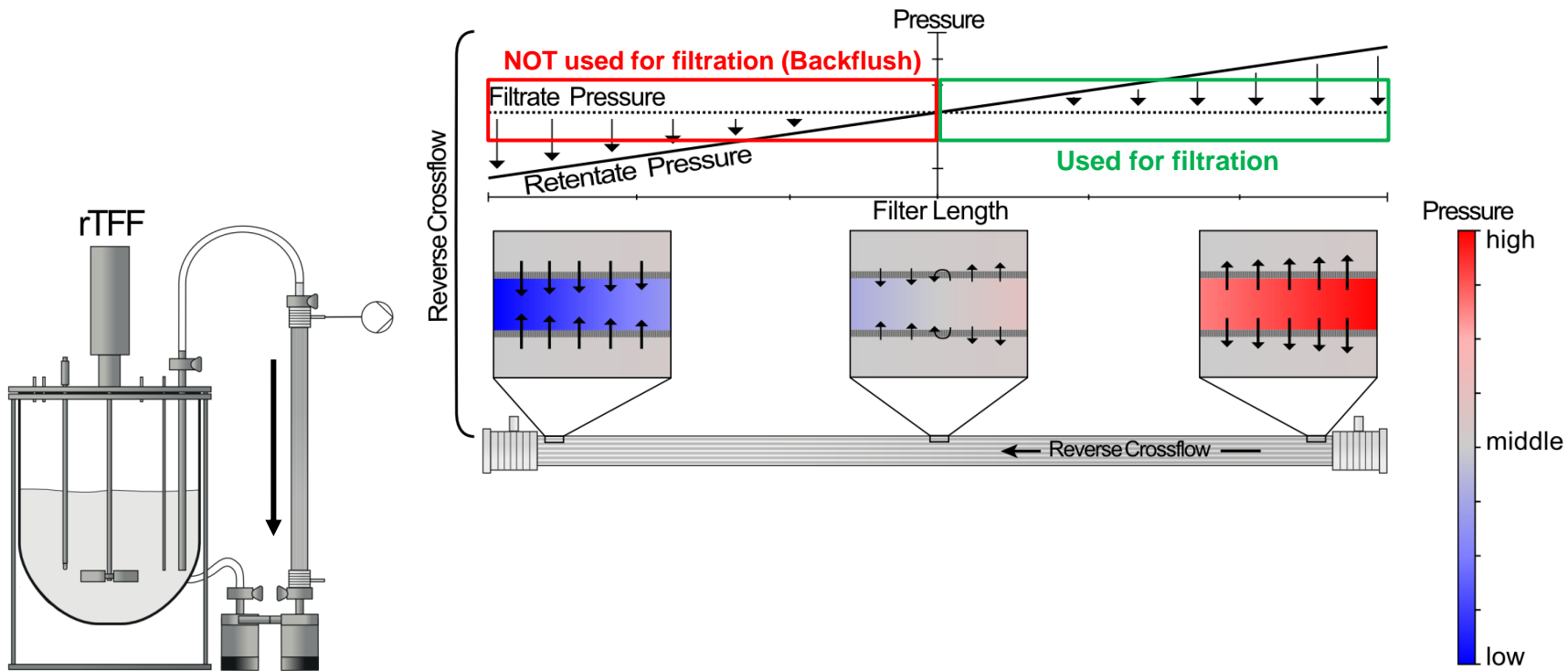
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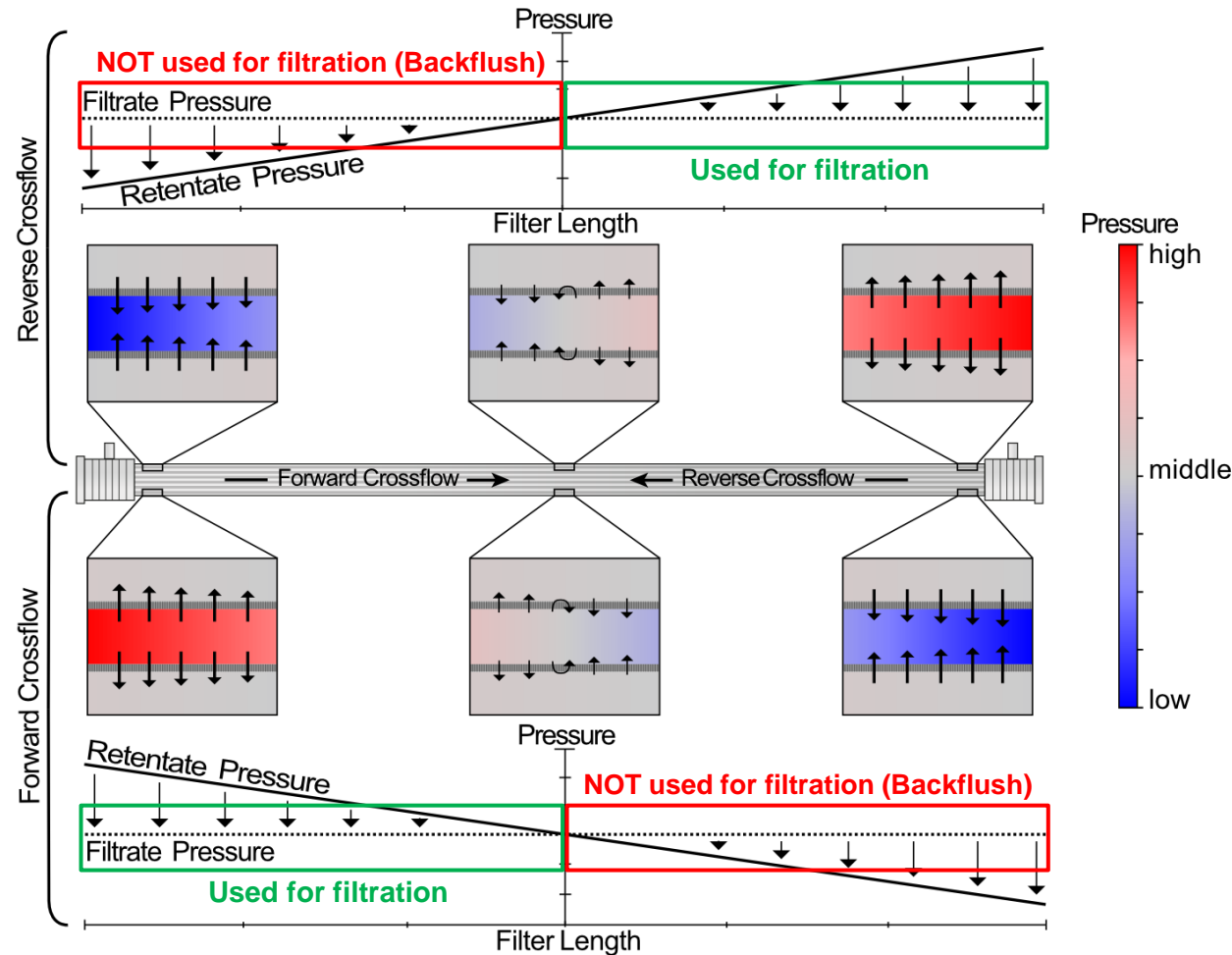
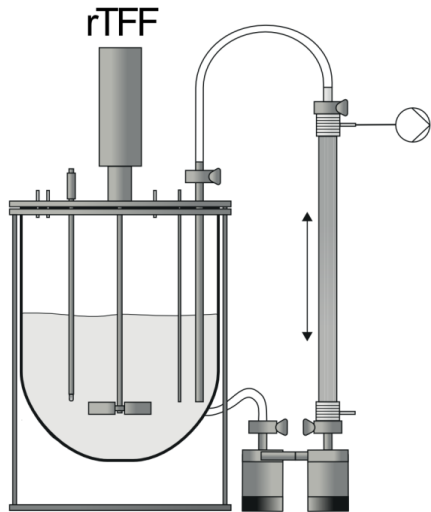


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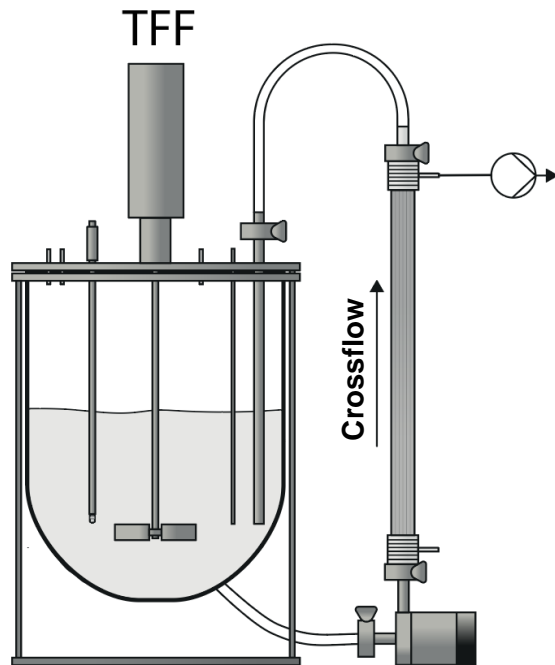


# A closer Look at Pressure Profiles in ATF/rTFF



- Similar to TFF:**
- Pressure drop along filter
  - Starling Recirculation
- Difference to TFF:**
- Alternating Crossflow
  - Alternating Starling Recirculation (Backflush)

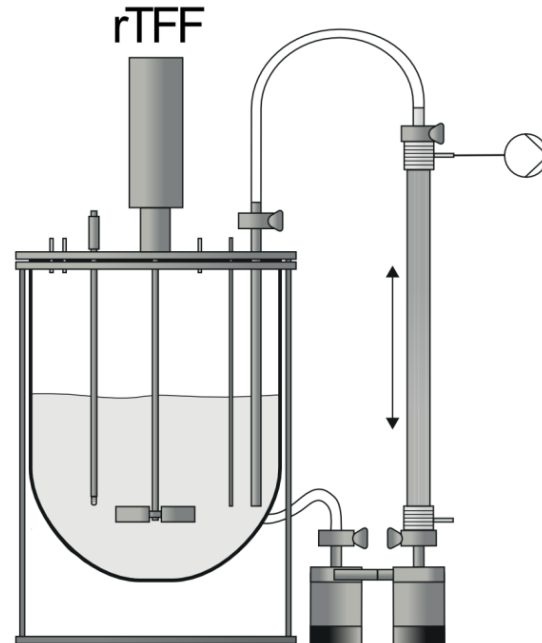
# Steady-state Perfusion: TFF vs. rTFF



## TFF:

- 1 pump
- Unidirectional flow

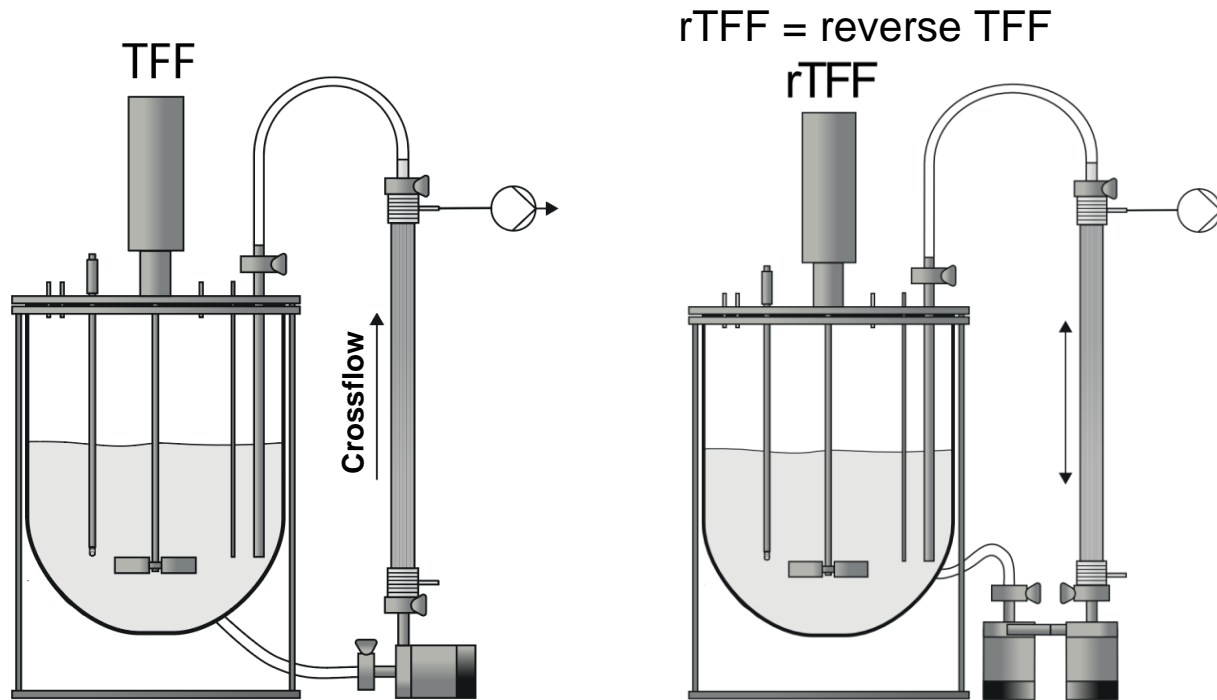
rTFF = reverse TFF



## rTFF (basically ATF):

- 2 pumps
- Alternating flow

# Steady-state Perfusion: TFF vs. rTFF

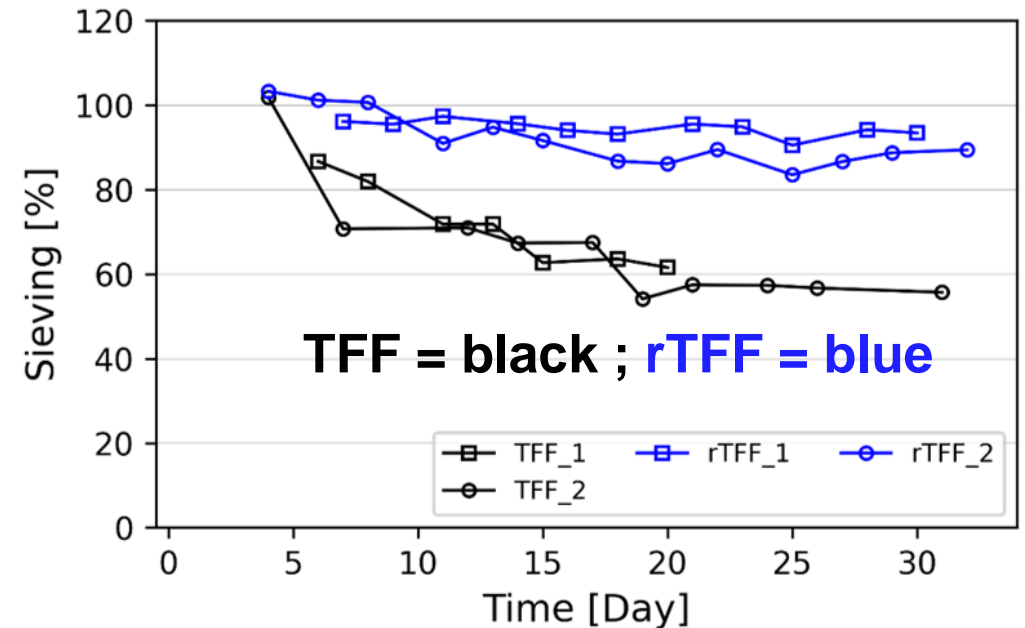


## TFF:

- 1 pump
- Unidirectional flow

## rTFF (basically ATF):

- 2 pumps
- Alternating flow



## Product Sieving:

- rTFF: Much higher product sieving

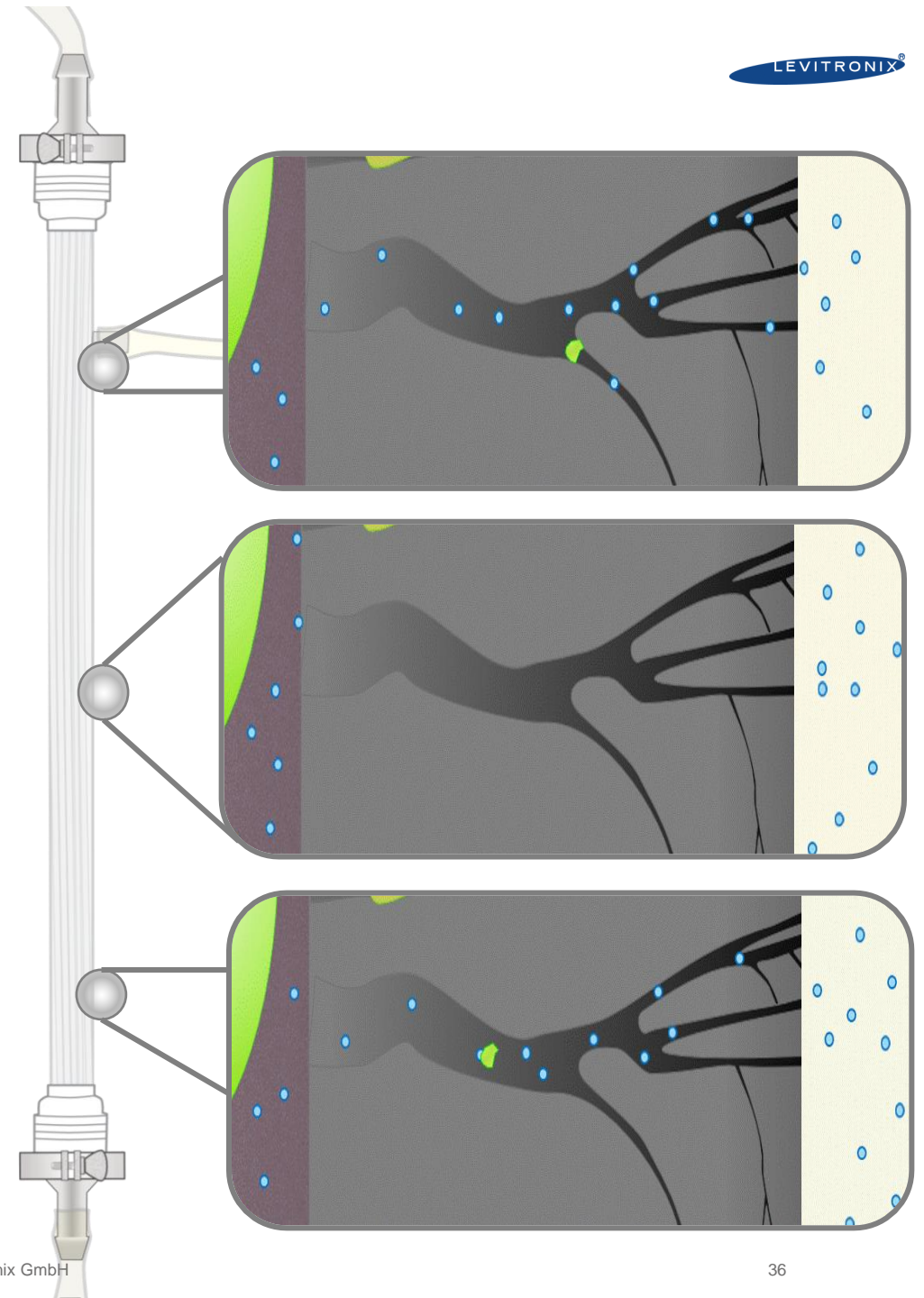
Reference: Romann, P., Giller, P., Sibilia, A., Herwig, C., Zydney, A. L., Perilleux, A., Souquet, J., Bielser, J.-M., & Villiger, T. K. (2023). Co-current filtrate flow in TFF perfusion processes: Decoupling transmembrane pressure from crossflow to improve product sieving. *Biotechnology and Bioengineering*, 1–15. <https://doi.org/10.1002/bit.28589>

# Backflushing is not for free!

## The price for backflushing:


- Everything that flows back must be filtered at the other filter side
- High filtration flux might cause pore blocking
- Controlling Starling Recirculation can be beneficial

→ Do we really need such a high Starling Recirculation (Backflush)?



# Should we also minimize Starling Recirculation in rTFF?

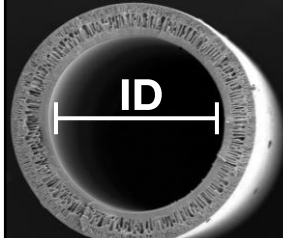
**Crossflow Velocity:**



- Increases pressure drop
- Limitation: restriction to low crossflows

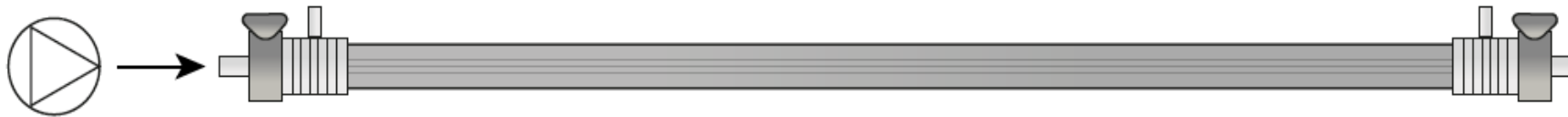
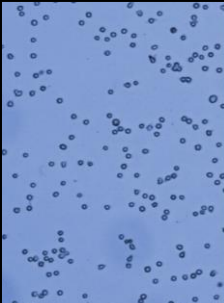
→ **Reduce Crossflow**

**Fiber Lumen Diameter:**



- Small diameters increase pressure drop
- Large diameters reduce membrane surface

→ **Increase lumen diameter**





**Culture Viscosity:**

- Increases pressure drop

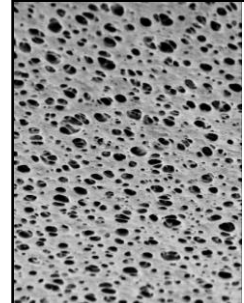
→ **Reduce viscosity**

**Filter Length:**



- Increases pressure drop
- Limitation: restriction to shorter filters / parallel setups

→ **Reduce filter length**



**Membrane Pore Size:**

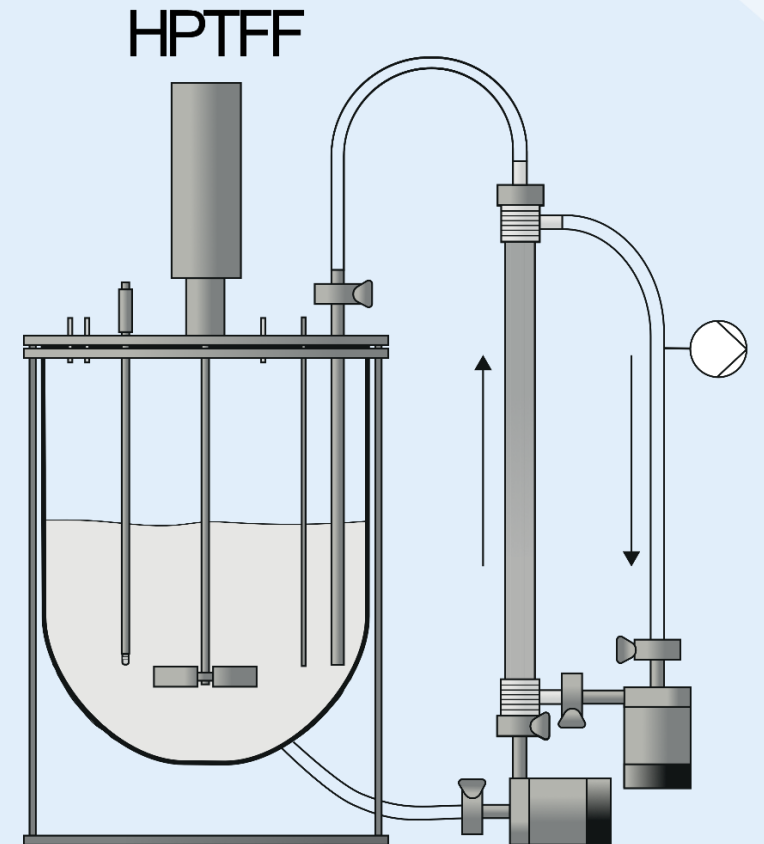
- Larger pores reduce membrane resistance
- Increase in Starling flow

→ **Reduce pore size**

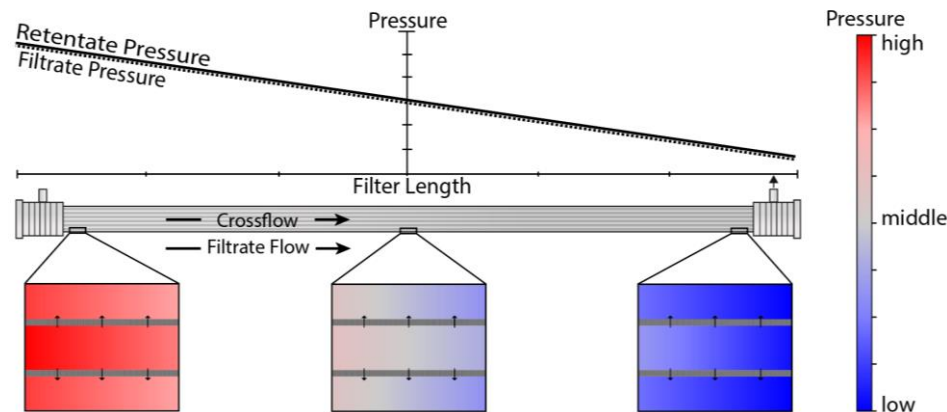
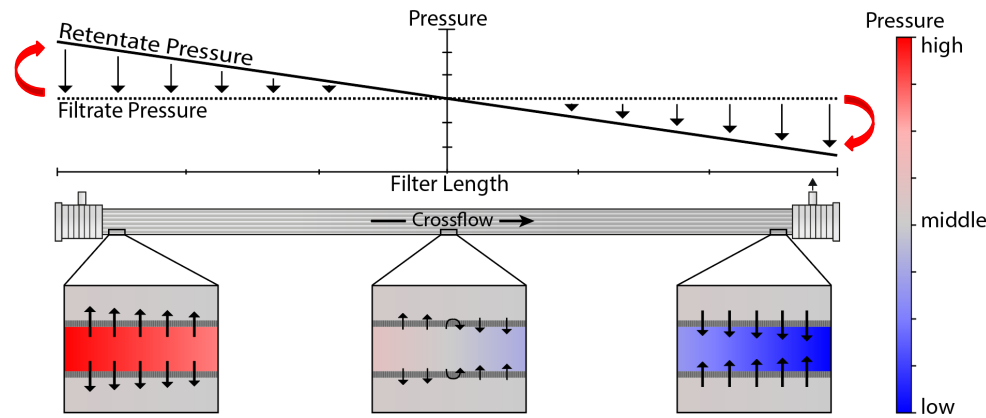
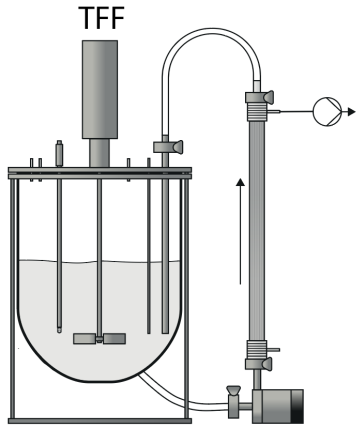
→ **We are very restricted with changing the above factors**

# High-Performance TFF (HPTFF)

→ Removing Starling Flow completely



# Removing Starling Recirculation



## Idea:

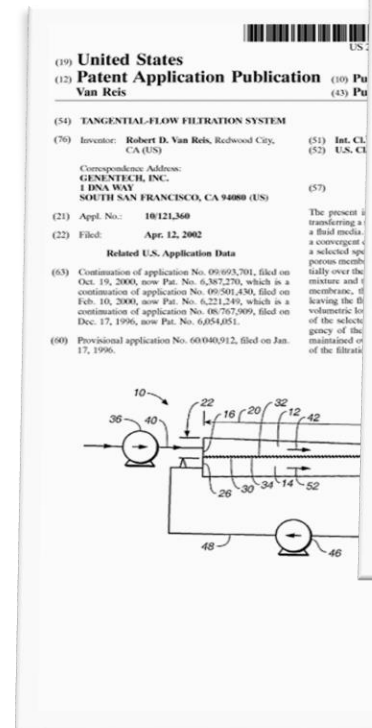
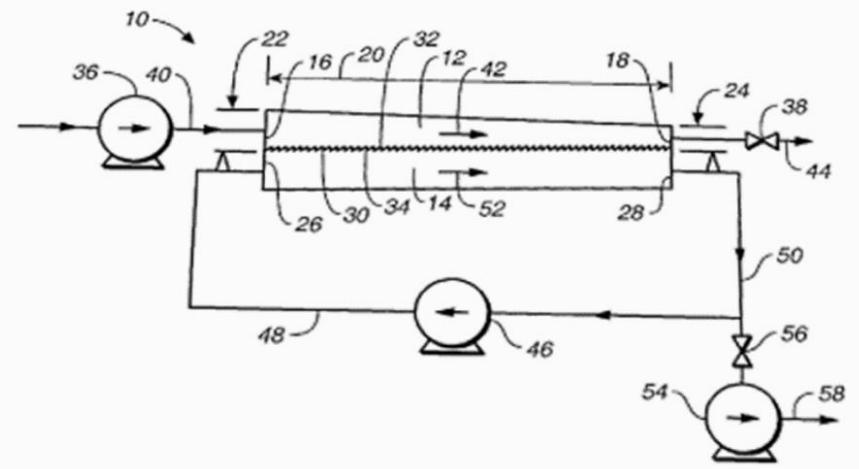
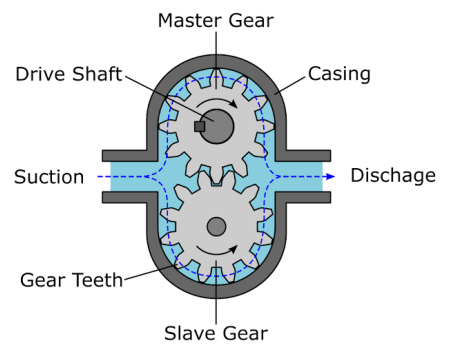
- Establish filtrate pressure gradient
- Match filtrate and retentate pressure
- Eliminate Starling Recirculation



# HPTFF, a Sleeping Beauty

## Patents 5,490,937 & US 2002/0108907

- 1996 patented for DSP
- Idea to create uniform TMP
- Goal to separate proteins by size
- Realized with gear pumps



**United States Patent** [19] **Patent Number:** **5,490,937**  
**van Reis** [45] **Date of Patent:** **Feb. 13, 1996**

[54] **TANGENTIAL FLOW FILTRATION PROCESS AND APPARATUS** 4,971,696 11/1990 Abe et al. 210637  
 5,256,284 10/1993 van Reis

[75] **Inventor:** Robert D. van Reis, Redwood City, Calif.

[73] **Assignee:** Genentech, Inc., South San Francisco, Calif.

[\*] **Notice:** The portion of the term of this patent subsequent to Oct. 26, 2010, has been disclaimed.

[21] **Appl. No.:** 271,223  
 [22] **Filed:** Jul. 6, 1994

**Related U.S. Application Data**

[63] **Continuation of Ser. No. 91,945, Jul. 15, 1993, abandoned, which is a continuation of Ser. No. 583,886, Sep. 17, 1996, Pat. No. 5,256,294.**

[51] **Int. Cl.<sup>6</sup>** B01D 61/22  
 [52] **U.S. Cl.** 210637; 210651; 210651  
 [58] **Field of Search** 210137, 321.65, 651, 109, 321.84

[50] **References Cited**

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 4,935,139 6/1990 Davidson et al.

**ABSTRACT**

Processes and apparatus are provided for separating species of interest from a mixture containing them which comprises subjecting the mixture to tangential-flow filtration, wherein the filtration membrane preferably has a pore size that retains species with a size up to about 10 microns, and the flux is maintained at a level ranging from about 5% up to 100% of transition point flux.

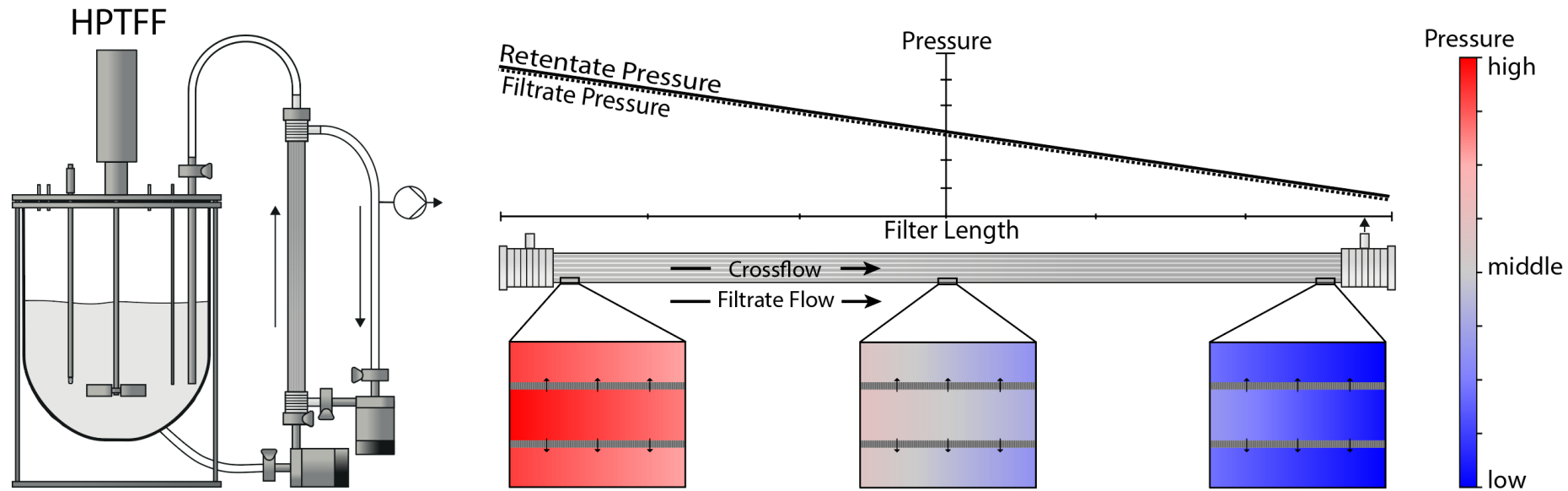
**12 Claims, 11 Drawing Sheets**

**Source 1:** <https://worldwide.espacenet.com/patent/search/family/024334996/publication/US5490937A?q=pn%3DLV11283A>  
**Source 2:** <https://worldwide.espacenet.com/patent/search/family/026717592/publication/US2002108907A1?q=pn%3DUS2002108907A1>  
**Source 3:** <https://marinerspointpro.com/gear-pump-working-types-constructions-parts-applications/>



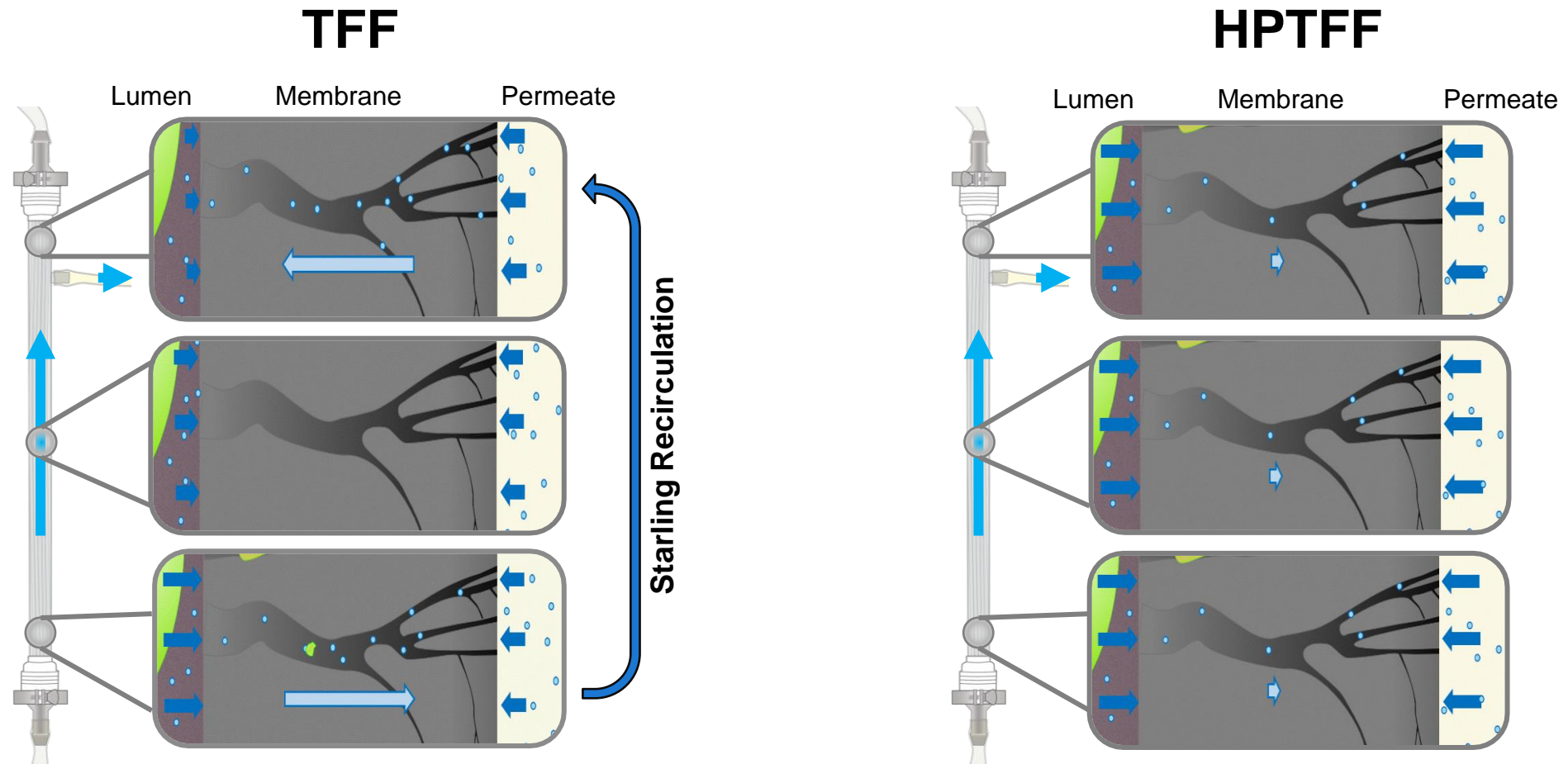


# High-Performance TFF (HPTFF)

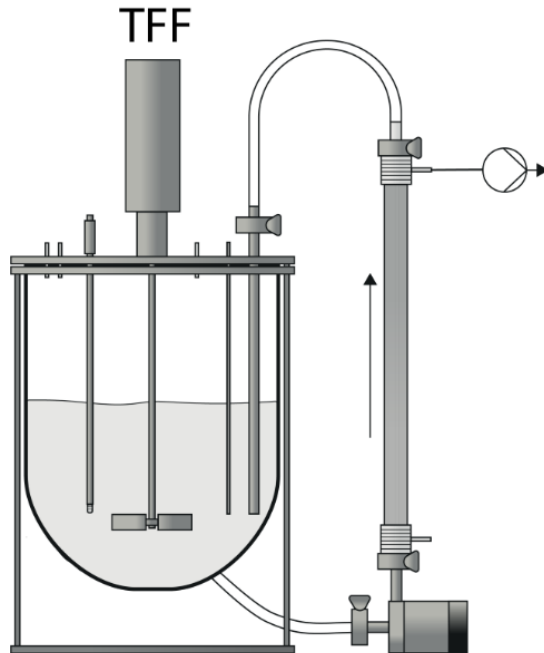


- Co-current filtrate flow (centrifugal pump)
  - Pressure drop on filtrate side
  - No TMP across entire membrane (almost)
- No Starling Recirculation**

# Removing Starling Recirculation

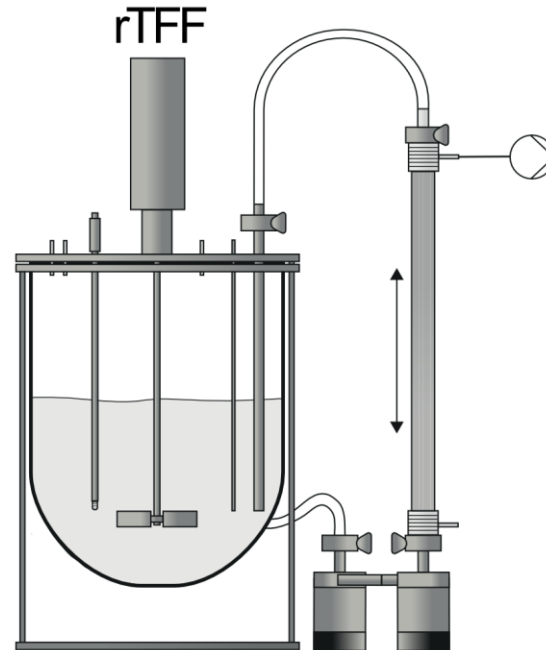


# SS Perfusion: TFF vs. rTFF vs. HPTFF



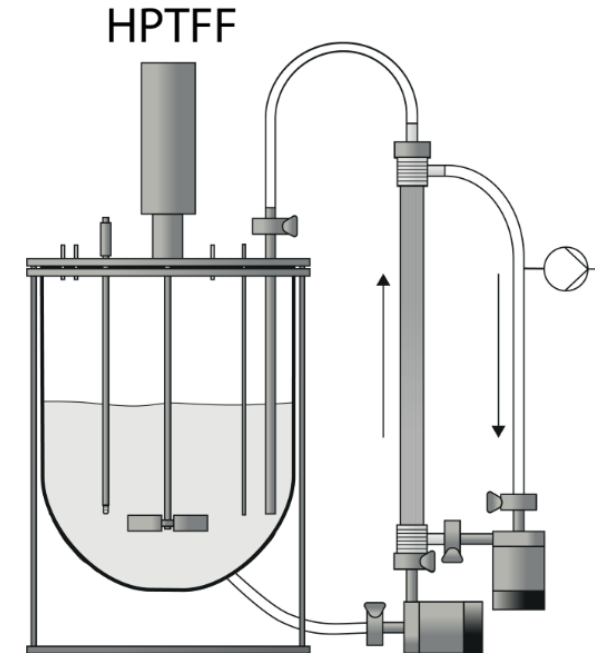
## TFF:

- 1 pump
- Unidirectional flow



## rTFF (basically ATF):

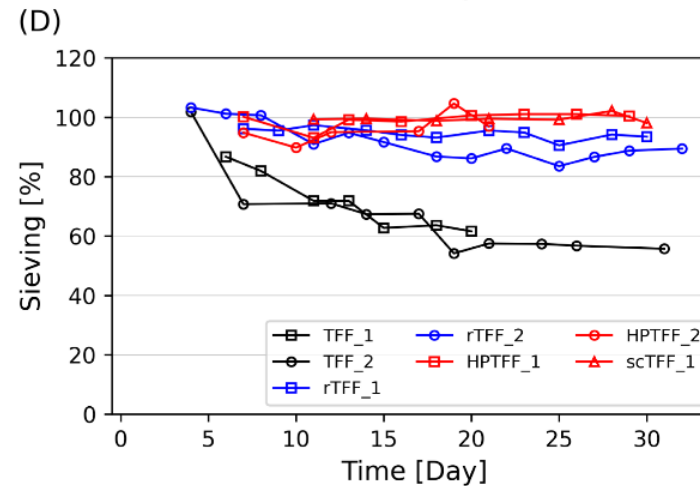
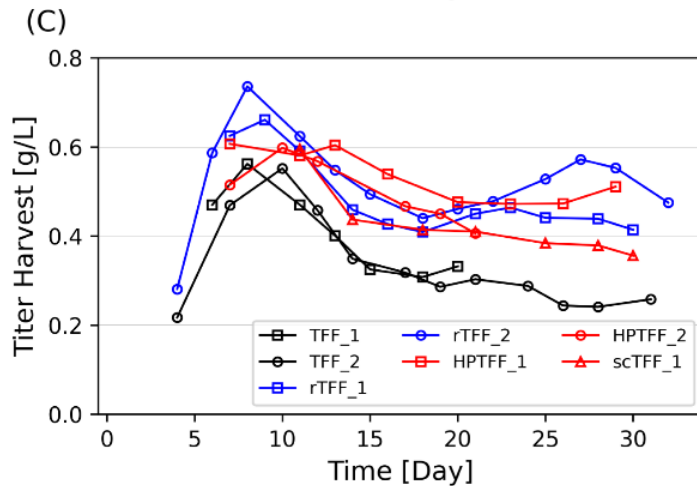
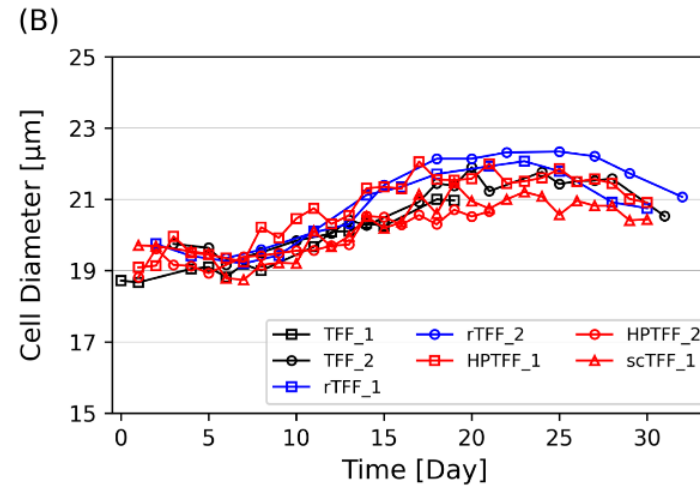
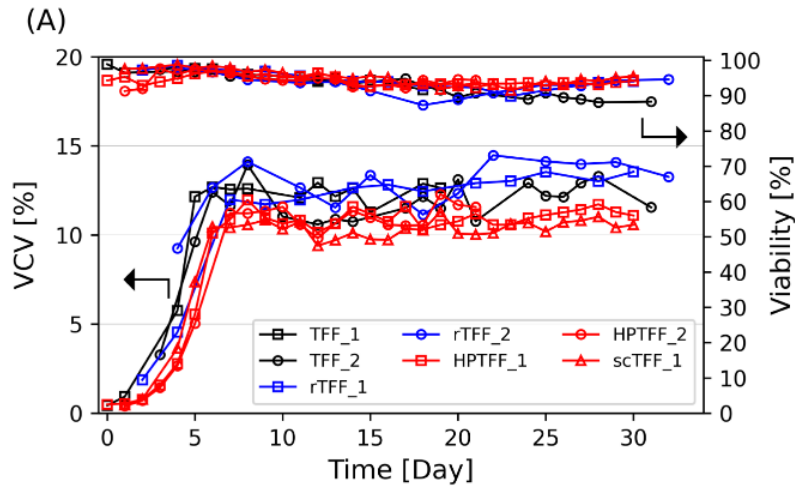
- 2 pumps
- Alternating flow



## HPTFF

- 2 pumps (Co-current filtrate flow)
- Unidirectional flow
- No Starling Recirculation

# Case-Study: Steady-state Perfusion



**TFF = black**

**rTFF = blue**

**HPTFF = red**

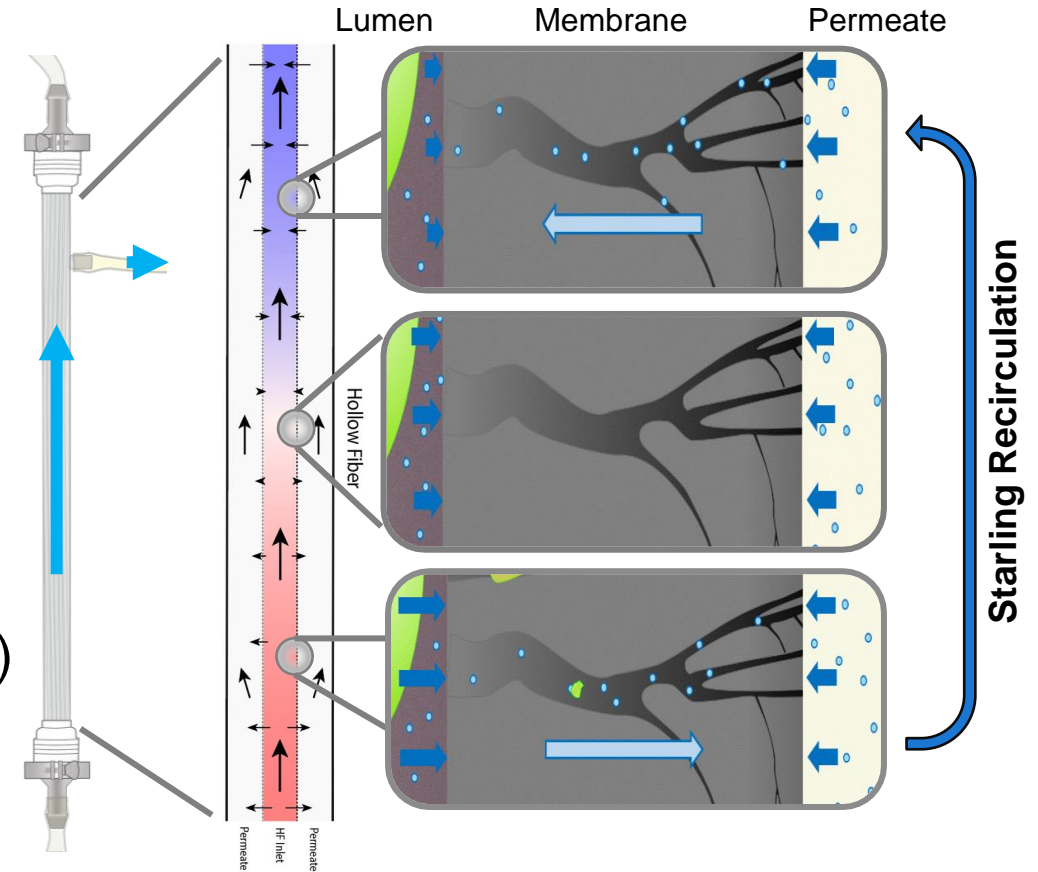
**Results HPTFF:**

- Better Sieving than TFF and rTFF

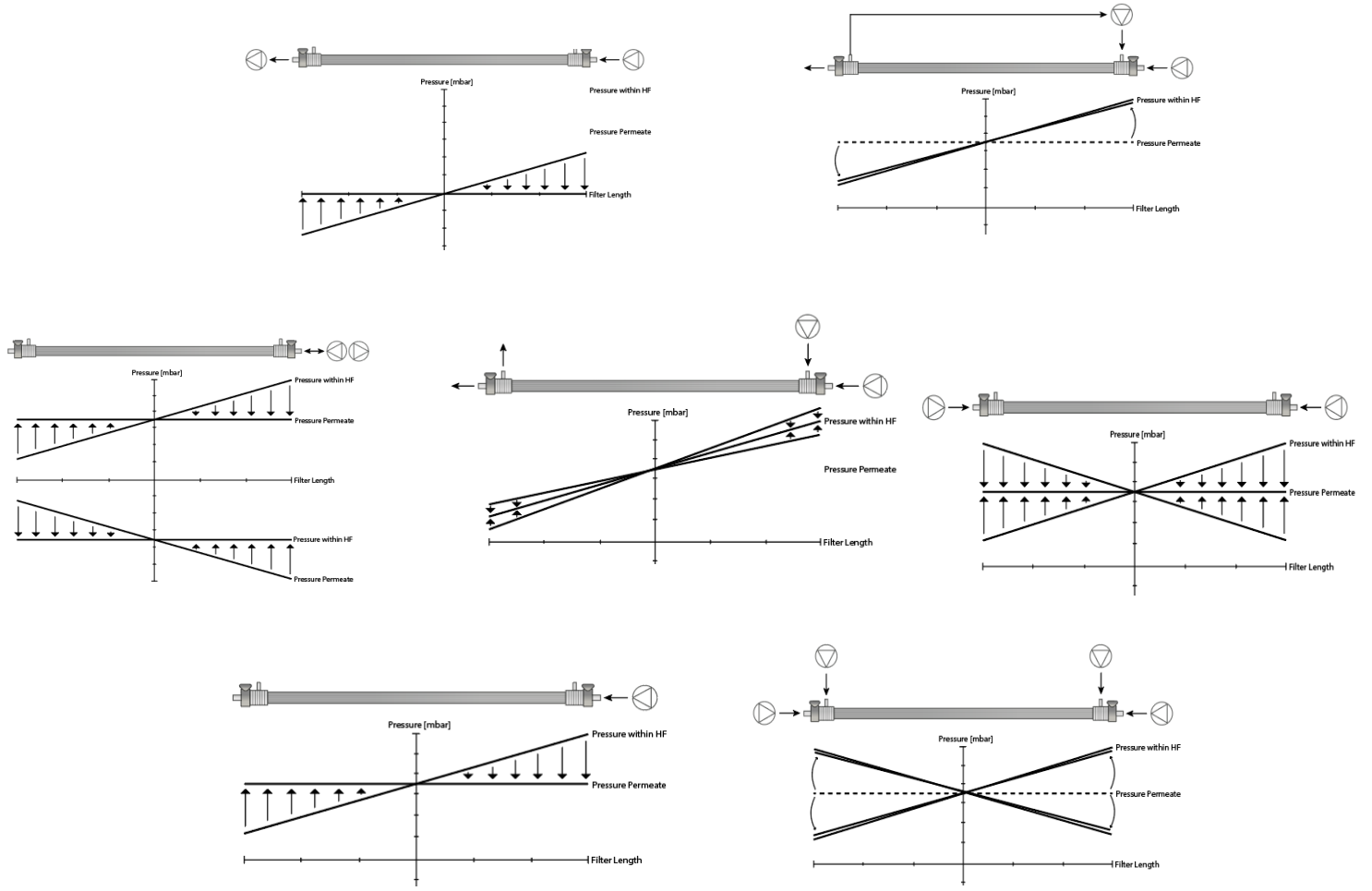
**Reference:** Romann, P., Giller, P., Sibilia, A., Herwig, C., Zydney, A. L., Perilleux, A., Souquet, J., Bielser, J.-M., & Villiger, T. K. (2023). **Co-current filtrate flow in TFF perfusion processes: Decoupling transmembrane pressure from crossflow to improve product sieving.** *Biotechnology and Bioengineering*, 1–15. <https://doi.org/10.1002/bit.28589>

# Conclusion

- Starling Recirculation is a consequence of pressure drop
- Intensity of Starling Recirculation strongly influences filtration performance
- Solutions to Sieving Challenge:
  - Option 1: **Reducing** Starling Recirculation (TFF/rTFF)
  - Option 2: **Eliminating** Starling Recirculation (HPTFF)



# Outlook



and many more ...

# Acknowledgements



## Bioprocess Technology Group

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- Alexandre Chatelin
- Arnaud Périlleux, Dr. Jonathan Souquet
- BTI and Analytics Team



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- Lorenz Schüssler
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- Knut Kuss



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- Prof. Dr. Christoph Herwig

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- Dominik Schiemann (Novartis)

# Q&A

Patrick Romann

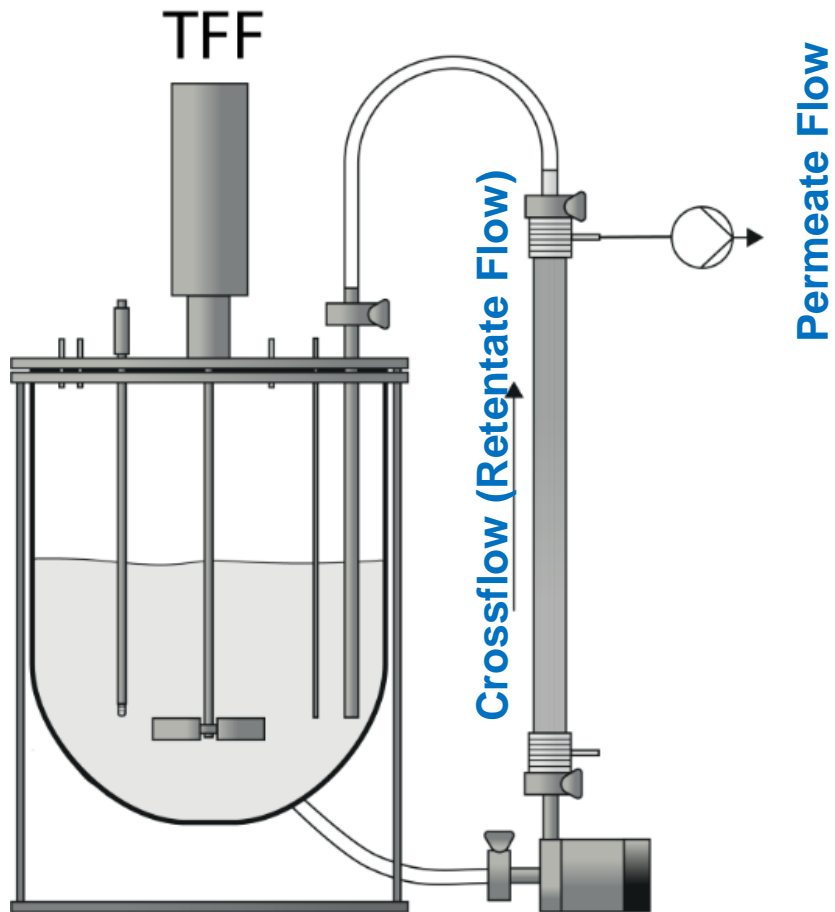
Email:

[patrick.romann@levitronix.com](mailto:patrick.romann@levitronix.com)



# Additional Information

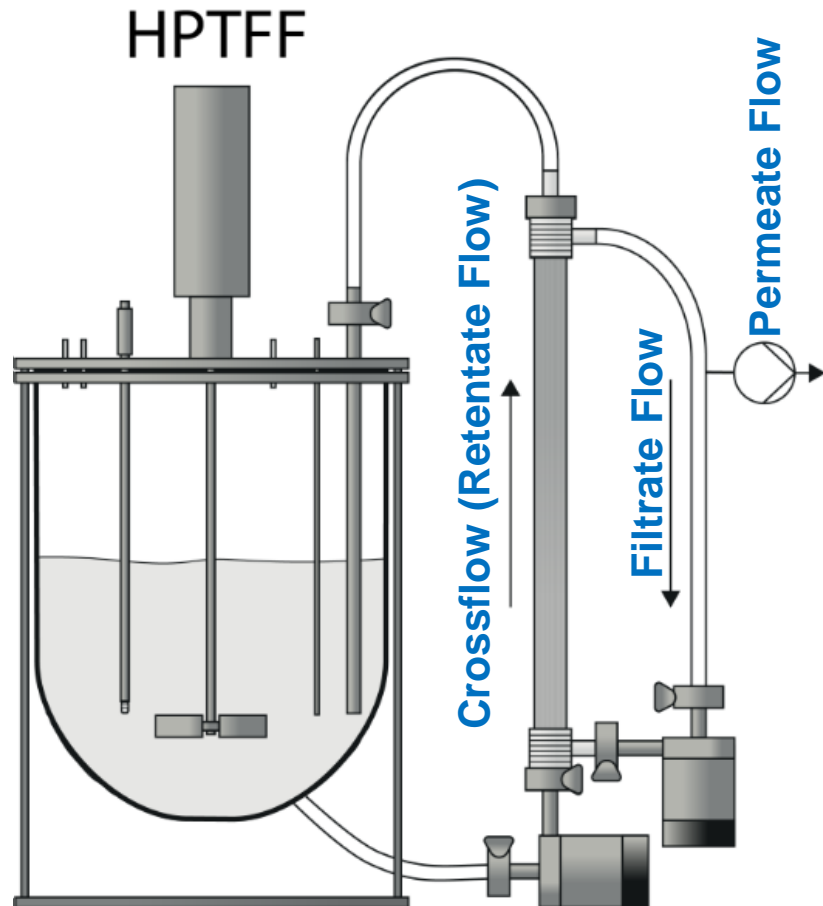
# HPTFF: System Setup



## System Setup:

- Retentate pump (centrifugal)

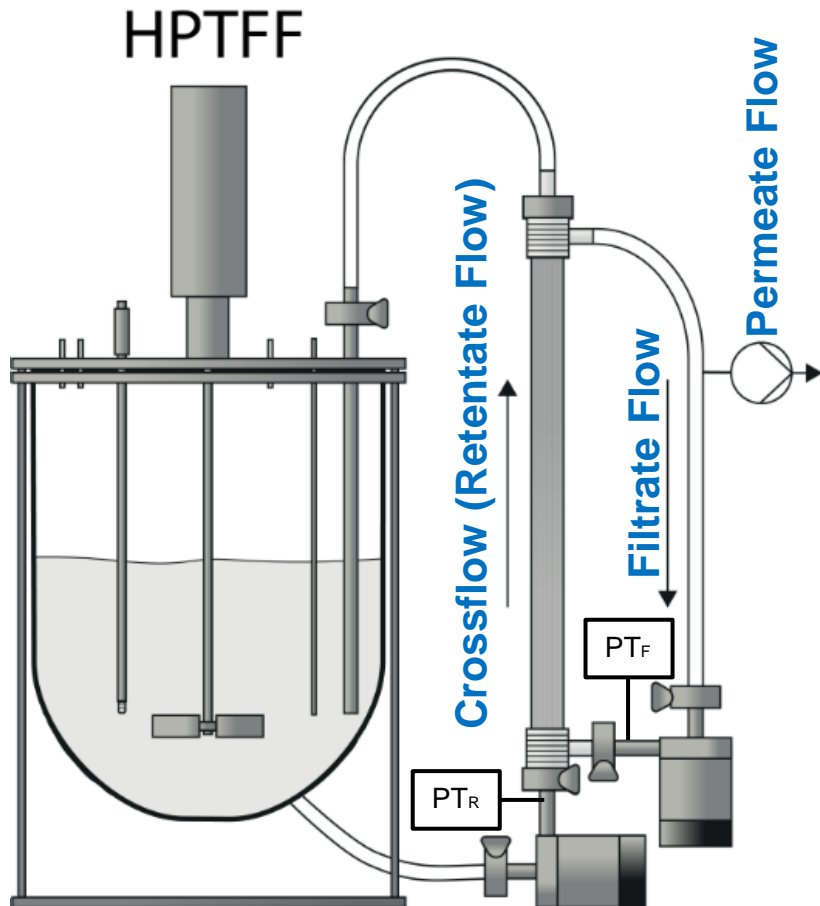
# HPTFF: System Setup



## System Setup:

- Retentate pump (centrifugal)
- Filtrate Loop Pump (centrifugal)

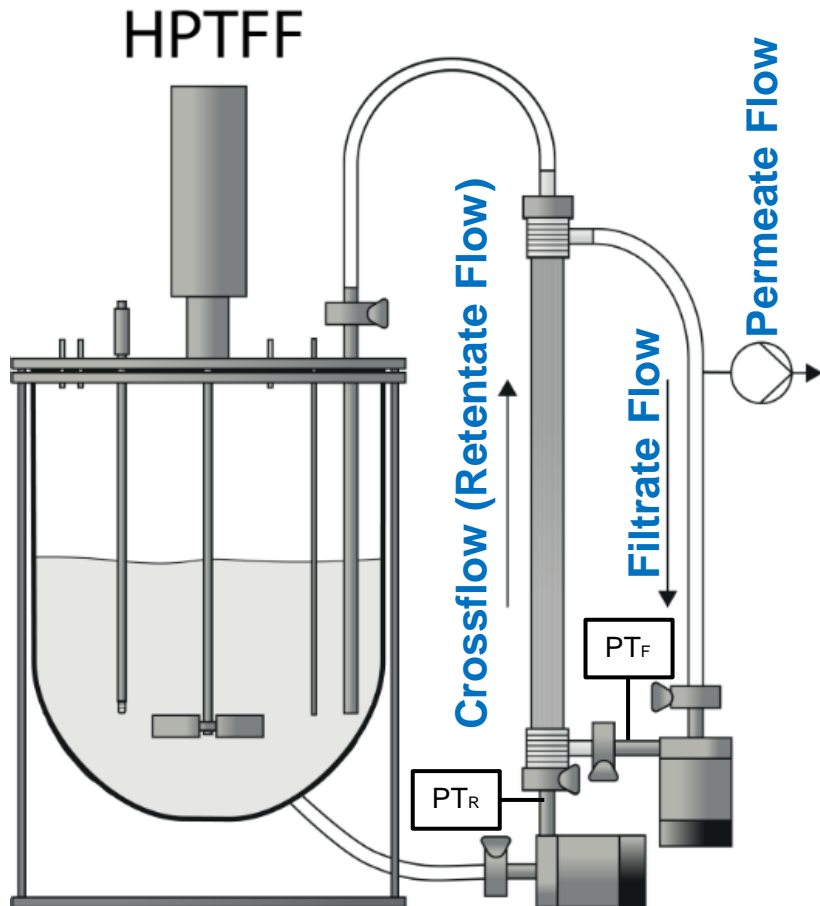
# HPTFF: System Setup



## System Setup:

- Retentate pump (centrifugal)
- Filtrate Loop Pump (centrifugal)
- Pressure Sensors:
  - PT<sub>Rin</sub>: Retentate Inlet
  - PT<sub>Fin</sub>: Filtrate Inlet

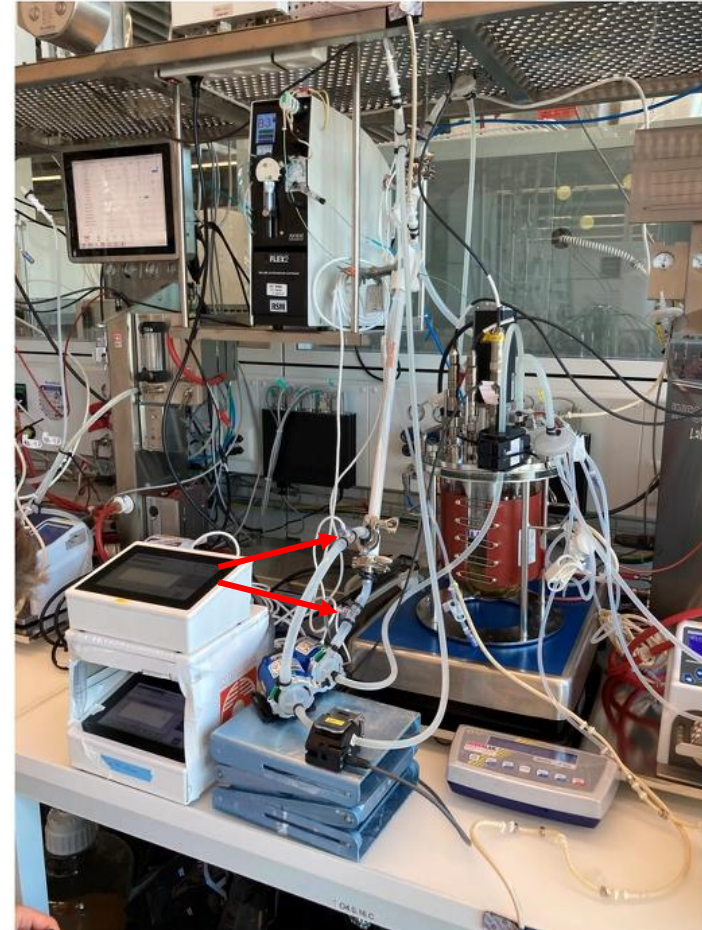
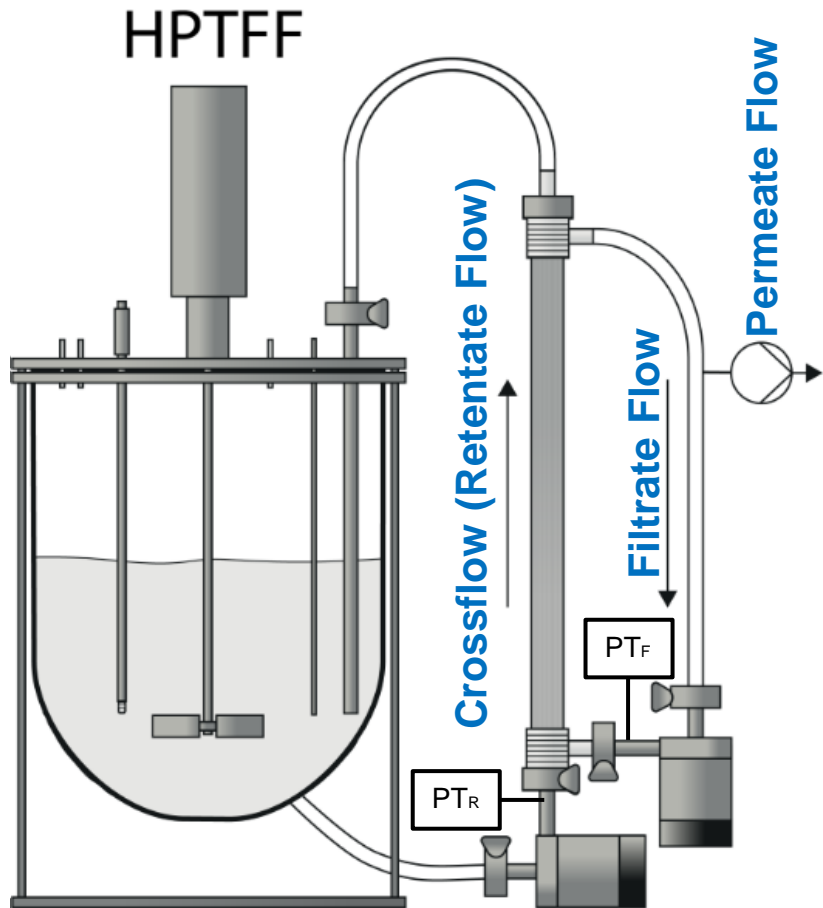
# HPTFF: System Setup



## System Setup:

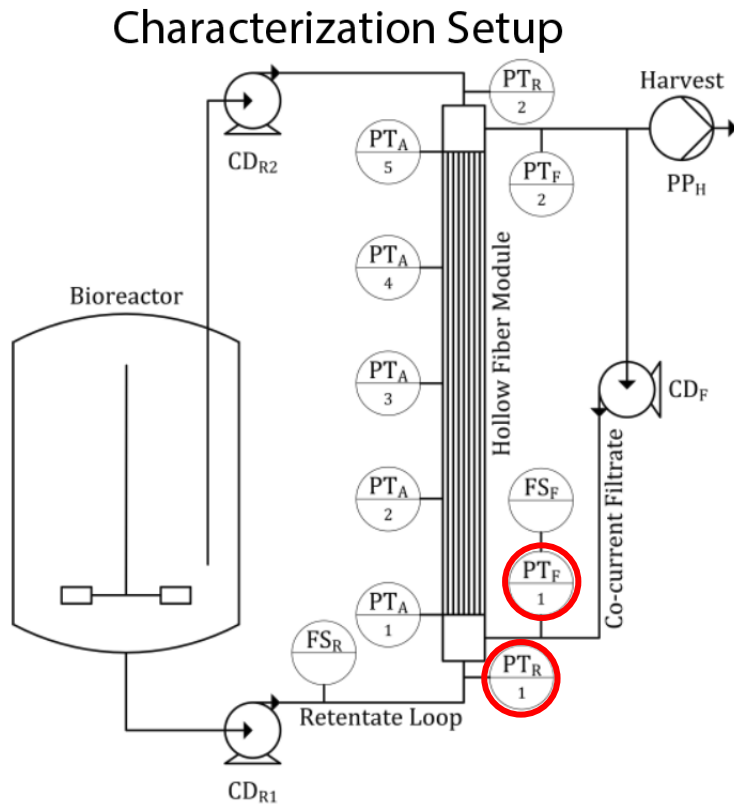
- Retentate pump (centrifugal)
- Filtrate Loop Pump (centrifugal)
- Pressure Sensors:
  - PT<sub>Rin</sub>: Retentate Inlet
  - PT<sub>Fin</sub>: Filtrate Inlet
- Optional:
  - Flow Sensors
  - Further Pressure Sensors

# HPTFF: System Setup

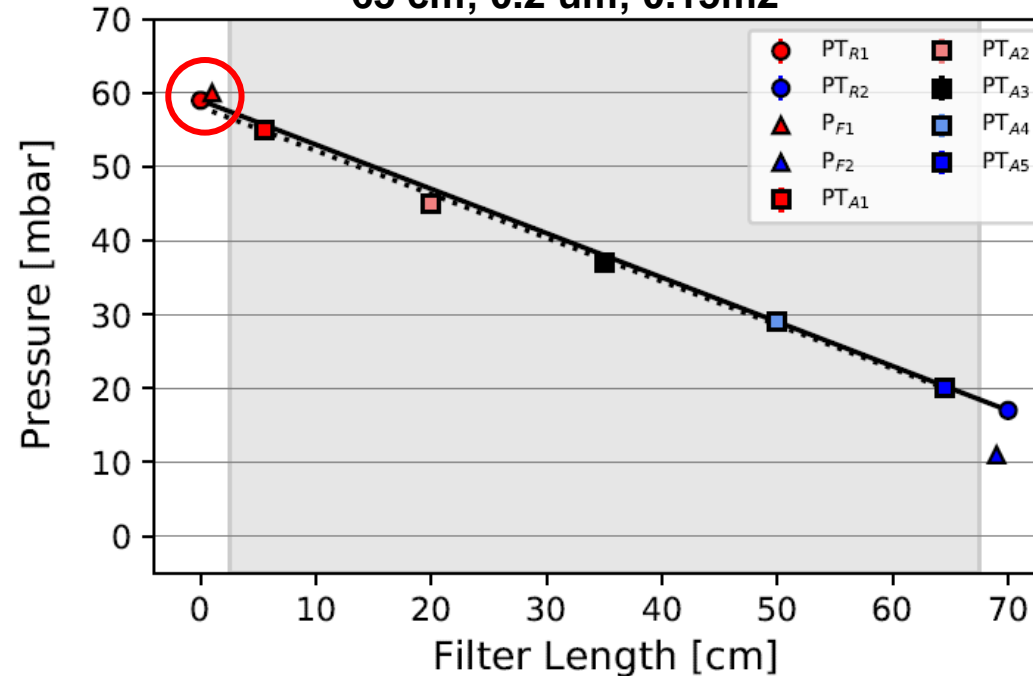


# HPTFF Control Strategy: Delta Pressure

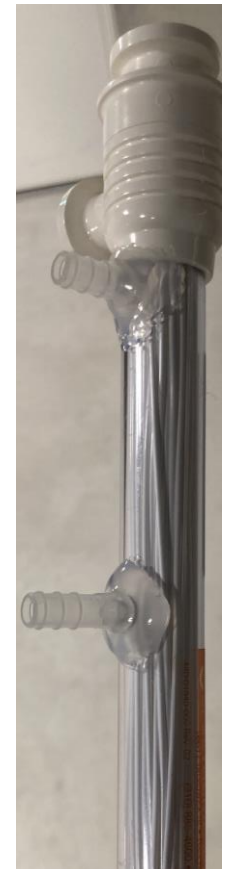
→ Delta Pressure Setpoint must be determined! (water characterization)



S06-P20U-10-N, Repligen  
65 cm; 0.2 um; 0.15m<sup>2</sup>



→ Delta Pressure Setpoint = 0 mbar





# Lab-scale and Large-scale Filter for HPTFF

**S06-P20U-10-N, Repligen**  
**65 cm; 0.2 um; 0.15m2**



**X06-P20U-10, Repligen**  
**70 cm; 0.2 um; 7.15m2**



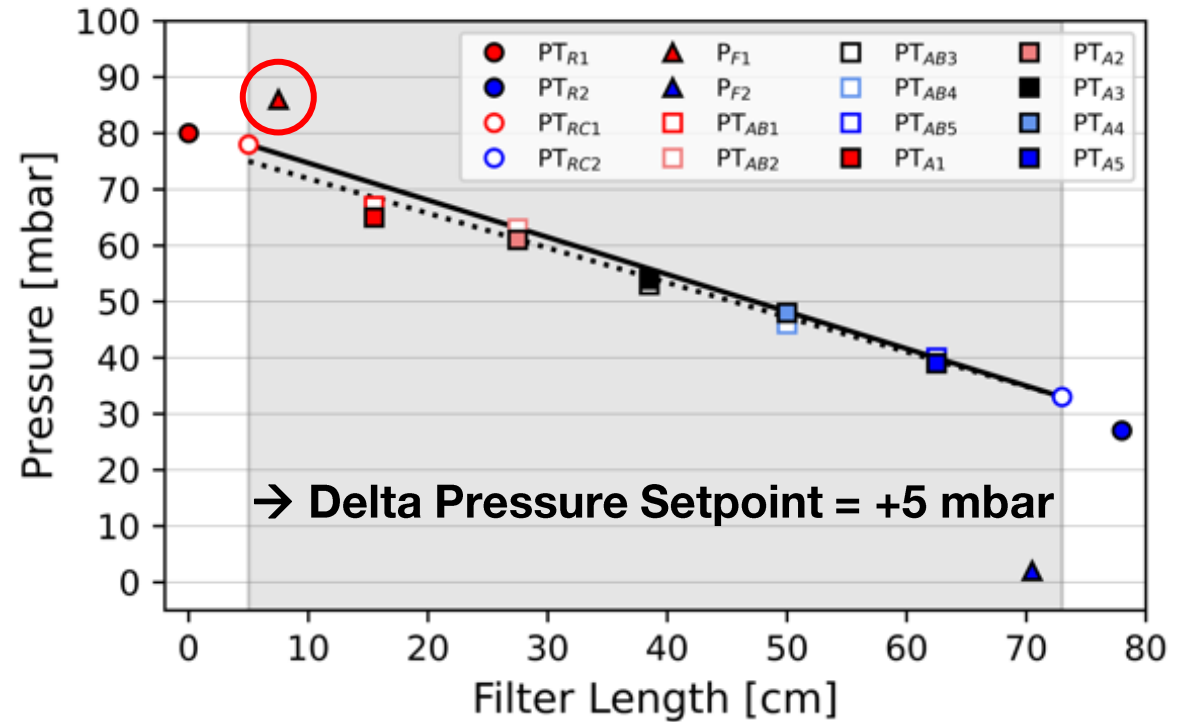


# Large-Scale: Delta Pressure

→ Delta Pressure Setpoint must be determined! (water characterization)

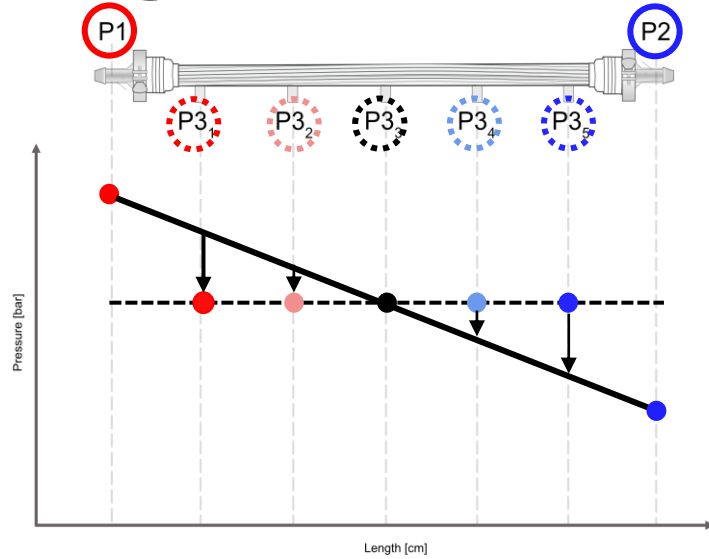


X06-P20U-10, Repligen  
70 cm; 0.2 um; 7.15m<sup>2</sup>



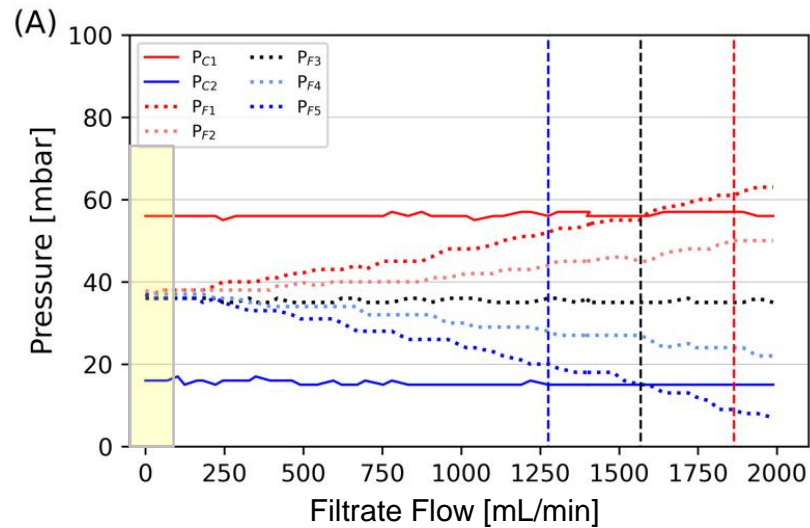
→ turbulences might be responsible for pressure drifts (not fully understood)

# Stepping co-current TFF

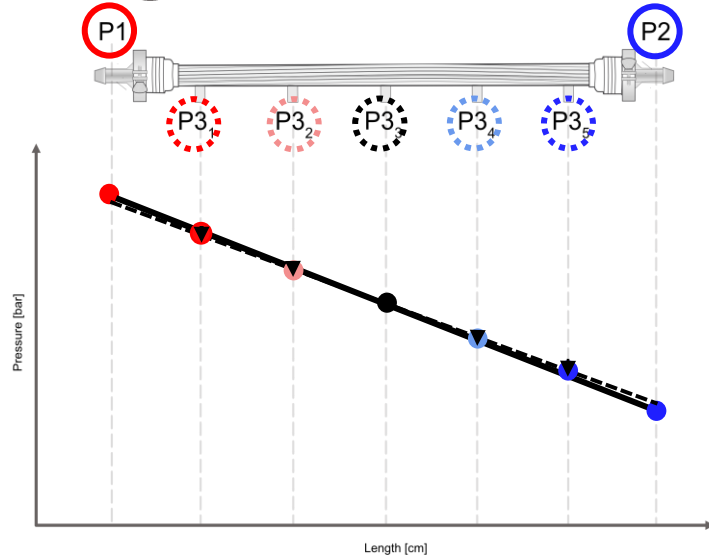


## Standard TFF:

- No co-current filtrate flow

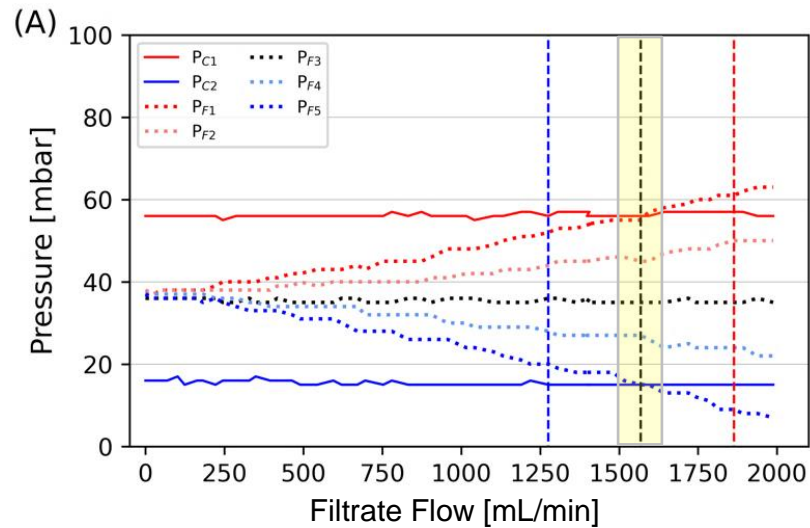


# Stepping co-current TFF

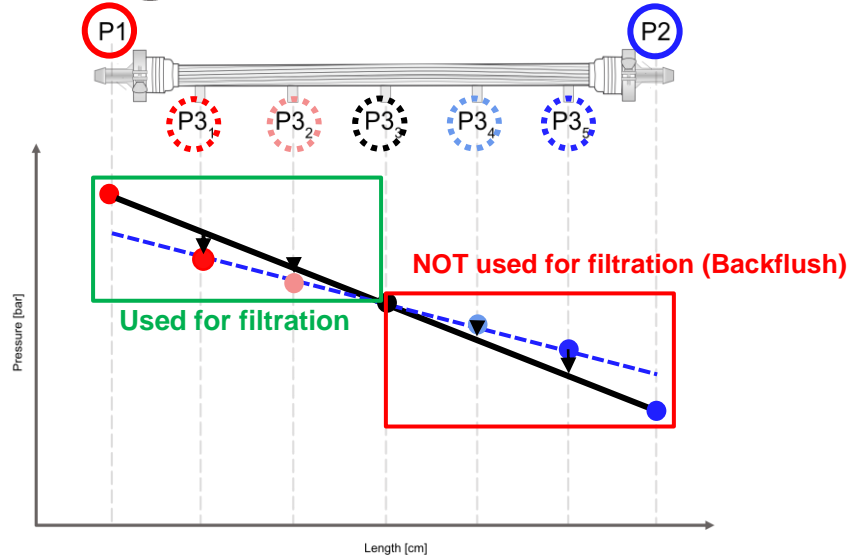


## HPTFF:

- Co-current filtrate flow to match P1 and P3
- No TMP along fibers (almost)

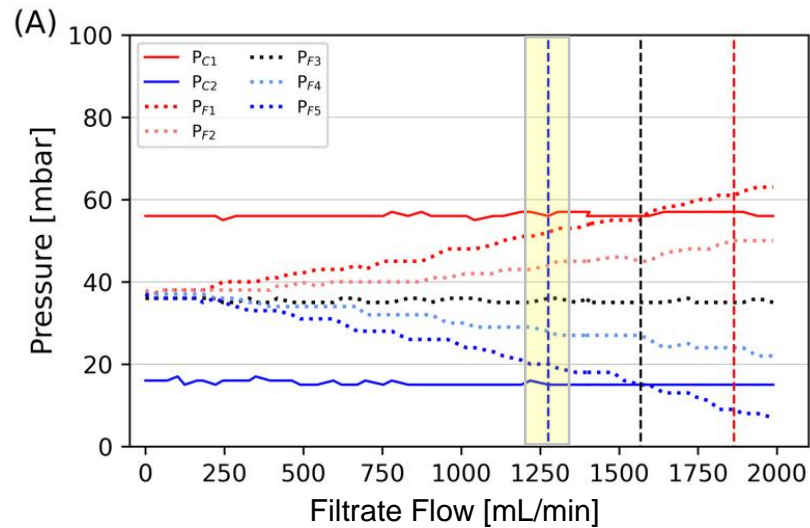


# Stepping co-current TFF

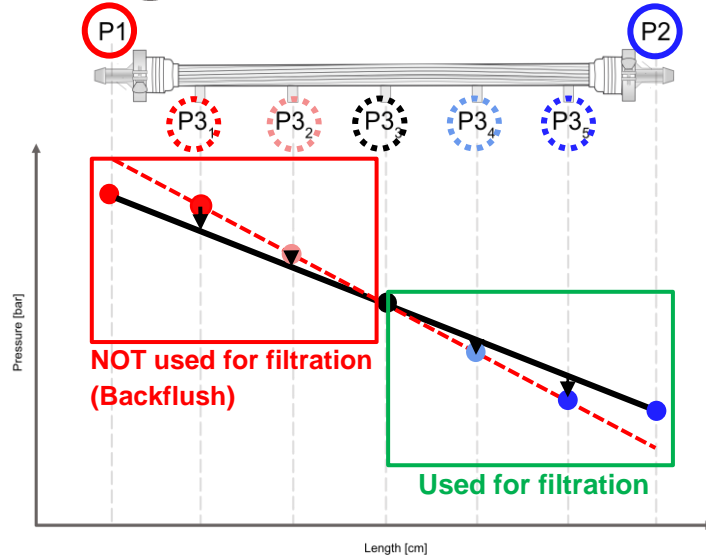


## scTFF (Phase 1):

- Coflow < HPTFF Coflow
- Backflush 2nd half of filter



# Stepping co-current TFF



## scTFF (Phase 2):

- Coflow > HPTFF Coflow
- Backflush 1st half of filter

