



# Implementation and Automation of Downstream UF/DF of AAV

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## Agenda

- Background
- UF/DF System Overview and Control Loops
- Trends and Results
- Summary and Acknowledgements

## Presentation Objectives

- Outline UF/DF stainless-steel system and control capabilities
- Introduce Levitronix control system w/ automatic TMP control using a second Levitronix pump in reverse direction on the retentate line
- Review trends comparing manual vs automatic TMP control



# Background



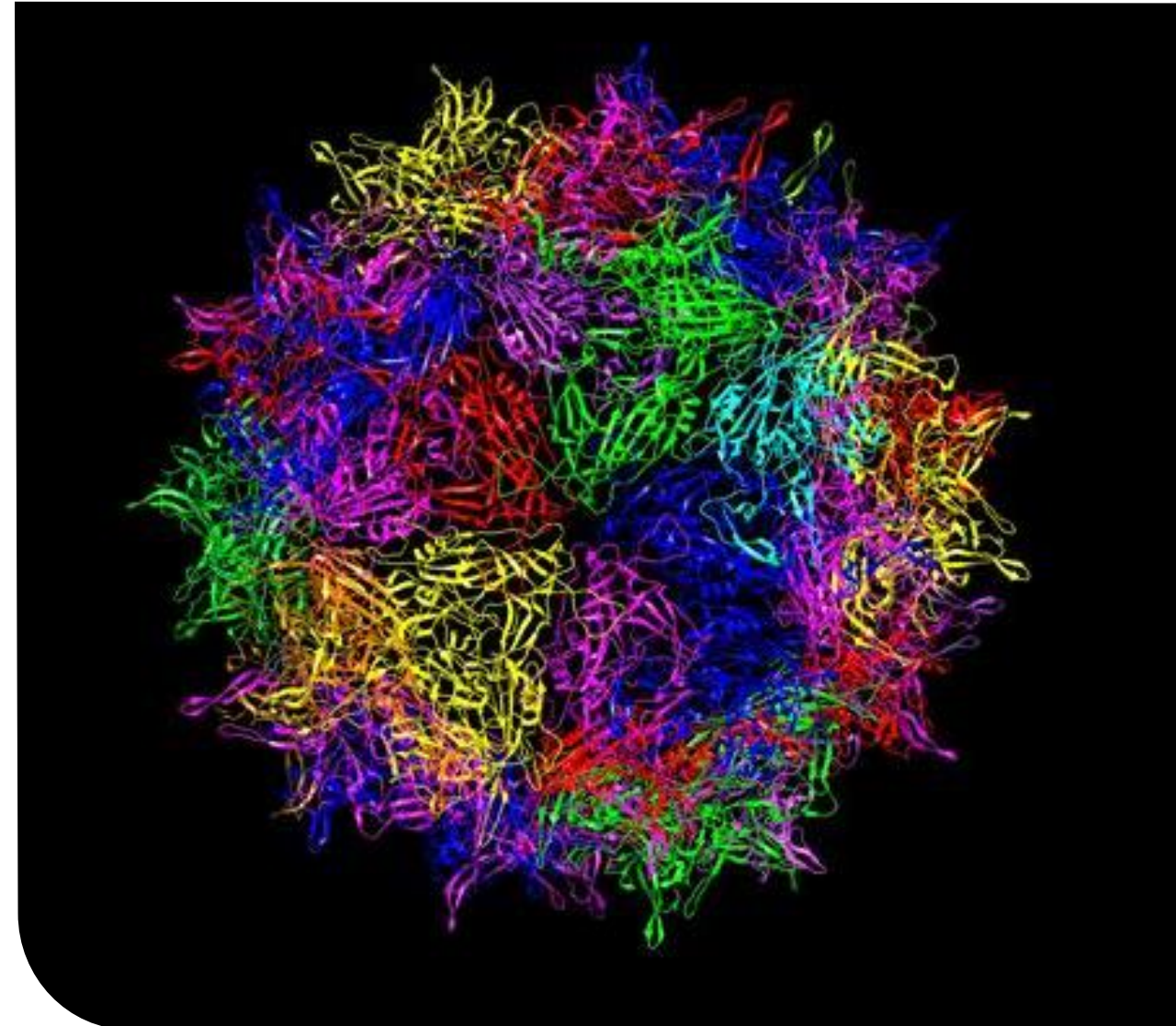


## Gene Therapy (GT) Introduction

- GT involves delivering nucleic acids into a patient's cells to treat a life-threatening disease.
- GT applications aim to modify/replace/suppress the genes that cause the disease.
- AAVs are a common vector used in GT.

## Adeno-associated virus (AAV) Structure

- Capsid
  - Icosahedral protein shell, ~22nm diameter
  - Different serotypes (e.g., AAV8, AAV9) have different capsid regions, which impact the type of cells they can infect.
- Genome
  - Composed of single-stranded DNA (ssDNA).
  - To generate the ssDNA, cells undergo transfection where a plasmid with the gene of interest (GOI) along with packaging/helper plasmids are added to the culture.



# AAV- Manufacturing Process



## UPSTREAM



## DOWNSTREAM



Focus of Today's Presentation: Ultrafiltration/Diafiltration

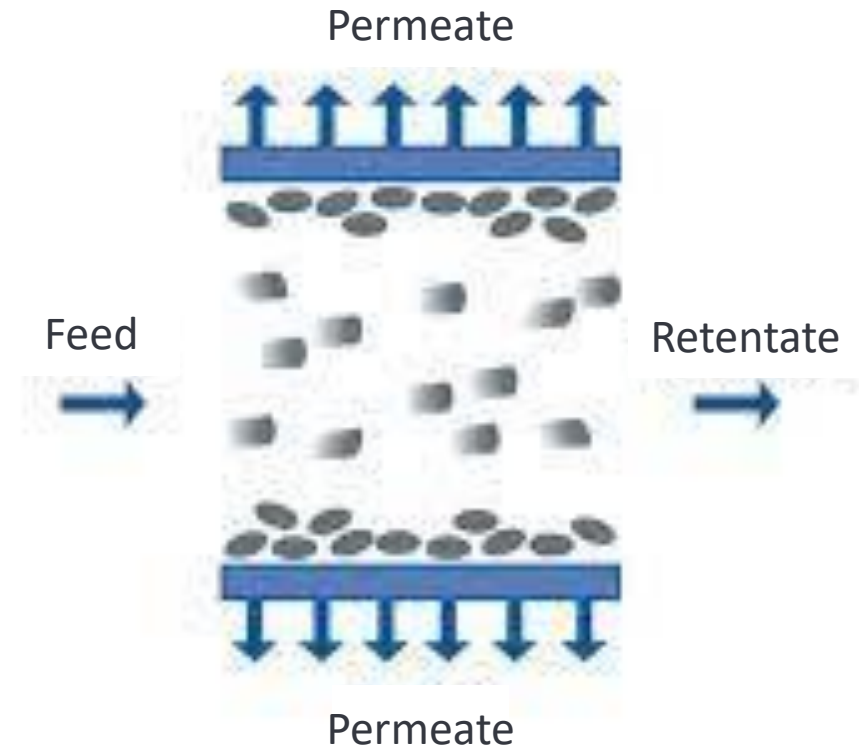
# Ultrafiltration/Diafiltration Step Overview




- The UF/DF step uses a membrane with small pores that allow for size exclusion filtration
  - Molecules larger than the pore size will be retained by the membrane (retentate) and recirculated
  - Molecules smaller than the pore size can go through the membrane (permeate)
- The UF/DF step is intended to concentrate and buffer-exchange the material to the appropriate matrix for the next step.

## Process Steps:

- Product Load and Concentration (UF1)
- Diafiltration (DF)
- Final Concentration (UF2)
- Recovery Wash







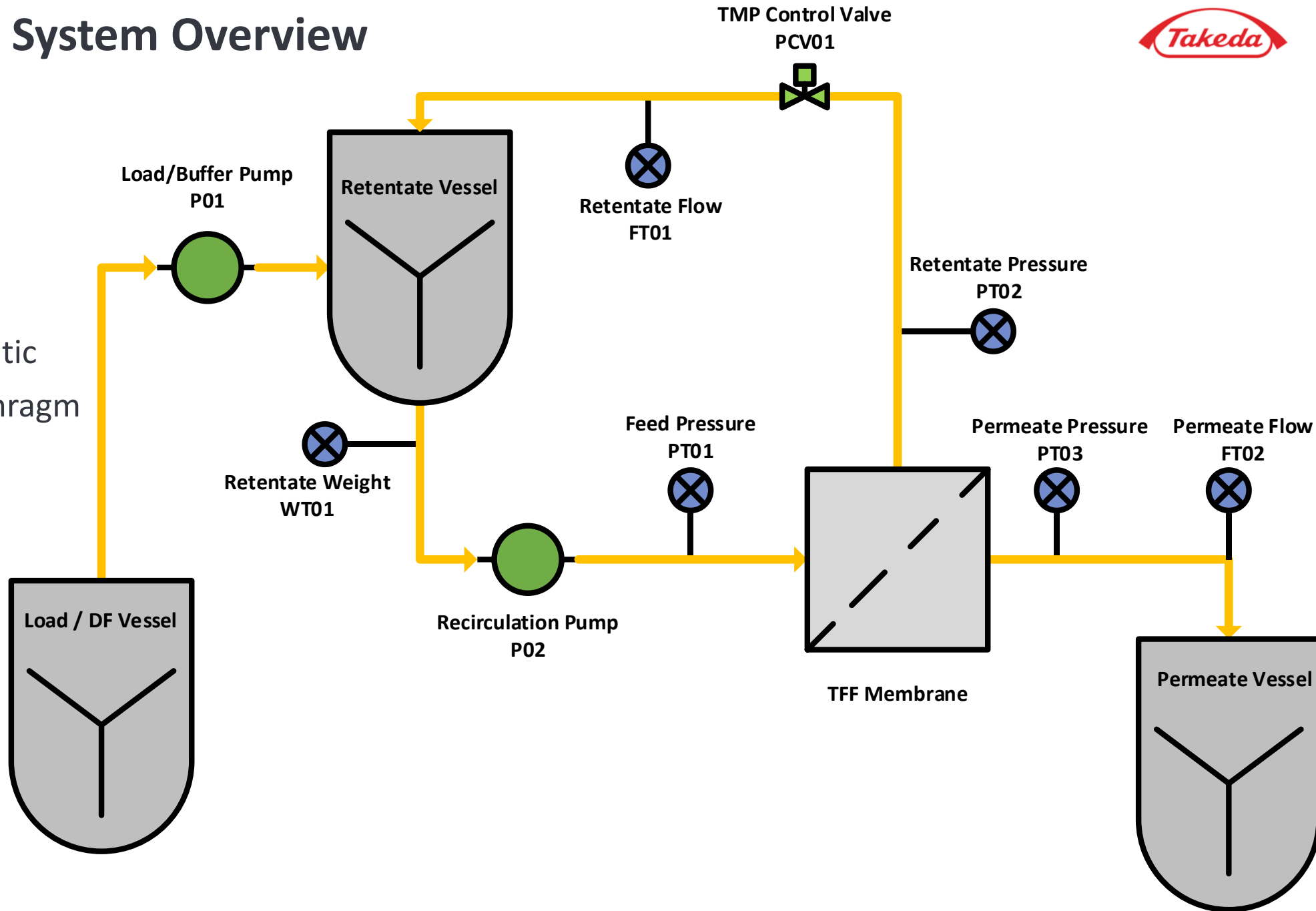
# Ultrafiltration/ Diafiltration Diagrams and Control Loops



# Stainless-Steel System Overview



- Stainless Steel Skid
- 0.5L-10L Retentate
- Recipe control
- Load Pump – Peristaltic
- Recirc. Pump – Diaphragm
- TMP Control Valve
- 3x Pressure Sensors
- 2x Flow Sensors
- Retentate Weight Sensor





# Stainless-Steel System Control Loops



## Control Loop 1:

- Retentate Flow (**FT01**) controlled by Recirculation Pump (**P02**)

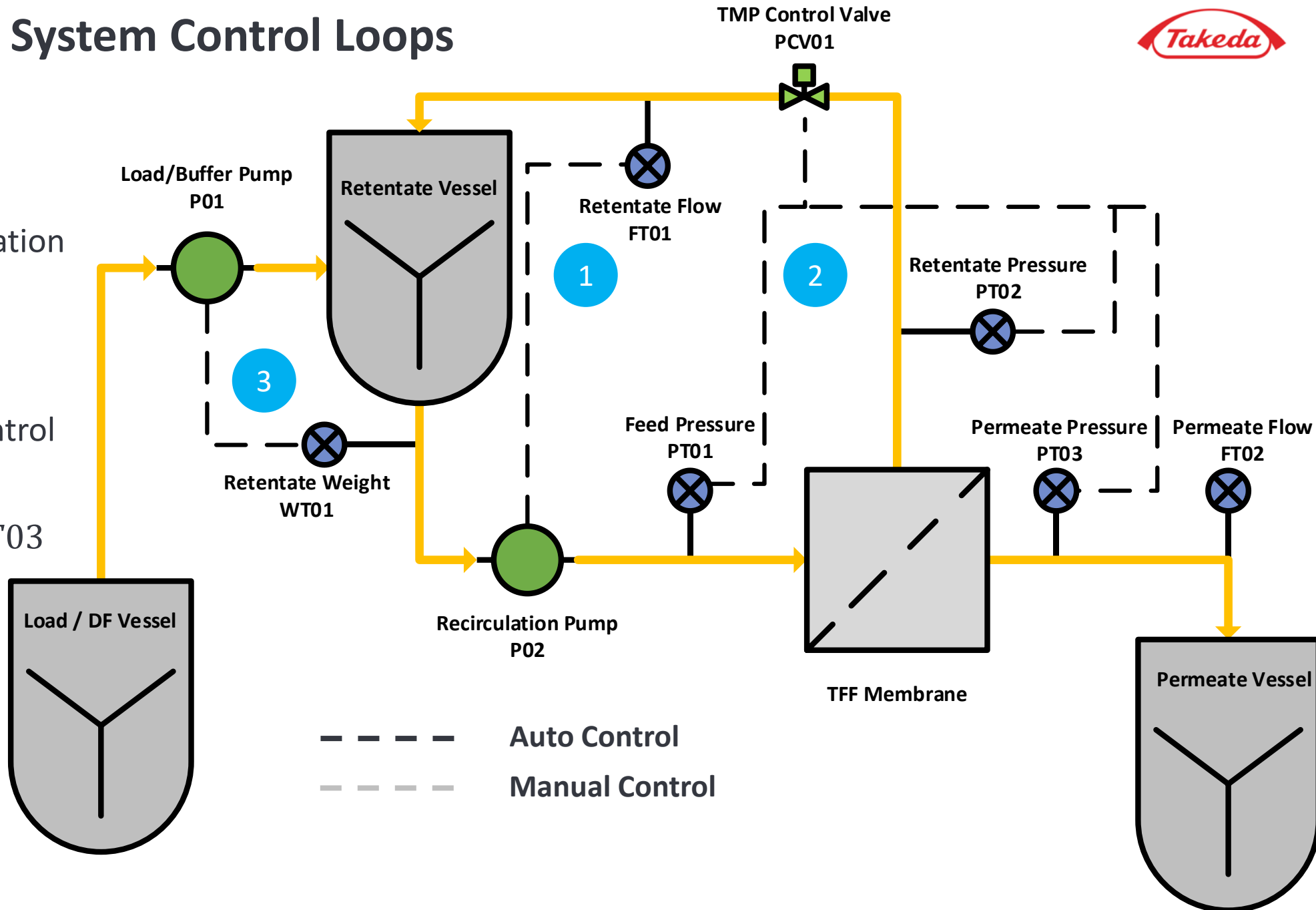
## Control Loop 2:

- TMP** controlled by control valve (**PCV01**)

$$TMP = \left( \frac{PT01 + PT02}{2} \right) - PT03$$

## Control Loop 3:

- Retentate Weight (**WT01**) controlled by Load/DF pump (**P01**)

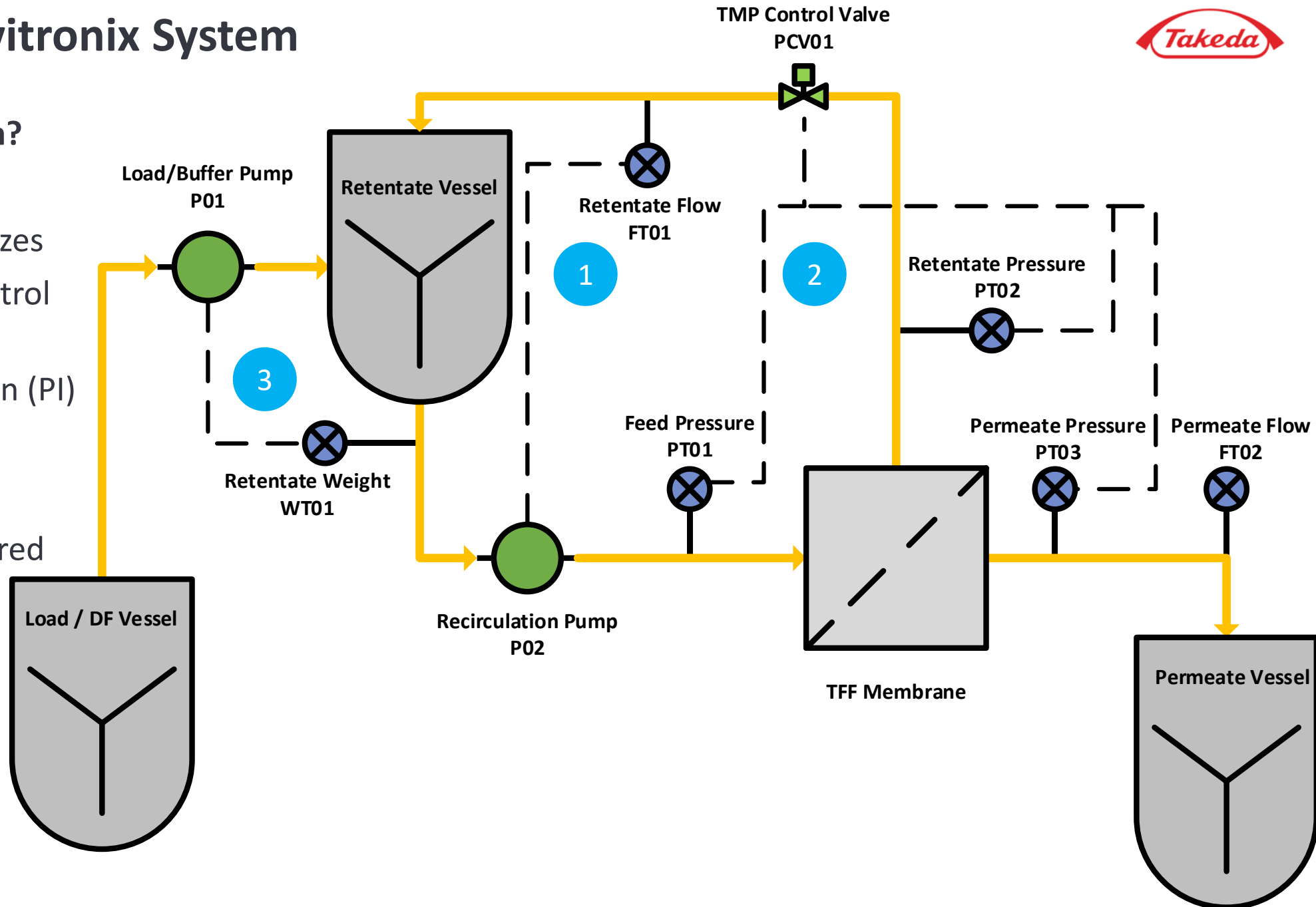


# Benefits of Levitronix System



## Why Use a New System?

- Fully single-use
- Variable retentate sizes
- Integration with control system (DeltaV)
- Better data collection (PI)
- Lower shear
- Less lab space
- No annual PM required



# Levitronix System – Manual TMP Control



## Control Loop 1:

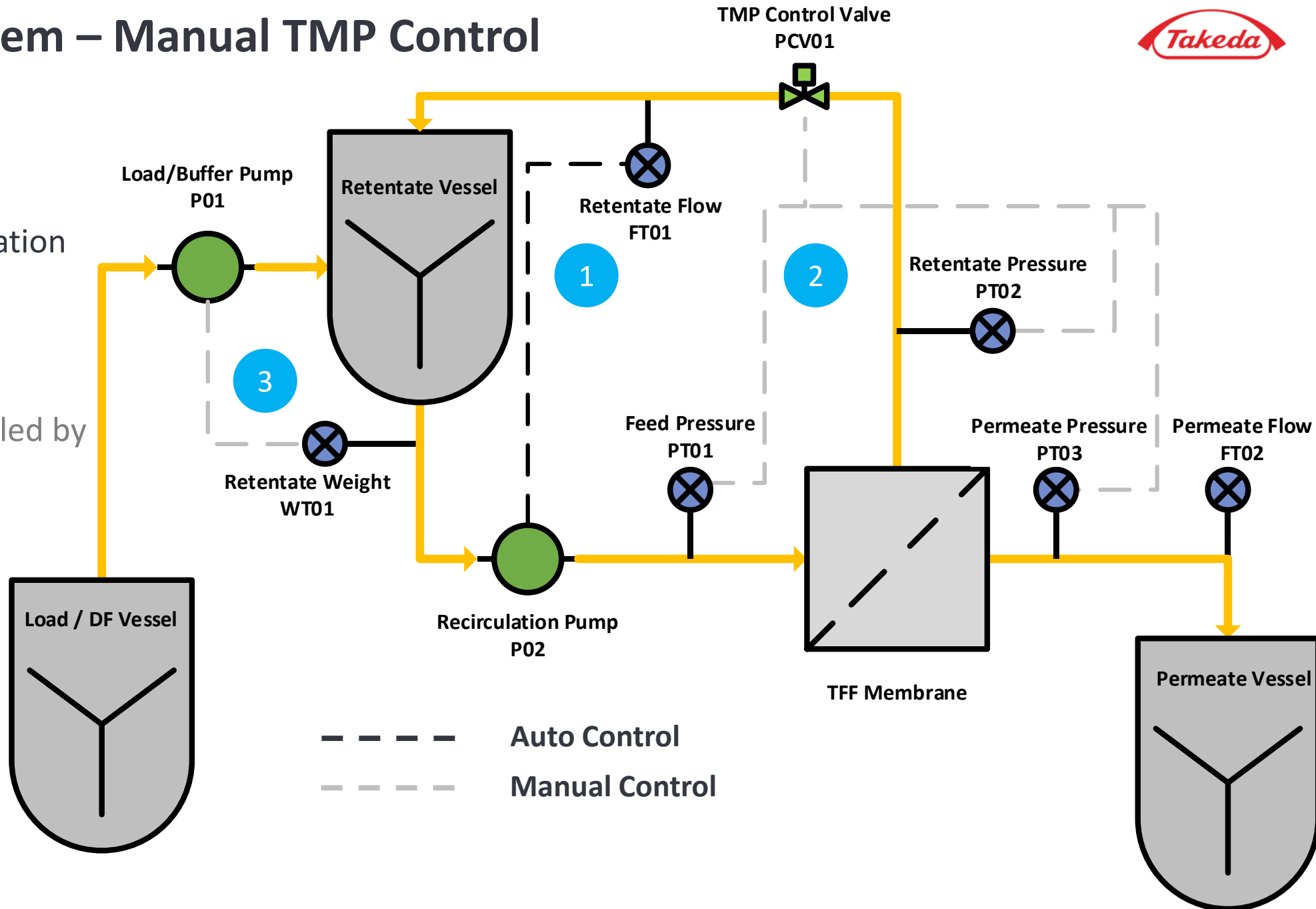
- Retentate Flow (FT01) controlled by Recirculation Pump (P02)

## Control Loop 2:

- TMP manually controlled by control valve (PCV01)

## Control Loop 3:

- Retentate Weight (WT01) manually controlled by Load/DF pump (P01)

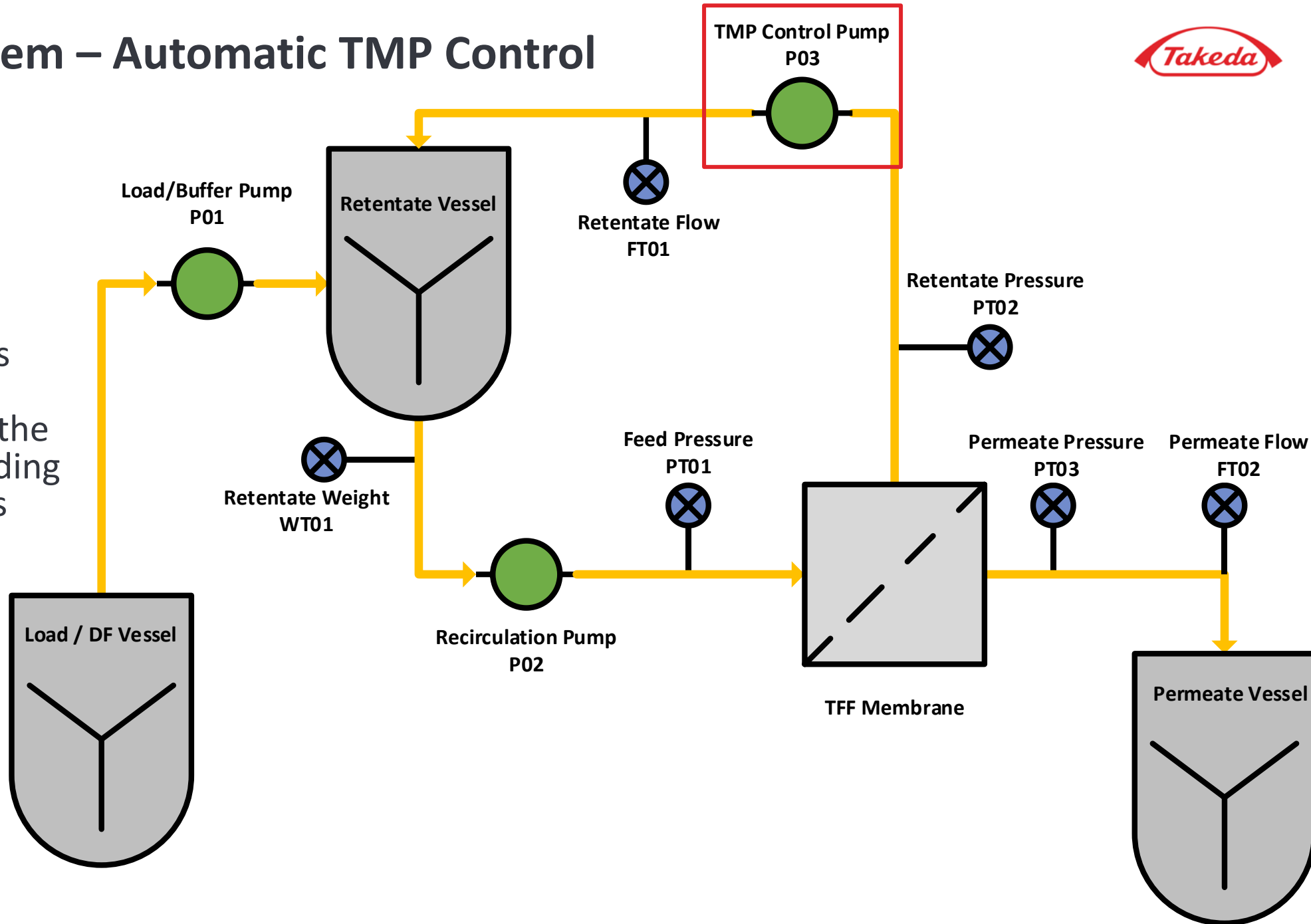


# Levitronix System – Automatic TMP Control



## TMP Control Valve replaced by TMP Control Pump

The levitronix pump is backwards on the retentate line, facing the membrane and providing backpressure towards the membrane.





# Levitronix TMP Control Pump Diagram

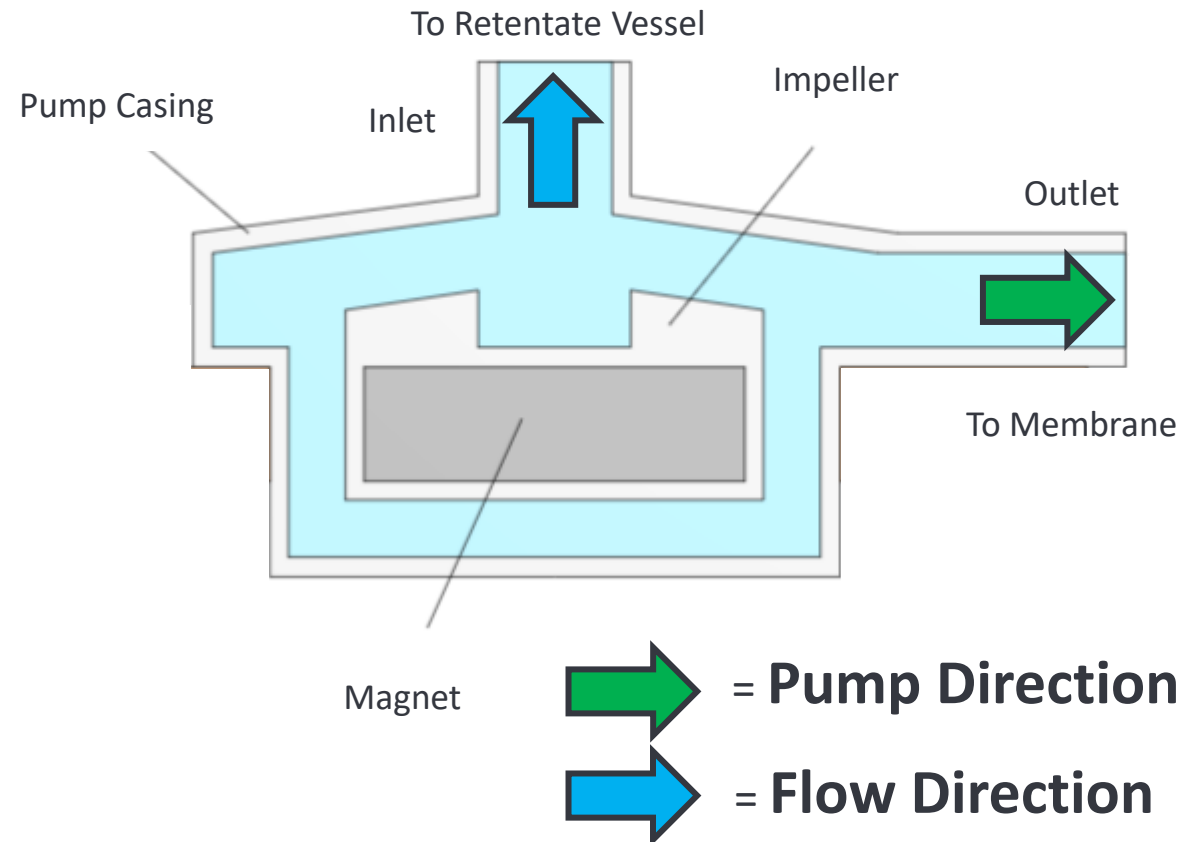


**Levitronix pumps provide pressure, not flow**

Liquid flow direction is towards the retentate vessel

Pump direction is towards the membrane

Retentate TMP Control Pump Diagram

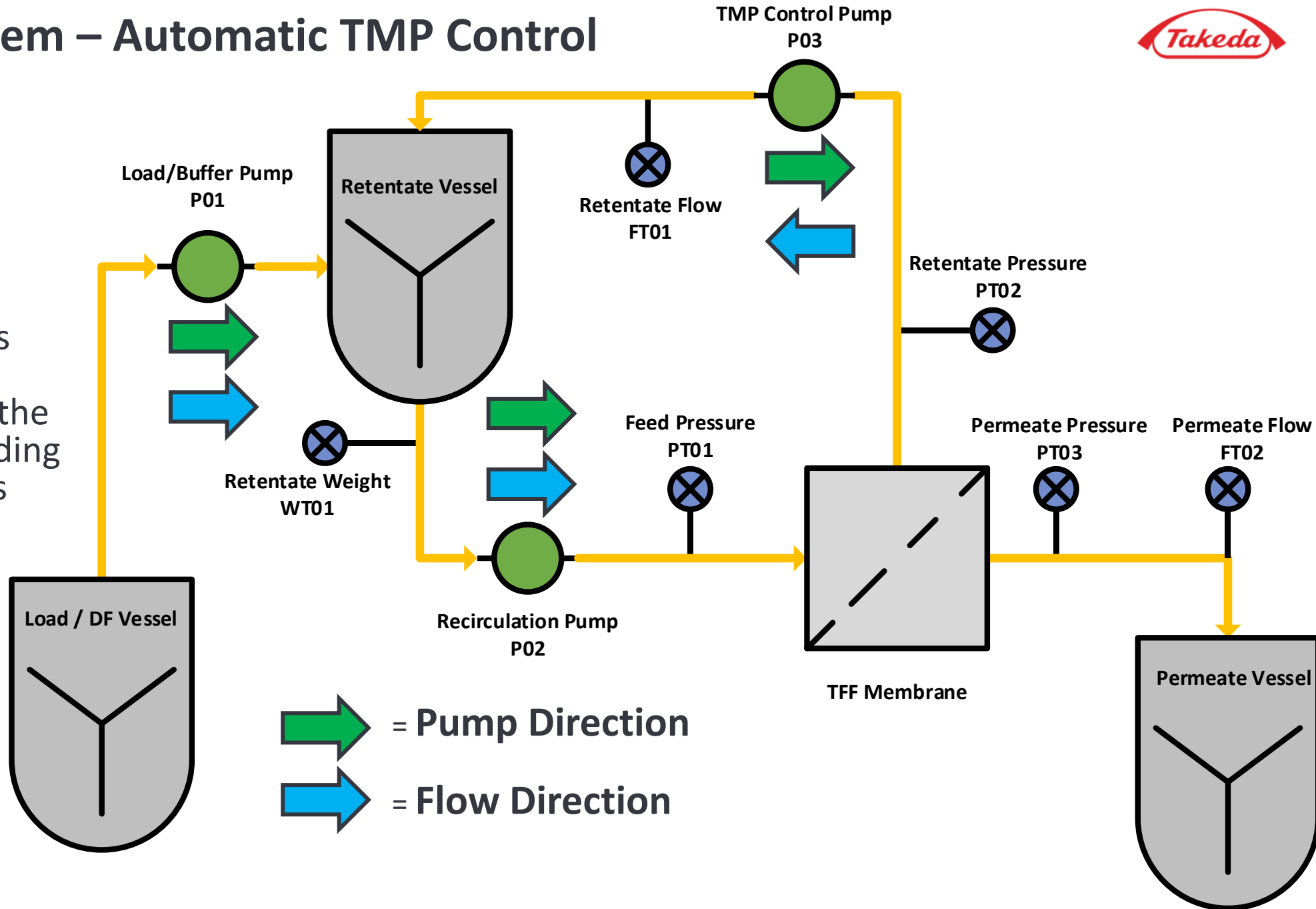


# Levitronix System – Automatic TMP Control



## TMP Control Valve replaced by TMP Control Pump

The levitronix pump is backwards on the retentate line, facing the membrane and providing backpressure towards the membrane.



= Pump Direction  
 = Flow Direction

# Levitronix System Control Loops



## Control Loop 1:

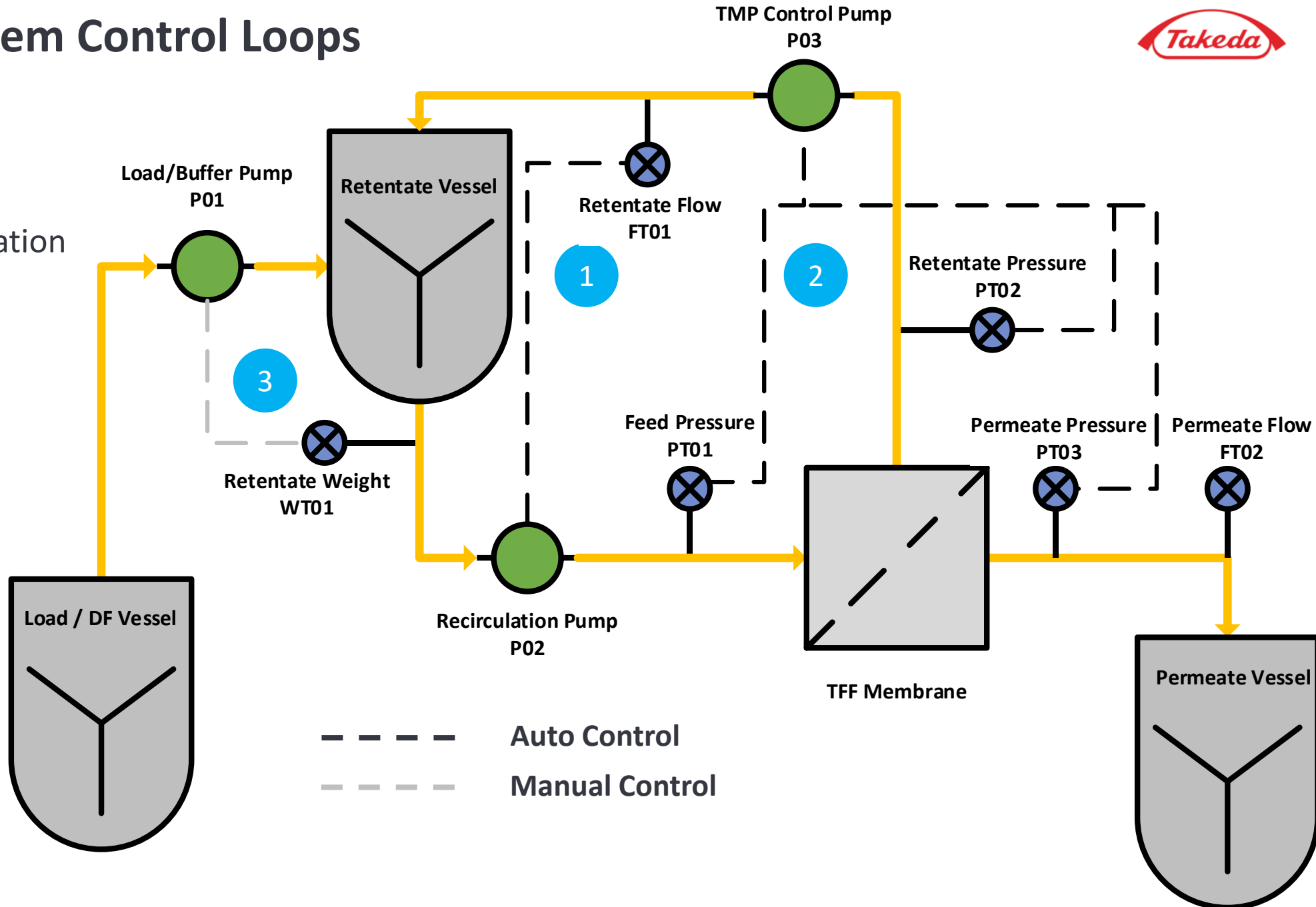
- Retentate Flow (FT01) controlled by Recirculation Pump (P02)

## Control Loop 2:

- TMP controlled by Levitronix TMP Pump (PCV01)

## Control Loop 3:

- Retentate Weight (WT01) manually controlled by Load/DF pump (P01)



# Levitronix System Control Loops with DeltaV



## Control Loop 1:

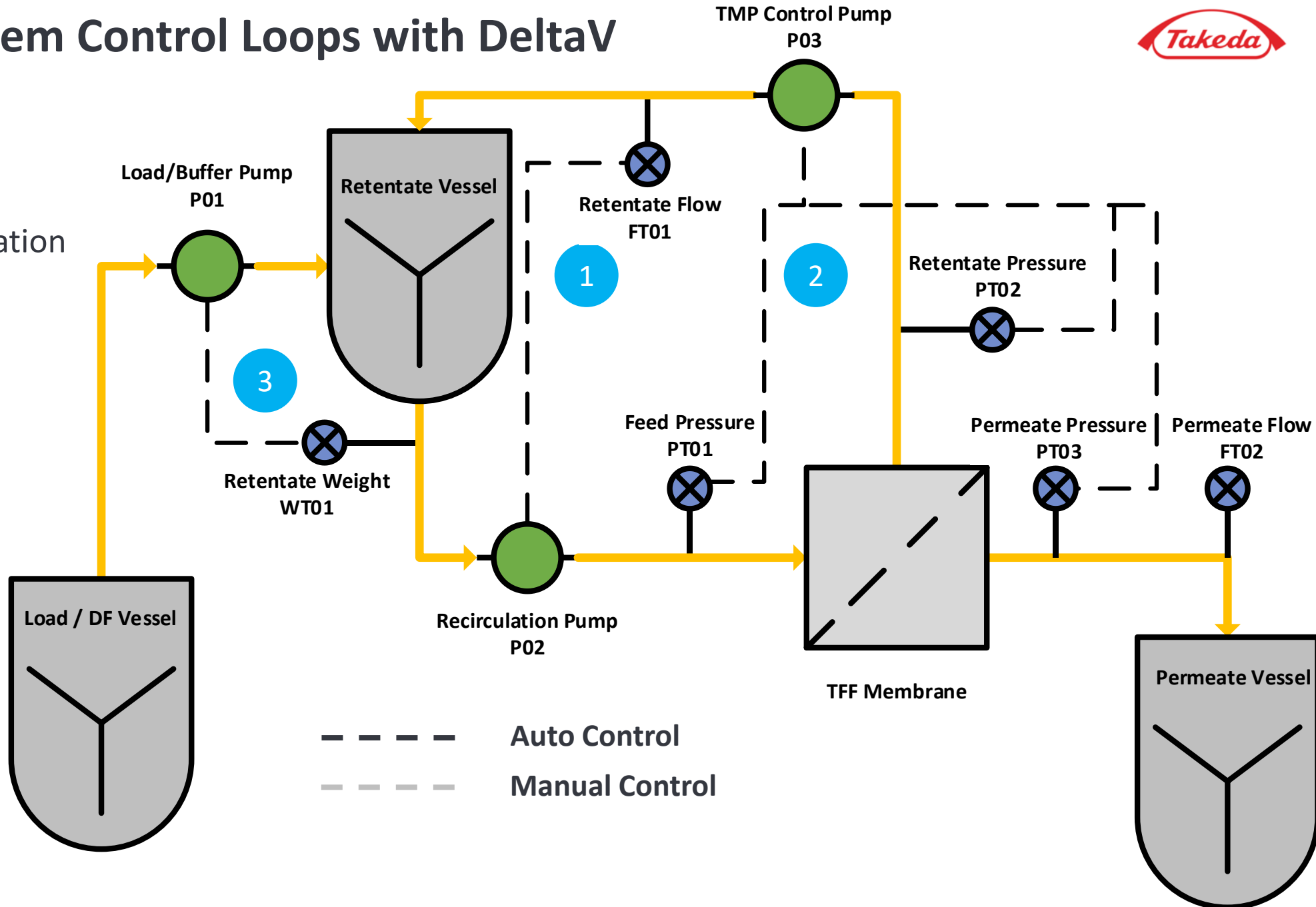
- Retentate Flow (**FT01**) controlled by Recirculation Pump (**P02**)

## Control Loop 2:

- TMP** controlled by Levitronix TMP Pump (**PCV01**)

## Control Loop 3:

- Retentate Weight (**WT01**) controlled by Load/DF pump (**P01**) via DeltaV





# Trends and Results



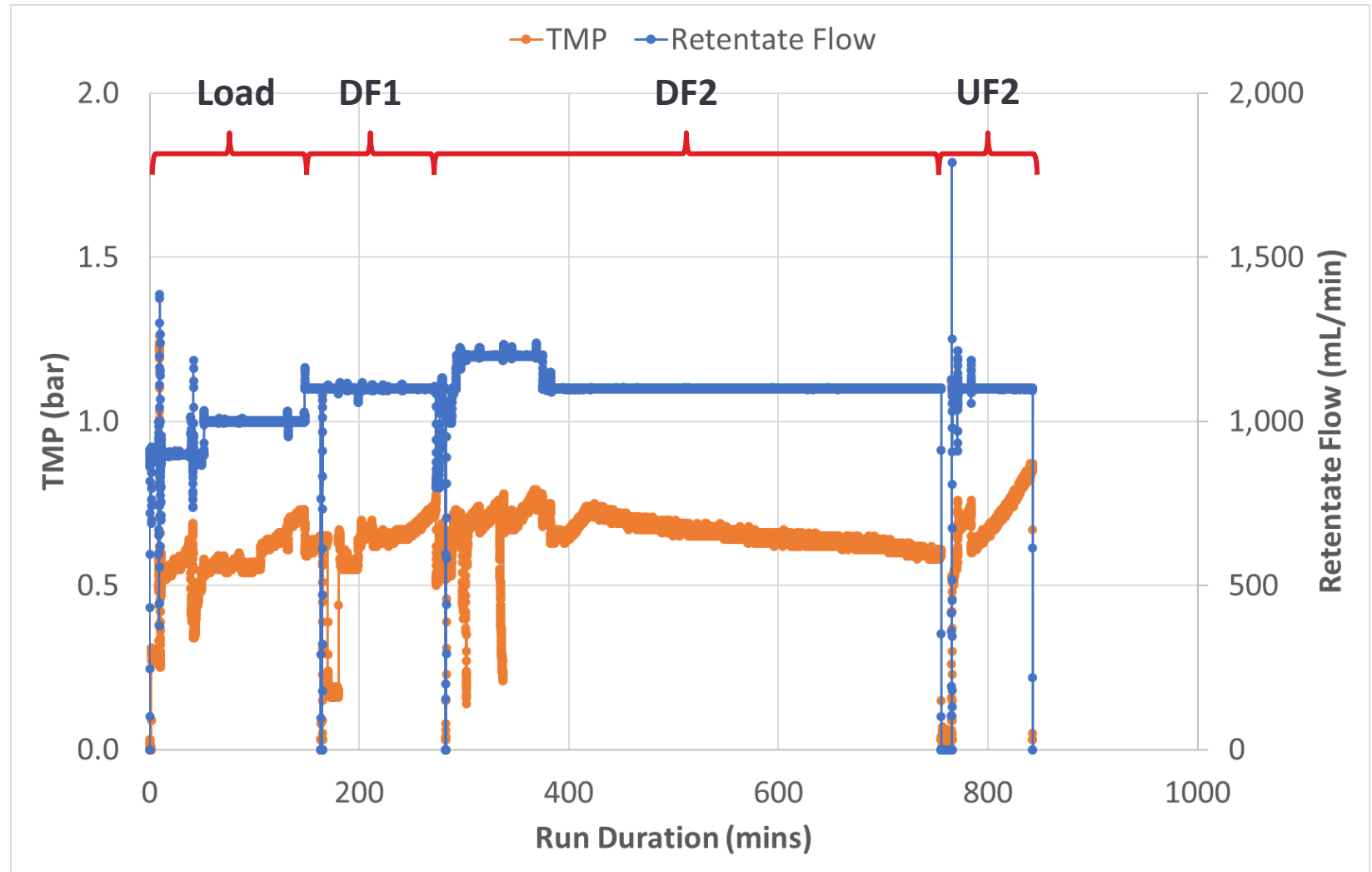
# Levitronix System with Manual TMP Control – Flow and TMP



## Run Notes:

- 10L Load
- Retentate flow increased during run due to low permeate flow
- TMP increased during the run, and the manual clamp needed to be readjusted frequently
- Flow control was steady, but was impacted by manual clamp adjustments

### Manual TMP Control - TMP and Retentate Flow vs. Run Duration



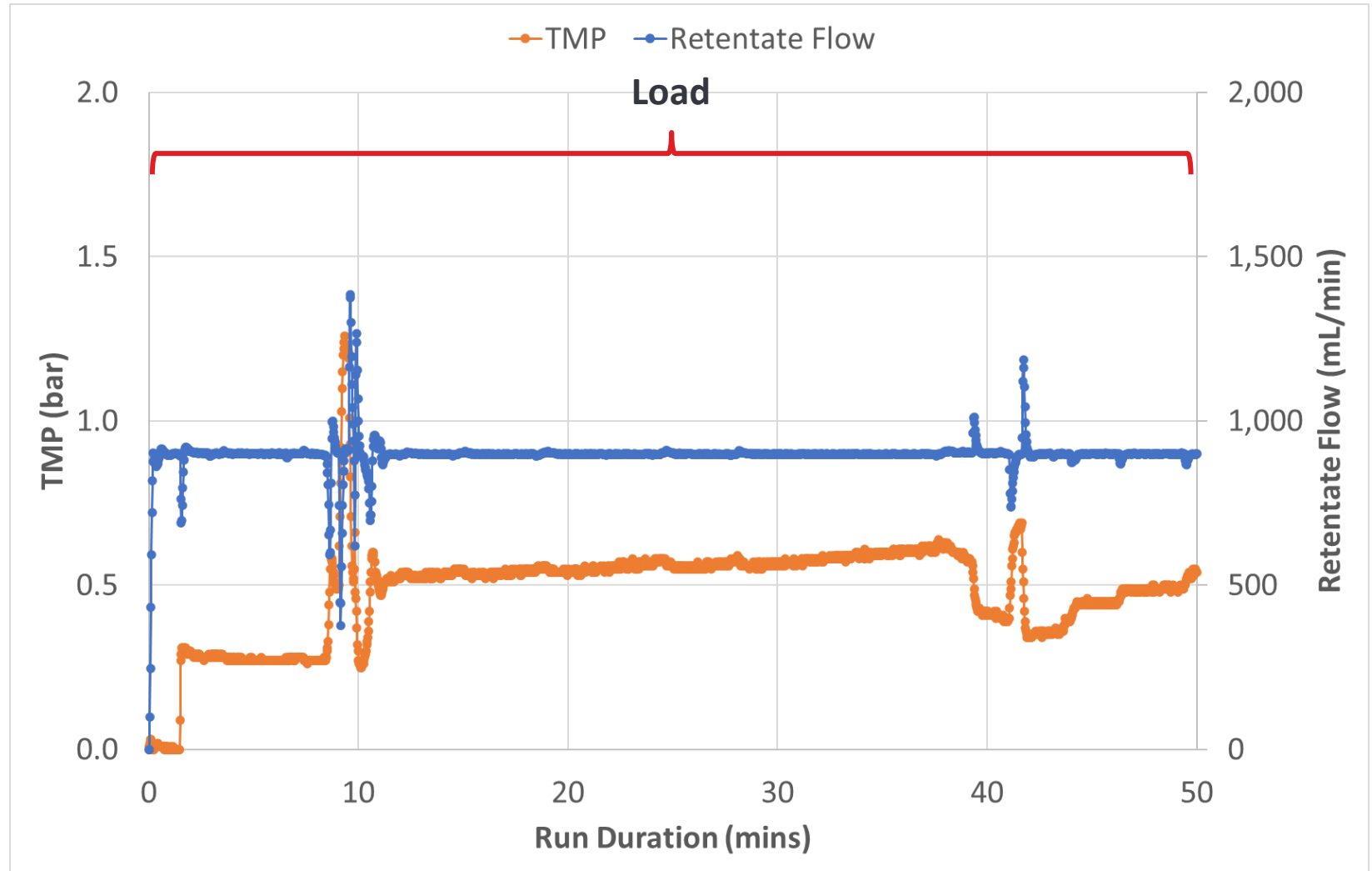
# Levitronix System with Manual TMP Control – Flow and TMP



## Run Notes:

- During flow start, manual clamping interfered with the flow rate, resulting in some disturbances in both pressure and flow readings
- Throughout the run, the manual clamp adjustments caused disturbances in the flow rate

Zoomed in Load Start – TMP and Retentate Flow vs. Run Duration



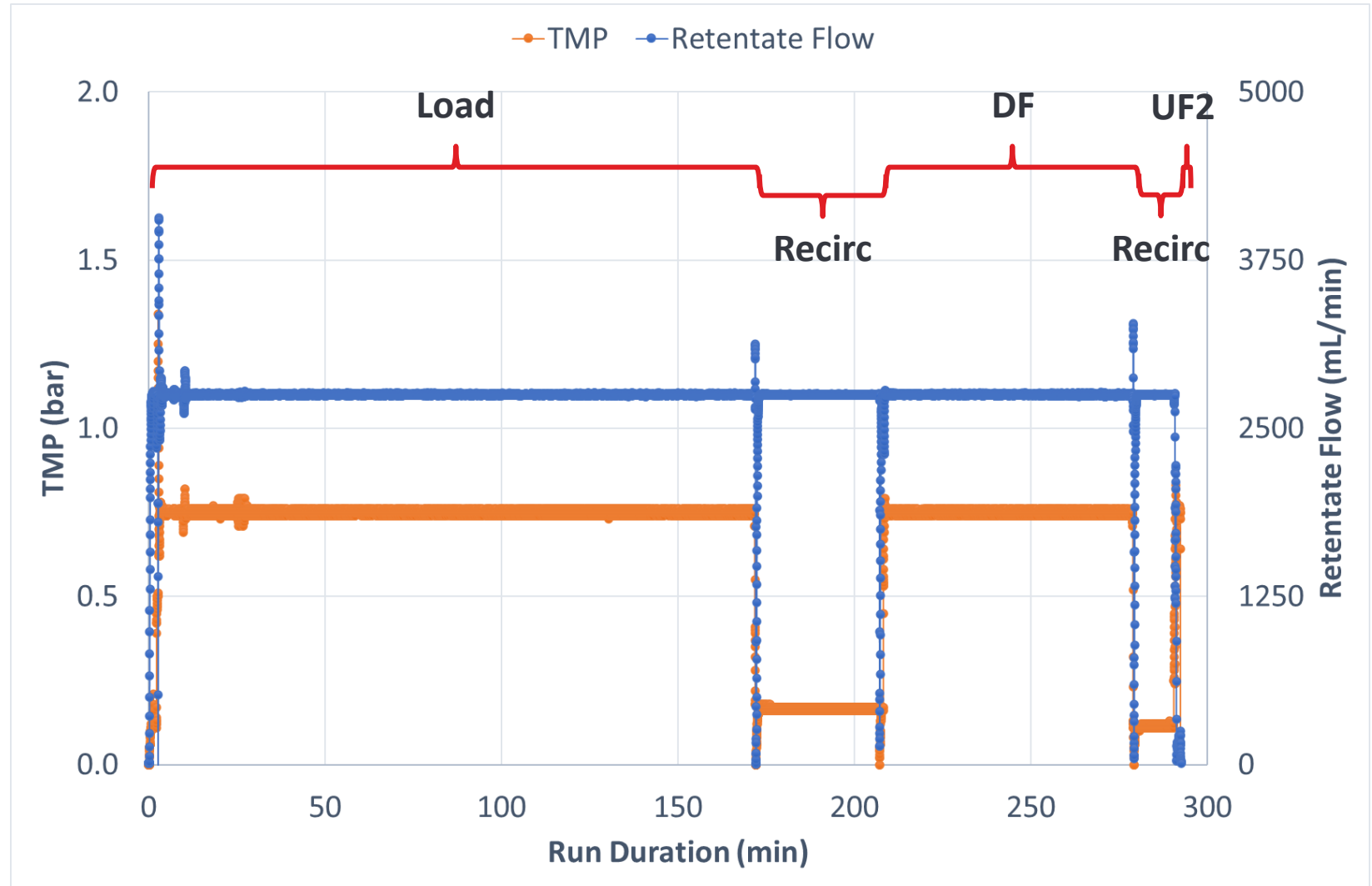
# Levitronix System with Automatic TMP Control – Flow and TMP



## Run Notes:

- Process changes-
  - Larger scale – 50L
  - One DF instead of two
- Automated TMP control maintained setpoint throughout run
- Recipes created on Levitronix controller for Load, DF, and UF steps
- 2x runs at 50L scale with similar performance

Automatic TMP Control - TMP and Retentate Flow vs. Run Duration





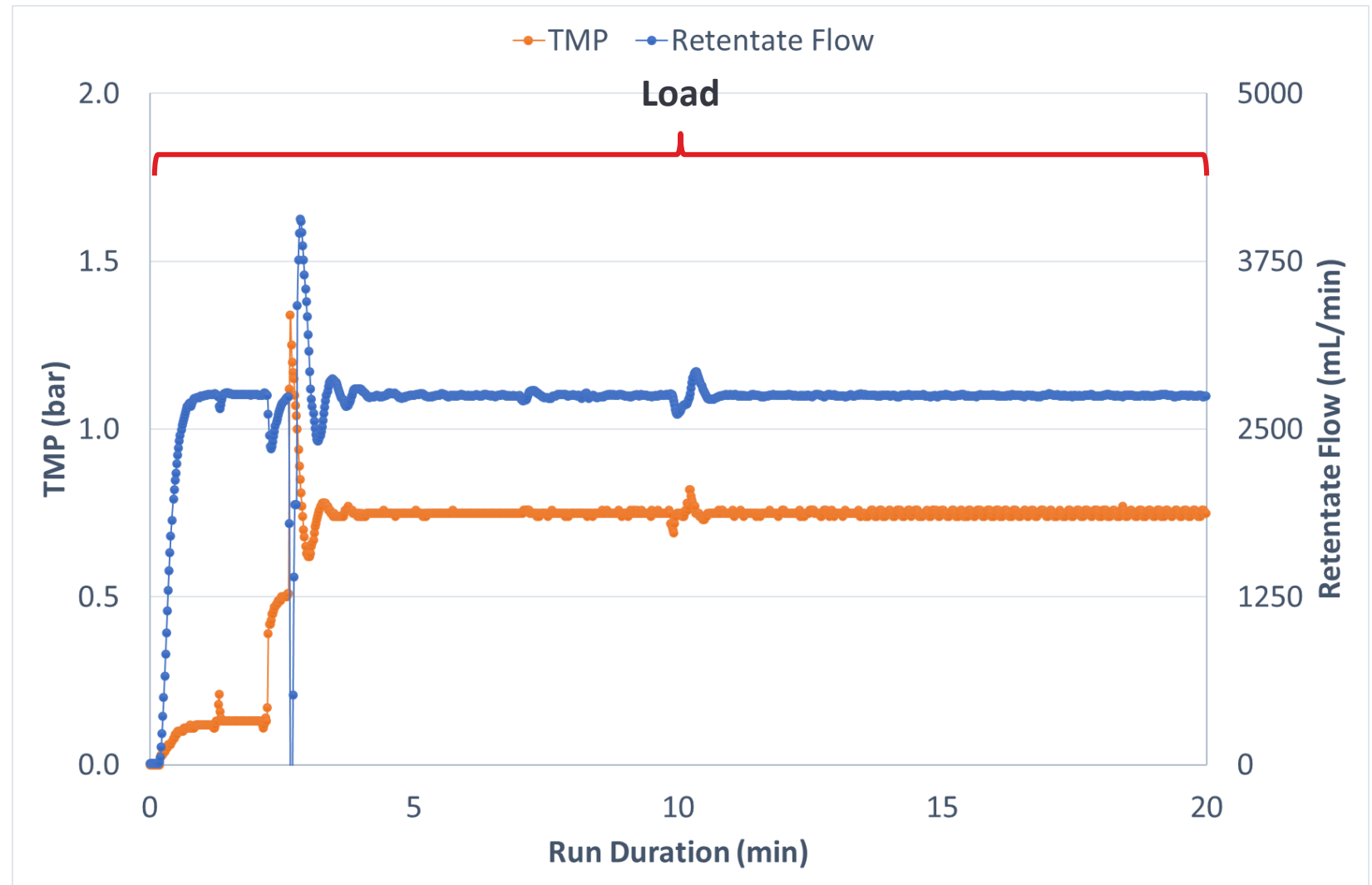
# Levitronix System with Automatic TMP Control – Flow and TMP



## Run Notes:

- Started automatic flow control first
- Started TMP control once flow was steady
- After ~10 minutes, started product loading
- Some disturbance when pressure control starts, but system quickly corrects both flow and pressure controls

Zoomed in Load Start – TMP and Retentate Flow vs. Run Duration



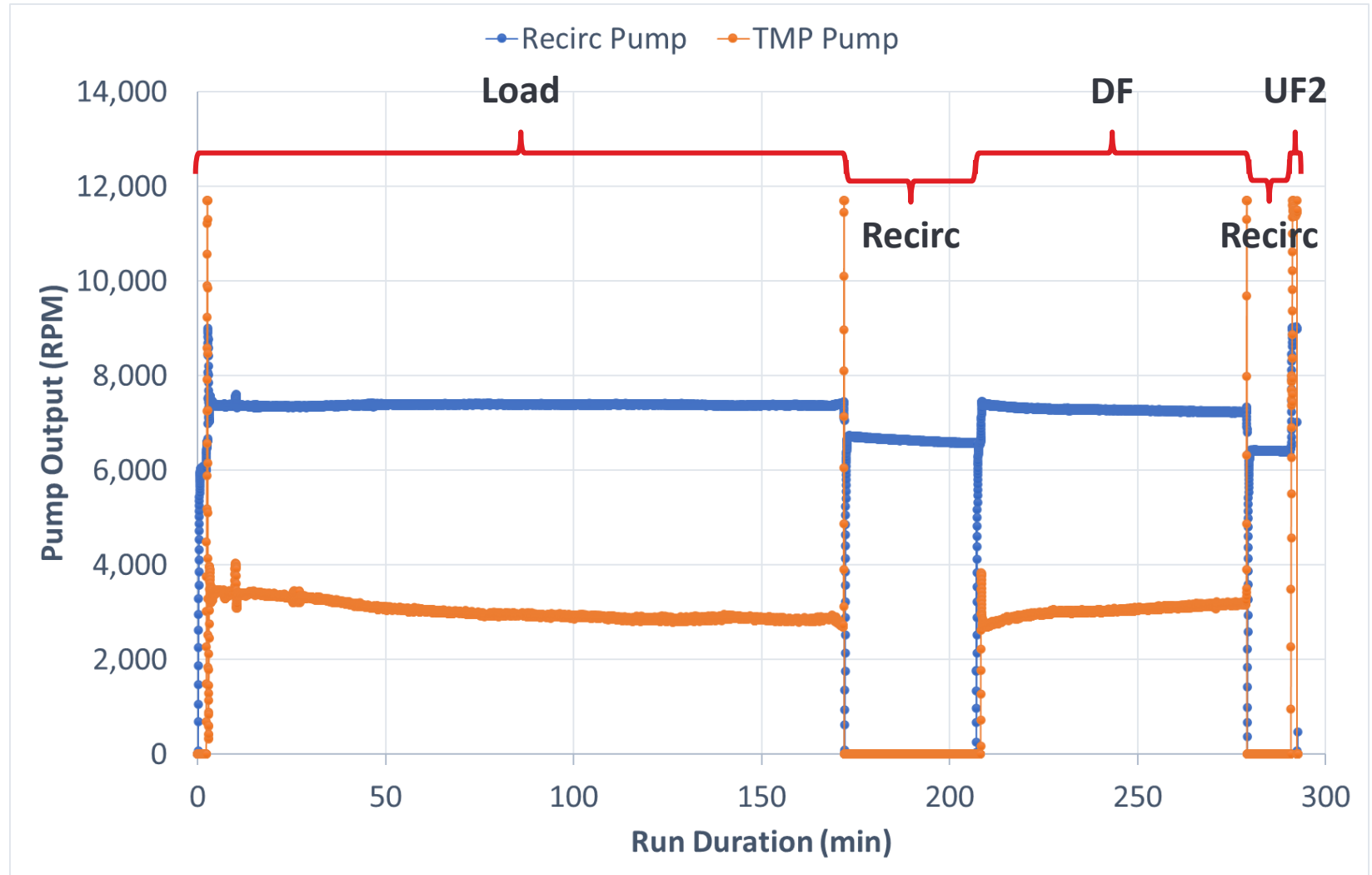
# Levitronix System with Automatic TMP Control – Pump Output




## Run Notes:

- Recirculation pump has a higher output (RPM) than the TMP pump
- TMP pump output (RPM) decreases during the load
  - Increased viscosity during concentration results in higher pressure in the membrane, so less pump output (RPM) is needed
- TMP pump output (RPM) increases during DF
  - Buffer exchange reduces the viscosity, so TMP pump output (RPM) increases

Automatic TMP Control – Recirculation and TMP Pump Output vs. Run Duration





## Summary and Acknowledgements



# Levitronix Implementation Summary



Implemented single-use Levitronix control system.

- Better TMP control
- Lower shear
- More control
- Integration with DeltaV and PI Historian
- Easily scalable for manufacturing-scale

Implemented TMP control using a second Levitronix pump in reverse direction on the retentate line.

Demonstrated system capabilities and generated comparable product quality results to historical runs.

# Acknowledgements



- Ilan Goldberg, Levitronix
- Takeda GT/CT Pilot Team
- Takeda Biologics Pilot Team
- Takeda GT PD Team



Questions?