Co-current Filtrate Flow in TFF and reverse TFF (rTFF)

Levitronix Seminar

Speakers/Inventors: Patrick Romann and Antony Sibilia 6-March-2024





TFF	Tangential Flow Filtration		
rTFF	Reverse Tangential Flow Filtration		
ATF	Alternating Tangential Flow Filtration		
TMP	Transmembrane Pressure		
HPTFF	High-Performance Tangential Flow Filtration		
PTR	Pressure Transmitter Retentate Loop		
PTF	Pressure Transmitter Filtrate Loop		
PTA	Pressure Transmitter Additional (directly connected to filtrate of Hollow fiber module)		
DeltaP	Delta Pressure		
HF	Hollow Fiber Module		
FM	Flow Meter		
CDR	Centrifugal Discharge Pump in Retentate Loop		
CDF	Centrifugal Discharge Pump in Filtrate Loop		
PD	Pressure Drop		
scTFF	Stepping Co-Current Filtrate Flow Tangential Flow Filtration		
rscTFF	Reverse Stepping Co-Current Filtrate Flow Tangential Flow Filtration		

Content



Better Pumps for Better Yield!

- Motivation
- Background and Theory
 - Comparison of TFF and ATF
 - Comparison of TFF and rTFF
 - Starling Recirculation in hollow fiber filters
- □ Co-current Filtrate Flow in TFF
 - High-Performance TFF (HPTFF) Principle
 - System Setup and Control Strategy (DeltaP Control vs. Slope Control)
 - Stepping co-current TFF (scTFF)

□ rTFF Strategies

Setup, Bubble removal considerations, Cycle time



- Goal is Process Performance Improvement of TFF applications in upstream (incl. Perfusion) and downstream applications with use of TFF filter modules/membranes.
- Focus on so-called co-flow setups with recirculation flow via permeate (filtrate connections of TFF module).



Background and Theory TFF, ATF and rTFF



Possible Explanation for Bad TFF Performance

• Pressure drop along filter module \rightarrow TMP changes





Possible Explanation for Bad TFF Performance

- Pressure drop along filter module \rightarrow TMP changes
- Starling Recirculation





Possible Explanation for Bad TFF Performance

- Pressure drop along filter module \rightarrow TMP changes
- Starling Recirculation
- Unidirectional crossflow: only first half of filter used





Explanation for Better rTFF Performance

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Similar to TFF:

- Pressure drop along filter
- Starling Recirculation

Difference to TFF:

- Alternating crossflow
- Alternating Starling Recirculation (Backflush)



Backflush:

Backflush: permeate back into filter (filter outlet side)





Backflush:

- Backflush: permeate back into filter (filter outlet side)
- Requires also filtrate generation (filter inlet side)

Enormous «unnecessary» flux across membrane and back (filter used much more as actually required for harvesting





Backflush:

- Backflush: permeate back into filter (filter outlet side)
- Requires also filtrate generation (filter inlet side)
- Backflush 10-100x larger than permeate flow (actually harvested)

Enormous «unnecessary» flux across membrane and back (filter used much more as actually required for harvesting)



→ How can we reduce Starling Recirculation to improve TFF and rTFF (ATF)?



Co-current Filtrate Flow

Theory





Culture viscosity (reduce):

Increases pressure drop



Crossflow velocity (reduce):

- Increases pressure drop
- Limitation: restriction to low crossflows



Culture viscosity (reduce):

Increases pressure drop



Crossflow velocity (reduce):

- Increases pressure drop
- Limitation: restriction to low crossflows



Culture viscosity (reduce):

Increases pressure drop

Filter length (reduce):

- Increases pressure drop
- Limitation: restriction to shorther filters / parallel setups



Crossflow velocity (reduce):

- Increases pressure drop
- Limitation: restriction to low crossflows

Fiber diameter (increase):

- Small diameters increase pressure drop
- Large diameters reduce membrane surface



Culture viscosity (reduce):

Increases pressure drop

Filter length (reduce):

- Increases pressure drop
- Limitation: restriction to shorther filters / parallel setups



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Culture viscosity (reduce):

Increases pressure drop

Filter length (reduce):

- Increases pressure drop
- Limitation: restriction to shorther filters / parallel setups

Membrane pore size (reduce):

- Larger pores reduce membrane resistance
- Increase in Starling flow

\rightarrow We are very restricted with changing the above factors



Another Way to Reduce Starling Recirculation



Idea:

- Establish filtrate pressure gradient
- Match filtrate and retentate pressure
- Eliminate Starling Recirculation

Ref¹



Another Way to Reduce Starling Recirculation





Idea:

- Establish filtrate pressure gradient
- Match filtrate and retentate pressure
- Eliminate Starling Recirculation







Co-current Filtrate Flow: HPTFF

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High-performance TFF (HPTFF)





- Co-current filtrate flow (Levitronix pump)
- Pressure drop on filtrate side
- No TMP across entire membrane (almost)
- → No Starling Recirculation

Ref¹



\rightarrow We don't decrease pressure drop, but we match filtrate pressure drop



More flexibility for filtration:

- Crossflow velocity (all ranges possible)
- Fiber Diameter (also small possible)
- Higher Culture Viscosity
- Filter Length
- Membrane Pore Size
- (increases co-current flow)
- (long filters feasible)
- (larger pores possible)

 \rightarrow simply co-current filtrate flow must be adjusted



United States Patent

HPTFF, a Sleeping Beauty

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Patents 5,490,937 & US 2002/0108907

- 1996 patented for DSP
- Idea to create uniform TMP distribution
- Goal to separate proteins by size
- Realized with gear pumps



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	[54] TANG PRO	ENTIAL FLOW FILTRATION TESS AND APPARATUS	4,971,696 11/1990 Abs et al 5,256,294 10/1993 van Reis	
	[75] Inven	tor: Robert D. van Reis, Redwood City, Calif.	0069523 1/1983 European Pat. Off 0112510 7/1984 European Pat. Off	
	[73] Assig	see: Genentech, Inc., South San Francisco, Calif.	0220749 5/1987 European Pat. OE 2065129 6/1981 United Kingdom . 8704169 7/1987 WIPO .	
US 200	[*] Notice	The portion of the term of this patent subsequent to Oct. 26, 2010, has been disclaimed.	OTHER PUBLICATIONS Baeyer et al., J. Membr. Sci., 22: 297–315 (1985), Cascade Plasmanheranis with Online Membrane Resentation: Labo	
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Co-current Filtrate Flow

System Setup and Control Strategy





System Setup:

Retentate pump (Levitronix)





System Setup:

- Retentate pump (Levitronix)
- Filtrate Loop Pump (Levitronix)





System Setup:

- Retentate pump (Levitronix)
- Filtrate Loop Pump (Levitronix)
- Pressure Sensors (PendoTech)
 - PTRin: Retentate Inlet
 - PTFin: Filtrate Inlet





System Setup:

- Retentate pump (Levitronix)
- Filtrate Loop Pump (Levitronix)
- Pressure Sensors (PendoTech)
 - PTRin: Retentate Inlet
 - PTFin: Filtrate Inlet
- Optional:
 - Flow Sensors
 - Further Pressure Sensors



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Source: FHNW







HPTFF Control Strategy





\rightarrow But how to define the delta?



→ Delta Pressure Setpoint must be determined! (water characterization)





→ Delta Pressure Setpoint must be determined! (water characterization)





 \rightarrow turbulences might be responsible for pressure drifts (not fully understood)





→ Still starling flow.... inferior performance!



HPTFF Control Strategy: Delta Pressure

→ Delta Pressure Setpoint must be determined! (water characterization)

Characterization procedure:

- Set certain retentate flow (constant) and ramp the coflow.
- Then determine the optimal deltaP to achieve HPTFF based on PTA1-A5
- Repeat for various retentate flows
- Can be similarly applied for other filters than HF







\rightarrow Contact us and we drill some holes






- **1.** Filter shall be characterized prior to implementation of control strategy details.
- 2. Identification of Pressure profile



3. Ramping Step: Keep retentate flow (crossflow) at constant process target and ramp the co-current filtrate flow from 0 to a large value definitely above HPTFF. Then identify the required coflow to achieve HPTFF by plotting the data depending on the filer length position (see 4.)





4. Set point of SETPOINT Delta Pressure determined by filter characterization:



Can be zero, positive or negative delta P setpoint

-> determined by filter characterization

Co-Flow System Setup





- Setup can be applied to upstream and downstream processing. In downstream processing, vessel would not be a Bioreactor (for example, with mammalian cells) or Fermenter (for example, with microbial cells) but a vessel with product and/or buffer/liquid.
- PT_{R1}: Filter Feed Pressure can be measured prior filter or with integrated port as part of filter housing in feed entrance area of filter
- PT_{R2}: Filter Retentate Pressure can be measured after filter or with integrated port as part of filter housing in exit area of filter
- \Box PT_{F1}: Permeate inlet pressure can be measured prior filter inlet
- \Box PT_{F2}: Permeate outlet pressure can be measured after filter outlet
- \Box PTA_x: Number of sensors along filter housing can be 5 (or more) or any different amount (min 1 sensor).



















CoFlow System, Variant 3a

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= +/- xx mbar)



CoFlow System, Variant 3b

















CoFlow System, Variant 5b



































Crossflow vs. Co-current Filtrate Flow

FAQ: How high is the co-current Filtrate Flow ?



Depends on many factors:

- Culture Viscosity
- Operating Conditions (Crossflow)
- Filter Characteristics



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Slope Control Strategy vs. DeltaP Control



Alternative Control Strategy: Slope Control

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DeltaP Control Strategy: Limitations



Low membrane resistance:

Uniform TMP

High membrane resistance (filter fouling):

- Non-uniform TMP
- High co-flow for DeltaP control

Slope Control: Concept





Low membrane resistance:

Uniform TMP

High membrane resistance (filter fouling):

- Uniform TMP
- Initial co-flow for DeltaP control



Requirements:

- Assumption: constant viscosity in permeate
- Filter characterization (Pressure Drop vs. Filtrate flow (or rpm))
- Pressure sensors to measure Pressure Drop (PTR1 and PTR2)
- Adaptive Speed control (Adjustment of rpm of CDF)



Water Characterization



Advantages:

- No issues with pressure sensor readings as observed in co-flow loop
- Uniform TMP also when fouling starts
- Better performance when filter fouling occurs
- In the simples form, just set a flow control based on the characterization in both the retentate loop and a separate flow or speed control in the coflow loop





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Co-current Filtrate Flow

Stepping co-current TFF (scTFF)







Standard TFF:

No co-current filtrate flow

Ref¹







HPTFF:

- Co-current filtrate flow to match P1 and P3
- No TMP along fibers (almost)







scTFF (Phase 1):

- Coflow < HPTFF Coflow
- Backflush 2nd half of filter

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scTFF (Phase 2):

- Coflow > HPTFF Coflow
- Backflush 1st half of filter





Idea 1: Continuous scTFF

- Switch from scTFF phase 1 directly to scTFF Phase2
- Similar system to ATF but with tunable Bachflush

Idea 2: HPTFF with alternating Backflush

- Mostly HPTFF operation
- To sweep, change from HPTFF to scTFF P1 and then P2, before going back to HPTFF
- Frequency completely flexible

\rightarrow The better version of ATF?

Reference



Ref¹

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ARTICLE



Co-current filtrate flow in TFF perfusion processes: Decoupling transmembrane pressure from crossflow to improve product sieving

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Abstract

Hollow fiber-based membrane filtration has emerged as the dominant technology for cell retention in perfusion processes yet significant challenges in alleviating filter fouling remain unsolved. In this work, the benefits of co-current filtrate flow applied to a tangential flow filtration (TFF) module to reduce or even completely remove Starling recirculation caused by the axial pressure drop within the module was studied by pressure characterization experiments and perfusion cell culture runs. Additionally, a novel concept to achieve alternating Starling flow within unidirectional TFF was investigated. Pressure profiles demonstrated that precise flow control can be achieved with both lab-scale and manufacturing-scale filters. TFF systems with co-current flow showed up to 40% higher product sieving compared to standard TFF. The decoupling of transmembrane pressure from crossflow velocity and filter characteristics in co-current TFF alleviates common challenges for hollow fiber-



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rTFF Strategies

System Setup and Control Strategy


rTFF Setup Possibilities

Setup:

- rTFF can be set up by placing 2 pumps inversely into the retentate loop.
- Push-push: both pumps push the liquid through the filter in an alternating manner (both pump outlets face to filter module)
- **Pull-pull:** both pumps pull the liquid out of the filter in an alternating manner (both pump inlets face to filter module)
- Push-pull: One pump pushes the liquid through filter and one pump pulls liquid out of filter (pump inlet of first pump facing to filter, pump outlet from other pump facing to filter) (Also pull –push arangement possible.





Considerations:

- Ideal positioning of bubble removal (especially at smaller scales) consists in setups where pump outlets face to each other. Such, bubbles that are trapped in the running pumphead will be removed upon switching to the other pump.
- Ideally, the pump inlets are positioned vertically such that air bubbles can easily escape the pump head once the other pump is active
- At larger scale, the positioning is less critical, depending on the customer setup



Pumps with outlets facing to each other and pump inlets positioned vertically for optimal bubble removal



Low-RPM Bubble Centering





Low-RPM Bubble Centering (Phase A)

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Phase A: "Forward" Flow control – Total Duration of 20s

- Pump 1 runs in flow control at 600 mlpm
- Pump 2 runs in speed mode at 500 rpm





Phase B: Pause 1 – Total Duration of 1s

- Pump 1 runs in speed mode at 500 rpm
- Pump 2 runs in speed mode at 500 rpm





Phase C: "Reverse" Flow control – Total Duration of 20s

- Pump 1 runs in speed mode at 0 rpm
- Pump 2 runs in flow control at 600 mlpm







Phase D: Pause 2 – Total Duration of 1s

- Pump 1 runs in speed mode at 500 rpm
- Pump 2 runs in speed mode at 500 rpm





Backflushing is not for free!

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The price for backflushing:

- Everything that flows back must be filtered at the other filter side (Starling Recirculation)
- Despite gel layer removal, high filtration flux might cause pore blocking over time
- Blocked pores might stay blocked
- Controlling Starling Recirculation can be beneficial also in rTFF (reduced crossflow (shear), larger lumen ID, shorter filter modules, etc.)



Standard rTFF: Short and equal cycle time (similar to ATF)

 Short cycle times with a cycle time of seconds to minutes. Cycle times can be of similar length, or different length. The crossflow might be similar for both phases, or different. It is possible to run at a certain crossflow for a certain time and integrate flushing at higher (or lower) crossflow from time to time.

Long-cycle with symmetric/asymmetric periodicity rTFF: Very long and equal/unequal cycle times (hours to days)

 Long cycle times with a duration of minutes to hours, or even days. Cycle times can be of similar length, or different length. The crossflow might be similar for both phases, or different. It is possible to run at a certain crossflow for a certain time and imtegrate flushing at higher (or lower) crossflow from time to time.

 \rightarrow No limitation in cycle time in contrast to diaphragm pumps (which are limited by their hold volume)

Short-cycle with symmetric periodicity rTFF

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Backup Slides





Lab-scale

• Filtrate flow $\approx 2x$ Crossflow

• Filtrate flow $\approx 2x$ Crossflow



\rightarrow Hollow Fibers were not designed for HPTFF, still most work very well!



Good Filter:

- High filtrate resistance
- Densly packed fibers
- Optimization in the coflow inlet and an optimal liquid distribution shield could be beneficial and might protect fibers, especially at larger scale



Not Ideal Filter:

- Low filtrate resistance
- Loose fibers or large gaps betwen fibers and housing



Imagine: Alternating crossflow with alternating co-current filtrate flow ...



 \rightarrow 4 pump system for now (high complexity)

 \rightarrow 2 pumps if pumps could pump both directions

rscTFF:

- No risk for inlet blocking
- Best possible Sieving performance
- Highest operational flexibility



Low rpm TFF System

Reduction or rpm (potentially shear stress) by putting at least two pumps in series (this applies to all the before mentioned systems (TFF, HPTFF, rTFF, scTFF and rscTFF variants))



Option 1: Pumps running at the same time at same speed

Option 2: 1 pump running on flow control other pumps acting as booster



Low rpm TFF System

Reduction or rpm (potentially shear stress) by putting at least two pumps in series (this applies to all the before mentioned systems (TFF, HPTFF, rTFF, scTFF and rscTFF variants)) Pumps can also be all in front of the filter (feed side), after the filter (retentate side) or before and after (feed and retentate side). More than one pump can also be used in the coflow loop.

